

# ALGORITHMS FOR DIOPHANTINE EQUATIONS

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# ALGORITHMS FOR DIOPHANTINE EQUATIONS

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## CONTENTS.

<b>Chapter 1. Introduction.</b>	<b>7</b>
§ 1.1. Algorithms for diophantine equations.	7
§ 1.2. The Gelfond-Baker method.	15
§ 1.3. Theoretical diophantine approximation.	17
§ 1.4. Computational diophantine approximation.	19
§ 1.5. The procedure for reducing upper bounds.	26
<b>Chapter 2. Preliminaries.</b>	<b>28</b>
§ 2.1. Algebraic number theory.	28
§ 2.2. Some auxiliary results.	30
§ 2.3. $p$ -adic numbers and functions.	31
§ 2.4. Lower bounds for linear forms in logarithms.	33
§ 2.5. Numerical methods.	36
<b>Chapter 3. Algorithms for diophantine approximation.</b>	<b>40</b>
§ 3.1. Introduction.	40
§ 3.2. Homogeneous one-dimensional approximation in the real case: continued fractions.	41
§ 3.3. Inhomogeneous one-dimensional approximation in the real case: the Davenport lemma.	43
§ 3.4. The $L^3$ -lattice basis reduction algorithm, theory.	45
§ 3.5. The $L^3$ -lattice basis reduction algorithm, practice.	49
§ 3.6. Finding all short lattice points: the Fincke and Pohst algorithm.	55
§ 3.7. Homogeneous multi-dimensional approximation in the real case: real approximation lattices.	57
§ 3.8. Inhomogeneous multi-dimensional approximation in the real case: an alternative for the generalized Davenport lemma.	60
§ 3.9. Inhomogeneous zero-dimensional approximation in the $p$ -adic case.	64
§ 3.10. Homogeneous one-dimensional approximation in the $p$ -adic case: $p$ -adic continued fractions and approximation lattices of $p$ -adic numbers.	66

§3.11.	Homogeneous multi-dimensional approximation in the p-adic case: p-adic approximation lattices.	67
§3.12.	Inhomogeneous one- and multi-dimensional approximation in the p-adic case.	69
§3.13.	Useful sublattices of p-adic approximation lattices.	71
<b>Chapter 4.</b>	<b>S-integral elements of binary recurrence sequences.</b>	<b>74</b>
§ 4.1.	Introduction.	74
§ 4.2.	Binary recurrence sequences.	76
§ 4.3.	The growth of the recurrence sequence.	78
§ 4.4.	Upper bounds.	83
§ 4.5.	Symmetric recurrences: an elementary method.	86
§ 4.6.	A basic lemma, and some trivial cases.	89
§ 4.7.	The reduction algorithm in the hyperbolic case.	91
§ 4.8.	The reduction algorithm in the elliptic case.	95
§ 4.9.	The generalized Ramanujan-Nagell equation.	98
§4.10.	A mixed quadratic-exponential equation.	102
<b>Chapter 5.</b>	<b>The inequality <math>0 &lt; x - y &lt; y^\delta</math> in S-integers.</b>	<b>105</b>
§ 5.1.	Introduction.	105
§ 5.2.	Upper bounds for the solutions.	106
§ 5.3.	Reducing the upper bounds in the one-dimensional case.	107
§ 5.4.	Reducing the upper bounds in the multi-dimensional case.	109
§ 5.5.	Tables.	112
<b>Chapter 6.</b>	<b>The equation <math>x + y = z</math> in S-integers .</b>	<b>118</b>
§ 6.1.	Introduction.	118
§ 6.2.	Upper bounds.	119
§ 6.3.	The p-adic approximation lattices.	121
§ 6.4.	Reducing the upper bounds in the one-dimensional case.	123
§ 6.5.	Reducing the upper bounds in the multi-dimensional case.	126
§ 6.6.	Examples related to the abc-conjecture.	128
§ 6.7.	Tables.	130
<b>Chapter 7.</b>	<b>The sum of two S-units being a square.</b>	<b>139</b>
§ 7.1.	Introduction.	139
§ 7.2.	The case $D = 1$ .	140
§ 7.3.	Towards generalized recurrences.	141
§ 7.4.	Towards linear forms in logarithms.	145
§ 7.5.	Upper bounds for the solutions: outline.	150
§ 7.6.	Upper bounds for the solutions: details.	153
§ 7.7.	The reduction technique.	161

§ 7.8.	The standard example.	161
§ 7.9.	Tables.	170
<b>Chapter 8.</b>	<b>The Thue equation.</b>	<b>181</b>
§ 8.1.	Introduction.	181
§ 8.2.	From the Thue equation to a linear form in logarithms.	182
§ 8.3.	Upper bounds.	187
§ 8.4.	Reducing the upper bound.	191
§ 8.5.	An application: integral points on the elliptic curve $y^2 = x^3 - 4x + 1$ .	195
§ 8.6.	The Thue-Mahler equation, an outline.	205
<b>References.</b>		<b>207</b>
<b>Samenvatting.</b>		<b>215</b>
<b>Curriculum vitae.</b>		<b>219</b>

## CHAPTER 1. INTRODUCTION.

### 1.1. Algorithms for diophantine equations.

This thesis deals with certain types of *diophantine equations*. An *equation* is a mathematical formula, expressing equality of two expressions that involve one or more unknowns (variables). *Solving* an equation means finding all *solutions*, i.e. the values that can be substituted for the unknowns such that the equation becomes a true statement. An equation is called a *diophantine equation* if the solutions are restricted to be *integers* in some sense, usually the ordinary rational integers (elements of  $\mathbb{Z}$ ) or some subset of that.

Examples of diophantine equations that will be studied in this thesis are

$$x^2 + 7 = 2^n$$

(the Ramanujan-Nagell equation, having only the solutions given by  $(\pm x, n) = (1, 3), (3, 4), (5, 5), (11, 7), (181, 15)$ , see Chapter 4);

$$2^x = 3^y + 5^z$$

(a purely exponential equation, having only the solutions  $(x, y, z) = (1, 0, 0), (2, 1, 0), (3, 1, 1), (5, 3, 1), (7, 1, 3)$ , see Chapter 6);

$$y^2 = x^3 - 4 \cdot x + 1$$

(an elliptic equation, having only 22 solutions, of which the largest are  $(x, y) = (1274, \pm 45473)$ , see Chapter 8). The three examples mentioned here are only examples of classes of equations which we study. We also study (in Chapter 5) a *diophantine inequality* (a formula expressing that one expression is larger than another, where solutions are again restricted to integers). In the following discussion the statements about diophantine equations also hold for this inequality.

What the equations treated in this book have in common is that they can all be solved by the same method. This method consists essentially of three

parts: a transformation step, a application of the Gelfond-Baker theory, and a diophantine approximation step. We explain these steps briefly.

First, one transforms the equation to a purely exponential equation or inequality, i.e. a diophantine equation or inequality where the unknowns are all in the exponents, such as in the second example given above. Each type of diophantine equation needs a particular kind of transformation, so that it is difficult to be more specific at this point. In some instances, such as in the second example above, this transformation is easy, if not trivial. In other instances, as in the first example above, it uses some arguments from algebraic number theory, or, as in the third example above, a lot of them.

In general, such a purely exponential equation has the form

$$\sum_{i=1}^t c_i \cdot \prod_{j=1}^{s_i} \alpha_{ij}^{n_{ij}} = c_0 \cdot \prod_{j=1}^{s_0} \alpha_{0j}^{n_{0j}}, \quad (1.1)$$

and a corresponding purely exponential inequality looks like

$$\left| \sum_{i=1}^t c_i \cdot \prod_{j=1}^{s_i} \alpha_{ij}^{n_{ij}} \right| < \min_i \left| c_i \cdot \prod_{j=1}^{s_i} \alpha_{ij}^{n_{ij}} \right|^\delta \quad (1.2)$$

where  $t, s_i, c_i, \alpha_{ij}, \delta$  are constants with  $t, s_i \in \mathbb{N}$ ,  $0 < \delta < 1$ , and  $c_i, \alpha_{ij}$  belong to some algebraic extension of  $\mathbb{Q}$ , and where the  $n_{ij}$  are the unknowns in  $\mathbb{Z}$ . We now suppose that the number of terms  $t$  on the left hand side is equal to 2. This restriction is essential for the second step, in which we use results from the so-called theory of linear forms in logarithms, also known as the Gelfond-Baker theory. (Some special exponential equations with more terms can also be treated by the Gelfond-Baker method, by reducing them to exponential inequalities with two terms, cf. Stroeker and Tijdeman [1982], Alex [1985<sup>a</sup>], [1985<sup>b</sup>], Tijdeman and Wang [1987].)

An exponential equation or inequality such as (1.1) or (1.2) gives rise to a *linear form in logarithms*

$$\Lambda = \log \beta_0 + \sum_{i=1}^m n_i \cdot \log \beta_i,$$

where the  $\beta_i$  are algebraic constants, and the  $n_i$  are integral unknowns. Here, the logarithms can be real or complex, or can be  $p$ -adic. This relation between equation and linear form in logarithms is such that for a large solution of the equation the linear form is extremely close to zero (in the



real or complex sense, or in the  $p$ -adic sense). The Gelfond-Baker theory provides effectively computable lower bounds for the absolute values (respectively  $p$ -adic values) of such linear forms in logarithms of algebraic numbers. In many cases these bounds have been explicitly computed. Comparing the so-found upper and lower bounds it is possible to obtain explicit upper bounds for the solutions of the exponential diophantine equation or inequality, leading to upper bounds for the solutions of the original equation. This second step, unlike the first (transformation) step, is of a rather general nature.

We remark that many authors have given effectively computable upper bounds for the solutions of a wide variety of diophantine equations, by applying the method sketched above. For a survey, see Shorey and Tijdeman [1986]. Often these authors were satisfied with the knowledge of the existence of such bounds, and they did not actually compute them. If they computed bounds, they did not always determine all the solutions. In this thesis, solving an equation will always mean: explicitly finding all the solutions.

After the second step, the problem of solving the diophantine equation is reduced to a finite problem, which is the treated in the third part of the method. Namely, since we have found explicit upper bounds for the absolute values of the (integral) unknowns, we have to check only finitely many possibilities for the unknowns. However, the word *finite* does not mean the same as *small* or *trivial*. In fact, the constants appearing in the bounds that the Gelfond-Baker theory provides for linear forms in logarithms are rather large. Therefore, in practice the upper bounds that can be obtained in this way for the solutions of purely exponential equations can be for instance as large as  $10^{40}$ . This is far too large to admit simple enumeration of all the possibilities, even with the fastest of computers today.

Notwithstanding, it is generally assumed that the upper bounds found in this way are far from the actual largest solution. Therefore, it is worthwhile to search for methods to reduce these upper bounds to a size that can be more easily handled. Often it is possible to devise such a method using directly certain properties of the original diophantine equation, for example that large solutions must satisfy certain congruences modulo large numbers, or some reciprocity condition (for some examples, see Grinstead [1978], Brown [1985], Pinch [1987], and Pethö [1983]). The disadvantage of such methods is that they work only for that particular type of diophantine equation, so that

in general for each type of equation a new reduction method must be devised. It would therefore be interesting to have methods for reducing upper bounds for the solutions of inequalities for linear forms in logarithms. They would be useful for solving any type of diophantine problem that leads to such inequalities.

Such methods are provided by that part of the theory of *diophantine approximation* that is concerned with studying how close to zero a linear form can be for given values of the variables. Recently important progress has been made in this field, leading to practically efficient *algorithms*, which can be employed for many diophantine equations to show that in a certain interval  $[X_1, X_0]$  no solutions exist. Usually  $X_1$  is of the order of magnitude of  $\log X_0$ . When for  $X_0$  the theoretical upper bound for the solutions is substituted, a new upper bound  $X_1$  is found. For many equations the upper bound  $X_0$  is well within reach of practical application of these algorithms, within only a few minutes of computer time. This thus leads in practice to methods for finding all the solutions of many types of diophantine equations, for which alternative methods have not yet been found or employed with success.

It is mainly in this third part of the method that new developments can be reported. The arguments we use in the first and second parts are mainly classical. They are applied to types of equations that have been studied before, and also to new types of equations.

The method outlined above, and used in this thesis to solve many examples of various diophantine equations, is of an "algorithmic" nature. In a sense it lies between "ad hoc" methods and "theoretical" methods. This we shall explain below. Let a set of diophantine equations with an unspecified parameter in it be given. As an example of such a set, consider the generalized Ramanujan-Nagell equation  $x^2 + D = 2^n$ , where  $D$  is a parameter, and  $x, n$  are the unknowns.

An *ad hoc method* is a method for solving the equation for specific values of the parameters only. However, it may not work at all for other than these particular values. The first example of solving an equation of the type  $x^2 + D = 2^n$  occurring in the literature is that by Nagell [1948] of  $D = 7$ . The method he used is of an ad hoc nature, since it depends heavily on the special choice of 7 for the parameter  $D$ .

A *theoretical method* is capable of proving results that hold for some large set of values of the parameters. The Gelfond–Baker theory is of a theoretical nature, since it yields upper bounds for the solutions of many equations in terms of their parameters. Other examples are the theory of quadratic reciprocity, that shows that  $x^2 + D = 2^n$  has no solutions at all if  $D$  is odd, at least 5, and not congruent to  $7 \pmod{8}$ , and the theory of hypergeometric functions, which Beukers [1981] used to show that the solutions  $(x,n)$  of  $x^2 + D = 2^n$  satisfy  $n < 435 + 10 \cdot 2 \log|D|$ , and if  $|D| < 2^{96}$  then moreover  $n < 18 + 2 \cdot 2 \log|D|$ . Theoretical methods are often too general to be able to produce all the solutions of a given equation.

An *algorithmic method* is a method that is guaranteed to work for any set of values of the parameters, but has to be applied separately to each particular set of parameter values, in order to produce all the solutions. The methods used in this thesis are mainly of such an algorithmic nature. For the equation  $x^2 + D = 2^n$  (and actually for a more general equation) we will give an algorithmic method in Chapter 4. In fact, since Beukers' above-mentioned result provides a small upper bound for the solutions, it can be made algorithmic by providing a simple method of enumerating all the solutions below the upper bound. In order to make the Gelfond–Baker theory algorithmic, enumeration of all possibilities is impractical. Therefore more ingenious ways of determining all the solutions below a large upper bound have to be found. We remark that Beukers' method for the more general equation  $x^2 + D = p^n$  also has an ad hoc aspect, since it does not work for any value of  $p$ . Our method of Chapter 4 does not have this disadvantage.

An ideal towards which one might strive in solving diophantine equations is to devise a computer algorithm, which only has to be fed with the parameters of the equation, and after a short time gives a list of all the solutions as output. The user should have a guarantee (in the strictest, mathematical sense of proof), that no solutions have been missed.

At first sight the method outlined above, and described in this dissertation, seems to be a good candidate to be developed into such a general applicable algorithm. Namely, the second step is of a quite general nature, providing upper bounds for exponential diophantine equations that are explicit in the parameters of the equation. Also the third step, the algorithmic diophantine approximation part, works in principle for any set of values substituted for the parameters. However, the computations have to be performed separately for

each particular set of values.

The main difficulties in devising such a 'diophantine machine' are in the first part of the method outlined above, especially if some algebraic number theory is used. Developments taking place in the theory of algorithmic algebraic number theory on computing fundamental units and on finding factorizations of prime numbers in algebraic extensions, are of importance here. We believe that when suitable algorithms of this kind are available, it will be possible in principle to make such a 'diophantine machine'. The generality of such an algorithm is restricted by the generality of the first step, the transformation to the linear form in logarithms. In this thesis we use computer algorithms only if the magnitude of the computational tasks makes this necessary, and keep to "manual" work otherwise. In this way we also try to keep the presentation of the methods lucid.

The reader should be aware of the fact that the computer programs and their results are part of the proofs of many of our theorems on specific diophantine equations. It is however impossible to publish all details of these programs and computations. The interested reader may obtain the details from the author by request, and is invited to check the computations himself.

The book by Shorey and Tijdeman [1986] gives a good survey of the diophantine equations for which computable upper bounds for the solutions can be found using the Gelfond-Baker method (see also Shorey, van der Poorten, Tijdeman and Schinzel [1977], and Stroeker and Tijdeman [1982]). Some of these equations can be completely solved by the methods described in this thesis, among which there are purely exponential equations, equations involving binary recurrence sequences, and Thue equations and Thue-Mahler equations. Especially the latter two are of importance in various other parts of number theory. For example, they are the key to solving Mordell equations and various equations arising in algebraic number theory. The Gelfond-Baker method was used to actually solve a diophantine equation for the first time in the work of Baker and Davenport [1969], who solved the system of diophantine equations

$$3 \cdot x^2 - 2 = y^2, \quad 8 \cdot x^2 - 7 = z^2.$$

Other equations occurring in the literature for which upper bounds for the solutions can be computed, cannot be treated as easily by our algorithmic

methods, because the application of the theory of linear forms in logarithms is more complicated for these equations, and moreover the upper bounds are essentially too large. An example of this kind is the Catalan equation  $a^x - b^y = 1$  in integers  $a, b, x, y$ , all  $\geq 2$ . Catalan conjectured in 1844 that this equation has only the solution  $(a,b,x,y) = (3,2,2,3)$ . Tijdeman [1976] proved that the solutions of the Catalan equation are bounded by a computable number. This number can be taken to be  $\exp(\exp(\exp(\exp(730))))$ , according to Langevin [1976]. However, we fail to see how the methods that we describe in the forthcoming chapters can be applied for completely solving the Catalan equation.

Another diophantine equation, that for centuries has attracted the attention of many mathematicians, is the Fermat equation  $x^n + y^n = z^n$  in integers  $x, y, z, n$ , with  $n \geq 3$  and  $x \cdot y \cdot z \neq 0$ . It is conjectured to have no solutions. Faltings [1983] proved that for fixed  $n$  the number of solutions is finite. His proof is ineffective, and not based on the Gelfond-Baker theory. The Gelfond-Baker theory seems not to be strong enough to deal with the Fermat equation in its full generality, not even if  $n$  is fixed. For partial results on the Fermat equation that have been obtained using this theory, see Tijdeman [1985] and Chapter 11 of Shorey and Tijdeman [1986].

We remark that for many diophantine equations recently important progress has been made in determining upper bounds for the number of solutions. See Evertse [1983] and Evertse, Györy, Stewart and Tijdeman [1987] for a survey. These results are often remarkably sharp, but ineffective, so that they cannot be used for actually finding the solutions.

To conclude this section we give an overview of the remaining chapters of this thesis. It is divided into three parts: Chapter 1 is introductory, Chapters 2 and 3 give the necessary preliminaries, and Chapters 4 to 8 deal with various types of diophantine equations.

Sections 1.2 to 1.5 give a short introduction to the Gelfond-Baker theory, diophantine approximation theory, the algorithmic aspects of diophantine approximation, and the procedure for reducing upper bounds, respectively, for the non-specialist. Chapter 2 contains the preliminary results that we need from algebraic number theory and from the theory of  $p$ -adic numbers and functions, and quotes in full detail the theorems from the Gelfond-Baker theory which we need. It concludes with some remarks on numerical methods.

Chapter 3 gives in detail the algorithms in the field of diophantine approximation theory that we apply in the subsequent chapters.

The remaining Chapters 4 to 8 are each devoted to a certain type of diophantine equation. Let  $p_1, \dots, p_s$  be a fixed set of distinct primes. Let  $S$  be the set of positive integers composed of primes  $p_1, \dots, p_s$  only.

Chapter 4 deals with elements of binary recurrence sequences ("generalized Fibonacci sequences") that are in  $S$ , and gives their application to mixed quadratic-exponential equations, such as the generalized Ramanujan-Nagell equation  $x^2 + k \in S$  ( $k$  fixed). The diophantine approximation part of this chapter is interesting for two reasons: the  $p$ -adic approximation is very simple, and in the case of the recurrence having negative discriminant, a nice interplay of  $p$ -adic and real/complex approximation arguments occurs. The research for Chapter 4 was done partly in cooperation with A. Pethő from Debrecen. The results have been published in Pethő and de Weger [1986] and de Weger [1986<sup>b</sup>].

Chapter 5 deals with the diophantine inequality  $0 < x - y < y^\delta$ , where  $x, y$  are in  $S$ , and  $\delta \in (0,1)$  is fixed. Chapter 6 deals with  $x + y = z$ , where  $x, y, z \in S$ , which can be considered as the  $p$ -adic analogue of the inequality of Chapter 5. These two equations are the simplest examples of diophantine equations that can be treated by our method. Since they are already purely exponential equations, the first (transformation) step is trivial. So the linear forms in logarithms are directly related to the equations themselves. Therefore they serve as good examples to get a clear understanding of the diophantine approximation part of our method. The results of these chapters have been published in de Weger [1987<sup>a</sup>].

Chapter 7 studies the equation  $x + y = z^2$ , where  $x, y \in S$ , and  $z \in \mathbb{Z}$ . This equation is a further generalization of the generalized Ramanujan-Nagell equation, studied in Chapter 4.

In Chapter 8 a procedure is given to solve Thue equations, that works in principle for Thue equations of any degree. It is applied to find all integral points on the elliptic curve  $y^2 = x^3 - 4 \cdot x + 1$ . We also mention briefly how Thue-Mahler equations can be dealt with. This chapter has been written jointly with N. Tzanakis from Iraklion. The results have been

published in Tzanakis and de Weger [1987], and in de Weger [1987<sup>b</sup>].

## 1.2. The Gelfond-Baker method.

In Section 1.1 we have explained that before applying the Gelfond-Baker method to some diophantine equation, the equation should be transformed into a purely exponential diophantine equation or inequality with not too many terms (cf. (1.1), (1.2)). In this section we sketch the arguments from the Gelfond-Baker theory that lead to upper bounds for the variables of this exponential equation/inequality.

Let us first treat the case of the inequality (1.2). It may be assumed to have the form

$$\left| \alpha_0 \cdot \prod_{i=1}^s \alpha_i^{n_i} - 1 \right| < C_0 \cdot \exp(-\delta \cdot N) ,$$

where the  $\alpha_i$  are fixed algebraic numbers,  $N = \max |n_i|$ , and  $C_0, \delta$  are positive constants. In the examples we study, we encounter one of the following two cases: either all  $\alpha_i$  are real, or  $|\alpha_i| = 1$  for all  $i$ . In the real case, if  $N$  is large enough, the linear form in logarithms

$$\Lambda = \log |\alpha_0| + \sum_{i=1}^s n_i \cdot \log |\alpha_i|$$

must satisfy

$$|\Lambda| < C'_0 \cdot \exp(-\delta \cdot N) \tag{1.3}$$

for some  $C'_0$ . In the complex case, the same inequality (1.3) follows for the linear form

$$\begin{aligned} \Lambda &= \text{Log } \alpha_0 + \sum_{i=1}^s n_i \cdot \text{Log } \alpha_i + k \cdot \text{Log}(-1) \\ &= i \cdot \left( \text{Arg } \alpha_0 + \sum_{i=1}^s n_i \cdot \text{Arg } \alpha_i + k \cdot \pi \right) , \end{aligned}$$

where the  $\text{Log}$  and  $\text{Arg}$  functions take their principal values. Now we can apply one of the many results from the Gelfond-Baker theory, giving an explicit lower bound for  $|\Lambda|$  in terms of  $N$ , e.g. the following theorem.

THEOREM 1.1. (Baker [1972]). Let  $\Lambda$  be as above. There exist computable constants  $C_1, C_2$ , depending on the  $\alpha_i$  only, such that if  $\Lambda \neq 0$  then

$$|\Lambda| > \exp[-(C_1 + C_2 \cdot \log N)] .$$

We know that  $\Lambda \neq 0$ . Combining (1.3) and Theorem 1.1 we obtain

$$N < \frac{C_1 + \log C'_0}{\delta} + \frac{C_2}{\delta} \cdot \log N .$$

It follows that  $N$  is bounded from above.

Next, consider the exponential equation (1.1). We can write it as

$$\alpha_0 \cdot \prod_{i=1}^s \alpha_i^{n_i} - 1 = \beta_0 \cdot \prod_{j=1}^r \beta_j^{m_j} ,$$

where the  $\alpha_i, \beta_j$  are fixed algebraic numbers. Let  $H_p$  be the maximum of the  $|n_i|, |m_j|$  where  $i, j$  run through the set of indices for which  $\alpha_i$  resp.  $\beta_j$  are non-units. Let  $H$  be the maximum of the  $|n_i|, |m_j|$  where  $i, j$  run through the set of all indices. Suppose that  $p$  is a rational prime lying above  $\beta_j$  for some  $j$ . There are constants  $c_1, c_2$  such that

$$\text{ord}_p \left( \alpha_0 \cdot \prod_{i=1}^s \alpha_i^{n_i} - 1 \right) \leq c_1 + c_2 \cdot m_j .$$

Assuming that  $\text{ord}_p(\alpha_i) = 0$  for all  $i$ , we may write down a  $p$ -adic linear form in logarithms

$$\Lambda = \log_p \alpha_0 + \sum_{i=1}^s n_i \cdot \log_p \alpha_i ,$$

for which, if  $m_j$  is large enough, it follows that

$$\text{ord}_p(\Lambda) \leq c_1 + c_2 \cdot m_j . \tag{1.4}$$

We are now in a position to apply the following result from the  $p$ -adic Gelfond-Baker theory. Here,  $N = \max |n_i|$ .

THEOREM 1.2. (van der Poorten [1977], Yu [1987<sup>a</sup>]). Let  $\Lambda, p$  be as above. There exist computable constants  $C_3, C_4$ , depending only on the  $\alpha_i$  and on  $p$ , such that if  $\Lambda \neq 0$  then

$$\text{ord}_p(\Lambda) < C_3 + C_4 \cdot \log N .$$



Applying (1.4) and Theorem 1.2 for all possible  $p$  we obtain constants  $C'_3$ ,  $C'_4$  with

$$H_p < C'_3 + C'_4 \cdot \log H .$$

If  $H \leq C_5 \cdot H_p$  for some constant  $C_5$ , then this immediately yields an upper bound for  $H$ . If  $H > C_5 \cdot H_p$ , then it can be shown that there exists a conjugate of the  $\alpha_i, \beta_j$ , denoted with a prime sign, for which

$$\left| \beta'_0 \cdot \prod_{j=1}^r \beta'_j \right| < \exp(-C_6 \cdot H)$$

for a constant  $C_6$  (cf. the proof of Theorem 1.4, pp. 45-49, of Shorey and Tijdeman [1986]). Now we can apply Theorem 1.1. This yields

$$\left| \alpha'_0 \cdot \prod_{i=1}^s \alpha'_i \right| > \exp(-(C_7 + C_8 \cdot \log H)) .$$

It follows that  $H$  is bounded from above.

If it happens that none of the  $\alpha_i, \beta_j$  are units, then of course the application of Theorem 1.2 suffices.

We remark that, in order to be able to completely solve a diophantine equation, it is crucial that all constants can be computed explicitly. Therefore we can only use the bounds from the Gelfond-Baker theory that are completely explicit. We give details of such theorems in Section 2.4.

### 1.3. Theoretical diophantine approximation.

In this section we briefly mention some results from diophantine approximation theory, thus giving a background to the next section. We refer to Koksma [1937], Cassels [1957] (Chapters I and III) and to Hardy and Wright [1979] (Chapters XI and XXIII), for further details.

The simplest form of diophantine approximation in the real case is that of approximation of a real number  $\vartheta$  by rational numbers  $p/q$ . It is well known that if  $\vartheta$  is irrational, then there exist infinitely many solutions  $(p, q) \in \mathbb{Z} \times \mathbb{N}$  with  $(p, q) = 1$  of the diophantine inequality

$$\left| \vartheta - \frac{p}{q} \right| < q^{-2} .$$

All convergents from the continued fraction expansion of  $\vartheta$  are such solutions. The convergents are simple to compute for any particular  $\vartheta \in \mathbb{R}$ .

One way of generalizing this is to study simultaneous approximations to a set of real numbers  $\vartheta_1, \dots, \vartheta_n$ , i.e. rational approximations to  $\vartheta_i$  all having the same denominator. It is well known that the system of inequalities

$$\left| \vartheta_i - \frac{p_i}{q} \right| < q^{-(1+1/n)} \quad \text{for } i = 1, \dots, n$$

has infinitely many solutions  $(p_1, \dots, p_n, q)$  if at least one of the  $\vartheta_i$  is irrational. But it is much harder to find solutions of such inequalities than in the case  $n = 1$ . Some multi-dimensional continued fraction algorithms have been devised (cf. Brentjes [1981] for a survey), but they seem not to have the desired simplicity and generality. We shall see later how we can apply the so-called  $L^3$ -algorithm to this problem.

Another way of generalizing the simplest case of diophantine approximation is to study linear forms, such as

$$L = \sum_{j=1}^m q_j \cdot \vartheta_j,$$

where  $\vartheta_1, \dots, \vartheta_m$  are given real numbers, and  $q_1, \dots, q_m$  are the unknowns in  $\mathbb{Z}$ . Put  $Q = \max |q_i|$ . A classical theorem guarantees the existence of a solution  $(p, q_1, \dots, q_m)$  of the inequality

$$|L - p| < Q^{-m}.$$

Note that the case  $m = 1$  becomes our first inequality on dividing by  $q = q_1$ . Also in this case the  $L^3$ -algorithm is very useful, as we shall see below.

We can incorporate the two generalizations above in a further generalization, that of simultaneous approximation of linear forms. Let real numbers  $\vartheta_{ij}$  be given for  $i = 1, \dots, n$ ,  $j = 1, \dots, m$ . Put

$$L_i = \sum_{j=1}^m q_j \cdot \vartheta_{ij} \quad \text{for } i = 1, \dots, n.$$

A celebrated theorem of Minkowski states that there exists a solution  $(p_1, \dots, p_n, q_1, \dots, q_m)$  of the system of inequalities

$$|L_i - p_i| < Q^{-m/n} \quad \text{for } i = 1, \dots, n.$$

As we shall show in Section 1.4, the  $L^3$ -algorithm may be applied to this general form. We actually compute solutions of systems of inequalities that are slightly weaker in the sense that the right hand side is multiplied by a constant larger than 1.

We now consider inhomogeneous approximation. This means that for all  $i$  there is an inhomogeneous term  $\beta_i$  in the linear form  $L_i$ , viz.

$$L_i = \beta_i + \sum_{j=1}^m q_j \cdot \vartheta_{ij} \quad \text{for } i = 1, \dots, n.$$

Again, there exists a constant  $c$  such that the system

$$|L_i - p_i| < c \cdot Q^{-m/n} \quad \text{for } i = 1, \dots, n,$$

under some independence condition on the  $\beta_i$  and  $\vartheta_{ij}$ , has a solution. This is Kronecker's theorem. The simplest case  $m = n = 1$  comes down to

$$|q \cdot \vartheta - p + \beta| < c \cdot q^{-1}.$$

To conclude this section, we remark that there is a  $p$ -adic analogue of this theory of diophantine approximation, founded by Mahler and Lutz. If we replace in the above considerations  $\mathbb{R}$  by  $\mathbb{Q}_p$ , the absolute value  $|\cdot|$  by the  $p$ -adic value  $|\cdot|_p$ , and the measure  $Q$  for an approximation  $(p_1, \dots, p_n, q_1, \dots, q_m)$  by any convex norm  $\Phi(p_1, \dots, p_n, q_1, \dots, q_m)$ , then the  $p$ -adic analogues of the theorems of Minkowski and Kronecker are essentially analogous to the above mentioned results in the real case. See Koksma [1937] for references to Mahler's work, and Lutz [1951].

#### 1.4. Computational diophantine approximation.

In this section we give some idea of practically solving the diophantine approximation problems that we encounter in solving diophantine equations. In this section we give no rigorous treatment. We neglect worst cases, and concentrate on how things are expected to work, and appear to work in practice. For a more rigorous theoretical treatment we refer to a forthcoming publication by Tijdeman, Wang and the present author. In the subsequent

chapters of this thesis many examples are given, showing that our methods are indeed useful in practice. Applying the method in practice may be the best way of acquiring the necessary *Fingerspitzengefühl* for the method.

We shall deal with the following computational diophantine approximation problem. Let  $\vartheta_{ij}, \beta_i \in \mathbb{R}$  be given, and let  $p_1, \dots, p_n, q_1, \dots, q_m$  be integral unknowns with  $Q = \max |q_j|$ . Let  $L_i$  be as above. Let a positive constant  $Q_0$ , assumed to be a rather large number,  $10^{50}$  say, be given. Find a lower bound for the value of

$$\max_i |L_i - p_i|,$$

where  $(p_1, \dots, p_n, q_1, \dots, q_m)$  runs through the set of values with  $Q \leq Q_0$ . From the theory outlined in Section 1.3 it follows that one will be satisfied if this lower bound is of the size  $Q_0^{-m/n}$ . For the  $p$ -adic case an analogous problem may be formulated.

Related problems in diophantine approximation theory are those of actually finding a good or the best solution of  $\max |L_i - p_i| < \epsilon$  for a fixed  $\epsilon > 0$ . As we shall see, the  $L^3$ -algorithm is a very useful tool for finding good solutions. The problem of finding the best solution however seems to be essentially more difficult. We note that in most of our applications of solving diophantine equations it suffices to have a suitable lower bound for  $\max |L_i - p_i|$ .

The computational tool that we use to solve the afore-mentioned problems is the so-called  $L^3$ -lattice basis reduction algorithm, described in Lenstra, Lenstra and Lovász [1982]. We shall give details of this algorithm in Chapter 3. Below we briefly indicate how it can be used to solve diophantine approximation problems.

Let  $\Gamma$  be a lattice in  $\mathbb{R}^n$ . The  $L^3$ -algorithm accepts as input an arbitrary basis  $b_1, \dots, b_n$  of  $\Gamma$ . As output it gives another basis  $c_1, \dots, c_n$  of the same lattice  $\Gamma$ , that is a so-called *reduced* basis. The concept *reduced* means something like nearly orthogonal. From a reduced basis it is possible to compute lower bounds for the following two quantities: (i), the length of the non-zero lattice point that is nearest to the origin, viz.

$$l(\Gamma) = \min_{0 \neq \underline{x} \in \Gamma} |\underline{x}|,$$

(cf. Lenstra, Lenstra and Lovász [1982], Proposition (1.11) and our Lemma 3.4), (ii), for any given point  $\mathbf{y} \in \mathbb{R}^n$ , the distance from  $\mathbf{y}$  to the nearest lattice point, viz.

$$\ell(\Gamma, \mathbf{y}) = \min_{\mathbf{x} \in \Gamma} |\mathbf{x} - \mathbf{y}|,$$

(cf. our Lemmas 3.5 and 3.6). This algorithm enjoys the property that these lower bounds are usually near to the actual minimal solutions. In a typical situation, where the lattice is not too distorted, the vectors  $\underline{c}_i$  of the reduced basis all have about the same length, which is of the order of magnitude of

$$\det(\Gamma)^{1/n},$$

The value of  $\ell(\Gamma)$  as well as the lower bounds computed for it, are about as large as that. If  $\mathbf{y}$  is not too close to a lattice point, the same holds for  $\ell(\Gamma, \mathbf{y})$ . Moreover, the running time of the algorithm is good, both in the theoretical sense (it is polynomial-time in the length of the input-parameters), and in the practical sense.

To solve the problem of finding a lower bounds for  $\max |L_i - p_i|$  as formulated above, we take the lattice  $\Gamma$  as follows. Let  $C$  be an integer, at least as large as  $Q_0^{1+m/n}$ . The lattice  $\Gamma$ , of dimension  $n + m$ , is defined by specifying a basis, namely the column vectors  $\underline{b}_1, \dots, \underline{b}_{n+m}$  of the matrix

$$\mathcal{B} = \begin{pmatrix} 1 & & & & & & & & & & \emptyset \\ & \emptyset & & & & & & & & & \emptyset \\ & & & & 1 & & & & & & \\ [C \cdot \emptyset_{11}] & \dots & [C \cdot \emptyset_{1m}] & & & & -C & & & & \\ \vdots & & \vdots & & & & & & & & \\ [C \cdot \emptyset_{n1}] & \dots & [C \cdot \emptyset_{nm}] & & & & & \emptyset & & & \\ & & & & & & & & & & -C \end{pmatrix}.$$

(The symbol  $\emptyset$  means that all not explicitly given entries are zero). Applying the  $L^3$ -algorithm to this lattice we find a reduced basis, of which the basis vectors will have lengths of about  $C^{n/(m+n)}$ , which is roughly the size of  $Q_0$ . Generally speaking, the larger  $C$  is, the larger the lengths of the basis vectors of a reduced basis will be (and the larger the lower bounds for  $\ell(\Gamma)$  and  $\ell(\Gamma, \mathbf{y})$  will be).

Let us first treat the homogeneous case, i.e.  $\beta_i = 0$  for all  $i$ . Consider the lattice point  $\underline{x} = \mathcal{B} \cdot (q_1, \dots, q_m, p_1, \dots, p_n)^T$ . It is equal to

$$\underline{x} = (q_1, \dots, q_m, \tilde{L}_1 - C \cdot p_1, \dots, \tilde{L}_n - C \cdot p_n)^T,$$

where

$$\tilde{L}_i = \sum_{j=1}^m q_j \cdot [C \cdot \vartheta_{ij}] \quad \text{for } i = 1, \dots, n.$$

From the application of the  $L^3$ -algorithm we find a lower bound for  $\ell(\Gamma)$ , of size  $Q_0$ . We assume it to be large enough (if this is not the case, we try a somewhat larger value for  $C$ , and perform the  $L^3$ -algorithm again for the lattice defined for this  $C$ ). So we may assume that there is a small constant  $c_1$  such that

$$\sum_{i=1}^n (\tilde{L}_i - C \cdot p_i)^2 \geq \ell(\Gamma)^2 - m \cdot Q_0^2 > c_1 \cdot Q_0^2.$$

We have  $|\tilde{L}_i - C \cdot L_i| \leq m \cdot Q_0$ , so we may assume that for small constants  $c_2, c_3$

$$\max |L_i - p_i| > c_2 \cdot C^{-1} \cdot \max |\tilde{L}_i - C \cdot p_i| > c_3 \cdot Q_0 / C.$$

By the choice of  $C$  this last bound has the required size.

Next, we study the inhomogeneous case, where not all  $\beta_i$  are zero. We take the same lattice  $\Gamma$  as in the homogeneous case (note that the lattice definition depends only on the  $\vartheta_{ij}$  and the  $C$ ). Consider the point

$$\underline{y} = (0, \dots, 0, -[C \cdot \beta_1], \dots, -[C \cdot \beta_n])^T.$$

From the reduced basis found by the  $L^3$ -algorithm we have a lower bound for  $\ell(\Gamma, \underline{y})$ . Assume that it is large enough, and of size  $Q_0$ . We take the same lattice point  $\underline{x} = \mathcal{B} \cdot (q_1, \dots, q_m, p_1, \dots, p_n)^T$  as in the homogeneous case. Then

$$\underline{x} - \underline{y} = (q_1, \dots, q_m, \tilde{L}_1 - C \cdot p_1, \dots, \tilde{L}_n - C \cdot p_n)^T,$$

where

$$\tilde{L}_i = [C \cdot \beta_i] + \sum_{j=1}^m q_j \cdot [C \cdot \vartheta_{ij}] \quad \text{for } i = 1, \dots, n.$$

The same reasoning as in the homogeneous case now yields the desired result. Note that if we have performed the  $L^3$ -algorithm once for given  $\vartheta_{ij}$ , we may use the result to treat the homogeneous case, and many inhomogeneous cases with different  $\beta_i$ 's as well, as long as the  $\vartheta_{ij}$ 's are the same.

The above process describes how to find lower bounds for systems of diophantine inequalities. It will be clear from the above that it is not difficult to find good solutions, i.e.  $(q_1, \dots, q_m, p_1, \dots, p_n)$  with  $Q \leq Q_0$  and  $\max |L_i - p_i|$  near to the best possible value. In particular, the basis vectors of a reduced basis are adequate for the homogeneous case, and for the inhomogeneous case the lattice points near to  $\underline{y}$  will be such solutions. The lattice points near to  $\underline{y}$  are not difficult to find once a reduced basis is available. Specifically, if  $s_1, \dots, s_n \in \mathbb{R}$  are the coordinates of  $\underline{y}$  with respect to a reduced basis, then one may take the lattice points with coordinates  $t_i \in \mathbb{Z}$  that are near to  $s_i$  ( $i = 1, \dots, n$ ).

In the definition of the matrix above the expressions  $[C \cdot \vartheta_{ij}]$  occur. Using these expressions we have constructed a lattice  $\Gamma$  that is completely integral, i.e.  $\Gamma \subset \mathbb{Z}^{m+n}$ . The  $L^3$ -algorithm can be adapted to work exact for those lattices, so that rounding-off errors are avoided (cf. Section 3.5). The "errors" occur in the difference between the  $\tilde{L}_i$  and the  $C \cdot L_i$ , and are thus kept under control by choosing the proper constants  $c_1, c_2, c_3$ . Of course one should take care to have the numerical values of the  $\vartheta_{ij}$  and the  $\beta_i$  correct to a sufficient precision. We shall discuss such numerical problems briefly in Section 2.5.

A possible variation of the above diophantine approximation problem is to give weights to the linear forms  $L_i$ , i.e. to look for a lower bound for

$$\max_i w_i \cdot |L_i - p_i|,$$

where the  $w_i$  are fixed positive numbers. This situation can be dealt with easily by replacing the  $C$ 's in the  $(n+i)$ th row of the matrix by proper constants depending on  $w_i$ .

Another variation is the problem where not all the variables  $q_j$  have the same upper bound  $Q_0$ . To illustrate this, assume that  $n = 1$ , and that

$$L = \sum_{j=1}^m q_j \cdot \vartheta_j.$$

Now suppose that for some  $Q_1 > Q_2$  (it will be handy to have  $Q_2 \mid Q_1$ ) we are interested in the solutions with

$$|q_j| \leq Q_1 \quad \text{for } j = 1, \dots, m_1,$$

$$|q_j| \leq Q_2 \text{ for } j = m_1+1, \dots, m,$$

$$|p| \leq Q_2.$$

Next let  $C$  be of the size of  $Q_1^{m_1} \cdot Q_2^{m-m_1+1}$ , and take the matrix

$$\begin{pmatrix} 1 & & & & & & & & \\ & \dots & & & & & & & \\ & & & 1 & & & & & \emptyset \\ & & \emptyset & & & & & & \\ & & & & Q_1/Q_2 & & & & \\ & & & & & & \dots & & \\ & & & & & & & Q_1/Q_2 & \\ [C \cdot \vartheta_1] & \dots & [C \cdot \vartheta_{m_1}] & [C \cdot \vartheta_{m_1+1}] & \dots & [C \cdot \vartheta_m] & -C \cdot Q_1/Q_2 & & \end{pmatrix}.$$

For a lattice point  $(q_1, \dots, q_m, \tilde{L}-C \cdot p)^T$  we expect that  $|\tilde{L}-C \cdot p| > c \cdot Q_1$  for some  $c$ . It follows that  $|L-p| > c' \cdot Q_1 / C > c'' \cdot Q_1^{-m_1+1} \cdot Q_2^{-(m-m_1+1)}$  for some  $c'$ ,  $c''$ . This variant is useful when a combination of real and p-adic techniques is used, such as for the Thue-Mahler equation (see Section 8.6).

We conclude this section by giving the analogous method of p-adic diophantine approximation. We assume that the  $\vartheta_{ij}, \beta_i$  are in  $\mathbb{Q}_p$ , and, moreover, that they are p-adic integers. Let  $\mathbb{N}_0 = \mathbb{N} \cup \{0\}$ . For any p-adic integer  $\gamma$  and any  $\mu \in \mathbb{N}_0$  we denote by  $\gamma^{(\mu)}$  the unique rational integer such that

$$\gamma \equiv \gamma^{(\mu)} \pmod{p^\mu}, \quad 0 \leq \gamma^{(\mu)} < p^\mu.$$

Let  $\mu \in \mathbb{N}$  be such that  $p^\mu$  is roughly the same size as  $Q_0^{1+m/n}$ , and assume that  $\mu$  is large enough (it is the analogue of the constant  $C$  in the real case above). Take for  $\Gamma$  the lattice of which a basis is given by the column vectors of the matrix

$$\mathfrak{B} = \begin{pmatrix} 1 & & & & & & & \\ & \dots & & & & & & \emptyset \\ & & \emptyset & & & & & \\ \vartheta_{11}^{(\mu)} & \dots & \vartheta_{1m}^{(\mu)} & p^\mu & & & & \\ \vdots & & \vdots & & & & & \\ \vartheta_{n1}^{(\mu)} & \dots & \vartheta_{nm}^{(\mu)} & & \emptyset & \dots & & p^\mu \end{pmatrix}.$$

Consider the lattice point



$$\mathfrak{B} \cdot (q_1, \dots, q_m, z_1, \dots, z_n)^T = (q_1, \dots, q_m, p_1, \dots, p_n)^T .$$

Then it is obvious that

$$p_i = \sum_{j=1}^m q_j \cdot \vartheta_{ij}^{(\mu)} + z_i \cdot p^\mu .$$

Hence the lattice  $\Gamma$  can be described as the set

$$\Gamma = \left\{ (q_1, \dots, q_m, p_1, \dots, p_n)^T \in \mathbb{Z}^{m+n} \mid \sum_{j=1}^m q_j \cdot \vartheta_{ij} \equiv p_i \pmod{p^\mu} \text{ for } i = 1, \dots, n \right\} .$$

The  $L^3$ -algorithm provides a lower bound for the length of the nonzero vectors in this set, which is of the same size as  $p^{\mu \cdot n / (n+m)}$ , and that of  $Q_0$ . This yields the desired result, if  $\mu$  is taken large enough.

For the inhomogeneous case, put

$$\underline{y} = (0, \dots, 0, -\beta_1^{(\mu)}, \dots, -\beta_n^{(\mu)})^T ,$$

and consider the set

$$\Gamma^* = \left\{ (q_1, \dots, q_m, p_1, \dots, p_n)^T \in \mathbb{Z}^{m+n} \mid \beta_i + \sum_{j=1}^m q_j \cdot \vartheta_{ij} \equiv p_i \pmod{p^\mu} \text{ for } i = 1, \dots, n \right\} .$$

Then  $\underline{x} \in \Gamma^*$  if and only if  $\underline{x} + \underline{y} \in \Gamma$ , so  $\Gamma^*$  is a translated lattice. A lower bound for  $\ell(\Gamma, \underline{y})$  now yields the desired result.

Again variations are possible, as in the real case, e.g. by replacing on the  $(n+i)$  th row the  $\mu$  by different  $\mu_i$ . It is even possible in this way to treat more than one prime  $p$  at the same time.

We conclude this section with three remarks. Firstly, in the case that the dimension of the lattice under consideration is only 2, the  $L^3$ -algorithm is essentially the continued fraction algorithm, and so yields nothing new. For the  $p$ -adic continued fraction algorithm, see de Weger [1986<sup>a</sup>]. Secondly, the inhomogeneous case of diophantine approximation of one linear form of real numbers can also be treated by what is known as Davenport's lemma, cf. Baker and Davenport [1969] (and its multi-dimensional generalization, cf. Ellison

[1971<sup>a</sup>]). We will return to this in Chapter 3, and explain there why we prefer our method.

Finally, one of the nice features of the above method of practical diophantine approximation is that if an extreme solution exists, then in the homogeneous case the lattice (with proper constant  $C$  or  $\mu$ ) will be distorted. This means that the reduced basis will not be as nice as expected, for example there might be a basis vector in it that is substantially shorter than the other ones. In the inhomogeneous case the existence of an extreme solution means that there is a lattice point extremely near to  $\underline{y}$ . The algorithm detects such an extraordinary situation at once, and in most cases the extremal solution is presented explicitly (e.g. in the homogeneous case as one of the vectors of the reduced basis). One can check whether this extremal solution actually satisfies the original equation, and then proceed by replacing in the above reasoning  $\ell(\Gamma)$  or  $\ell(\Gamma, \underline{y})$  by lower bounds for all vectors in the lattice except the extremal one. These new lower bounds will in general be of the expected size. However, when we solved diophantine equations in practice, we have never met such an extraordinary situation.

### 1.5. The procedure for reducing upper bounds.

We have seen in Section 1.2 how upper bounds for the solutions of the exponential inequalities and equations occurring there can be found. In Section 1.4 we have studied some diophantine approximation theory from a practical point of view. Now these two things come together.

From the application of the Gelfond-Baker theory we are left with the following problem. We have a linear form

$$\Lambda = \beta + \sum_{j=1}^m n_j \cdot \vartheta_j ,$$

where the  $\beta$  and  $\vartheta_j$  are constants (that they are logarithms of algebraic numbers is now of no importance anymore), and the  $n_j$  are integral unknowns. We know that  $\Lambda$  is extremely close to 0, namely

$$|\Lambda| < c \cdot \exp(-\delta \cdot N) ,$$

where  $c, \delta$  are (small) constants, and  $N = \max |n_j|$ . Finally, we have an explicit upper bound  $N_0$  for  $N$ . This  $N_0$  is very large,  $10^{50}$  say.

It will be clear from Section 1.4 that the methods outlined there are of use for solving this problem. For  $Q_0$  we take  $N_0$ . We have  $n = 1$ . In the real case we expect, by choosing  $C$  at least of size  $N_0^{m+1}$ , that

$$|\Lambda| > c' \cdot N_0^{-m},$$

for a small constant  $c'$ . It follows by combining the two inequalities for  $|\Lambda|$  that

$$N < \log(c/c')/\delta + (m/\delta) \cdot \log N_0.$$

So the upper bound  $N_0$  for  $N$  is reduced to an upper bound  $N_1$  of the size of  $\log N_0$ , which is a considerable improvement indeed. We now may apply the procedure with  $N_1$  instead of  $N_0$ , and repeat until no further improvement is obtained. In practice it appears almost always to be the case that in that situation the reduced upper bound is near to the actual largest solution, anyway so small that simple methods of finding all the solutions below that bound suffice.

In the  $p$ -adic case an analogous reduction of upper bounds can be reached, following a similar argument. We have for the linear form  $\Lambda$  (cf. (1.4)),

$$\text{ord}_p(\Lambda) \leq c_1 + c_2 \cdot m_j,$$

where  $c_1, c_2$  are small constants, and  $m_j$  is one of the variables. Moreover, the variables are bounded by a large constant  $N_0$ , that is explicitly known. We take  $\mu$  such that  $p^\mu$  is at least of size  $N_0^{m+1}$ , so that the lower bound for the shortest nonzero vector in  $\Gamma$  (or  $\Gamma^*$ ) is larger than  $\sqrt{m} \cdot N_0$ . Then it follows that the elements of the lattice  $\Gamma$  (or of the translated lattice  $\Gamma^*$ ) cannot be solutions of (1.2). Therefore,

$$c_1 + c_2 \cdot m_j < \mu,$$

so that we find a new upper bound for  $m_j$ , that is of the size of  $\mu$ , which is about  $\log N_0 / \log p$ . We repeat this procedure for all the  $m_j$ , in order to obtain a reduced upper bound for  $H_p$ . If this is not yet sufficient to derive at once a reduced upper bound for  $H$ , then we can do so by applying a reduction step for real linear forms, where we may take advantage of the fact that for some of the variables a much better upper bound has just been found (cf. the second variation in Section 1.4). Again we repeat the whole procedure as far as possible.

## CHAPTER 2. PRELIMINARIES.

### 2.1. Algebraic number theory.

In this section we quote results from algebraic number theory that we use throughout the remaining chapters. We refer to Borevich and Shafarevich [1966] or other text-books on algebraic number theory for full details.

Let  $K$  be a finite algebraic extension of  $\mathbb{Q}$ , of degree  $D = [K:\mathbb{Q}]$ . There are  $D$  embeddings  $\sigma : K \rightarrow \mathbb{C}$ . Let  $\alpha \in K$  be an element of degree  $d$ , and let  $a_0 > 0$  be the leading coefficient of its minimal polynomial over  $\mathbb{Z}$ . We define the (*logarithmic*) height  $h(\alpha)$  by

$$h(\alpha) = \frac{1}{D} \cdot \log \left[ a_0^{D/d} \cdot \prod_{\sigma} \max(1, |\sigma(\alpha)|) \right],$$

where the product is taken over all embeddings  $\sigma$ . Note that this definition does not depend on the field  $K$ . Hence, if the conjugates of  $\alpha$  are  $\alpha = \alpha_1, \dots, \alpha_d$ , then the above definition applied for  $K = \mathbb{Q}(\alpha)$  yields

$$h(\alpha) = \frac{1}{d} \cdot \log \left[ a_0 \cdot \prod_{i=1}^d \max(1, |\alpha_i|) \right].$$

In particular, if  $\alpha \in \mathbb{Q}$ , then with  $\alpha = p/q$  for  $p, q \in \mathbb{Z}$  with  $(p, q) = 1$  we have  $h(\alpha) = \log \max(|p|, |q|)$ , and if  $\alpha \in \mathbb{Z}$  then  $h(\alpha) = \log |\alpha|$ .

Let there be  $s$  real and  $2 \cdot t$  non-real embeddings (with  $D = s + 2 \cdot t$ ). Then Dirichlet's Unit Theorem states that there exists a system of  $r$  independent units  $\epsilon_1, \dots, \epsilon_r$ , where  $r = s + t - 1$ , such that the group of units of  $K$  is given by

$$\left\{ \zeta \cdot \epsilon_1^{a_1} \cdots \epsilon_r^{a_r} \mid \zeta \text{ a root of unity, } a_i \in \mathbb{Z} \text{ for } i=1, \dots, r \right\}.$$

There are only finitely many roots of unity in  $K$ . Any set of independent units that generate the torsion-free part of the unit group is called a system of *fundamental units*.

The number  $\alpha$  is called an *algebraic integer* if  $a_0 = 1$ . Let the *norm* of an

element  $\alpha \in K$  be defined by

$$N_{K/\mathbb{Q}}(\alpha) = \prod_{\sigma} \sigma(\alpha) = \left( \prod_{i=1}^d \alpha_i \right)^{D/d} .$$

For algebraic integers,  $N_{K/\mathbb{Q}}(\alpha) \in \mathbb{Z}$ . The units are precisely the elements of norm  $\pm 1$ . Two elements  $\alpha, \beta$  of  $K$  are called *associates* if there is a unit  $\epsilon$  such that  $\alpha = \epsilon \cdot \beta$ . Let  $(\alpha)$  denote the ideal generated by  $\alpha$ . Associated elements generate the same ideal, and distinct generators of an ideal are associates. There exist only finitely many non-associated algebraic integers in  $K$  with given norm. The ring of algebraic integers is denoted by  $\mathcal{O}_K$ . Let  $\alpha_1, \dots, \alpha_D$  be elements of  $\mathcal{O}_K$  that are  $\mathbb{Q}$ -linearly independent. Then  $\mathbb{Z} \cdot \alpha_1 \times \dots \times \mathbb{Z} \cdot \alpha_D$  is called an *order* of  $K$  if it is a subring of the 'maximal order'  $\mathcal{O}_K$ .

In  $K$  any algebraic integer can be written as a product of irreducible elements. Here an *irreducible* element (*prime* element) is an element that has no integral divisors but its own associates. However, this decomposition into primes need not be unique. Ideals can also be decomposed into prime ideals, and this decomposition is unique. A *principal ideal* is an ideal generated by a single element  $\alpha$ . Two fractional ideals are called equivalent if their quotient is principal. It is well known that there are only finitely many equivalence classes. Their number is called the *class number*  $h_K$ . For an ideal  $\mathfrak{a}$  it is always true that  $\mathfrak{a}^{h_K}$  is a principal ideal. The norm of the (integral) ideal  $\mathfrak{a}$  is defined by  $N_{K/\mathbb{Q}}(\mathfrak{a}) = \#(\mathcal{O}_K/\mathfrak{a})$ .

For a prime ideal  $\mathfrak{p}$  there is always a rational prime number  $p$  such that  $\mathfrak{p}$  is a divisor of  $(p)$ . We say that  $\mathfrak{p}$  *lies above*  $p$ . The *ramification index*  $e_{\mathfrak{p}}$  is the largest power to which  $\mathfrak{p}$  divides  $(p)$ . The *residue class degree*  $f_{\mathfrak{p}}$  is the integer such that

$$N_{K/\mathbb{Q}}(\mathfrak{p}) = p^{f_{\mathfrak{p}}} .$$

We denote by  $\text{ord}_{\mathfrak{p}}(\mathfrak{a})$  the exact power to which the prime ideal  $\mathfrak{p}$  divides the ideal  $\mathfrak{a}$ . For fractional ideals  $\mathfrak{a}$  this number can of course be negative. For numbers  $\alpha$  we write  $\text{ord}_{\mathfrak{p}}(\alpha)$  for  $\text{ord}_{\mathfrak{p}}((\alpha))$ . Note that

$$\text{ord}_{\mathfrak{p}}(\alpha) = \text{ord}_{\mathfrak{p}}(\alpha)/e_{\mathfrak{p}}$$

can be defined for all  $\alpha \in K$ . We will return to this in Section 2.3, which deals with  $p$ -adic number theory.

## 2.2. Some auxiliary lemmas.

In this section we give a few simple auxiliary lemmas. The first one enables us to find an upper bound in closed form for some real number  $x > 1$  that is bounded by a polynomial in  $\log x$ . See Pethö and de Weger [1986], Lemma 2.3.

LEMMA 2.1. *Let  $a \geq 0$ ,  $h \geq 1$ ,  $b > 0$ , and let  $x \in \mathbb{R}$ ,  $x > 1$  satisfy*

$$x \leq a + b \cdot (\log x)^h .$$

*If  $b > (e^2/h)^h$  then*

$$x < 2^h \cdot (a^{1/h} + b^{1/h} \cdot \log(h^h \cdot b))^h ,$$

*and if  $b \leq (e^2/h)^h$  then*

$$x \leq 2^h \cdot (a^{1/h} + 2 \cdot e^2)^h .$$

Proof. We may assume that  $x$  is the largest solution of

$$x = a + b \cdot (\log x)^h .$$

By  $(z_1 + z_2)^{1/h} \leq z_1^{1/h} + z_2^{1/h}$  we infer

$$x^{1/h} \leq a^{1/h} + c \cdot \log(x^{1/h}) ,$$

where  $c = h \cdot b^{1/h}$ . Define  $y$  by  $x^{1/h} = (1+y) \cdot c \cdot \log c$ . From

$$\log c < \log(c \cdot \log c)$$

it follows that

$$c^h \cdot (\log c)^h < b \cdot (\log(c^h \cdot (\log c)^h))^h ,$$

which implies  $x > c^h \cdot (\log c)^h$ . Hence  $y > 0$ . Now,

$$\begin{aligned} (1+y) \cdot c \cdot \log c = x^{1/h} &\leq a^{1/h} + c \cdot \log(1+y) + c \cdot \log c + c \cdot \log \log c \\ &< a^{1/h} + c \cdot y + c \cdot \log c + c \cdot \log \log c . \end{aligned}$$

Hence

$$y \cdot c \cdot (\log c - 1) < a^{1/h} + c \cdot \log \log c .$$

If  $c \geq e^2$  it follows that

$$x^{1/h} = c \cdot \log c + y \cdot c \cdot \log c < c \cdot \log c + \frac{\log c}{\log c - 1} \cdot (a^{1/h} + c \cdot \log \log c) \\ < 2 \cdot (a^{1/h} + c \cdot \log c) .$$

If  $c \leq e^2$ , then note that  $x \leq a + (e^2/h)^h \cdot (\log x)^h$ . So we may assume  $c = e^2$  in this case. The result follows.  $\square$

The next lemmas make explicit that  $x$  and  $\log(1+x)$  are near if  $|x|$  is small in the real and complex case, respectively.

LEMMA 2.2. *Let  $a \in \mathbb{R}$ . If  $a < 1$  and  $|x| < a$  then*

$$|\log(1+x)| < \frac{-\log(1-a)}{a} \cdot |x| ,$$

and

$$|x| < \frac{a}{1-e^{-a}} \cdot |e^x - 1| .$$

Proof. Note that  $\log(1+x)/x$  is a strictly positive and strictly decreasing function for  $|x| < 1$ . Hence it is for  $|x| < a$  always less than its value at  $x = -a$ . The same is true for the function  $x/(e^x - 1)$ .  $\square$

LEMMA 2.3. *Let  $0 < a \leq \pi$ . If  $|x| < a$  then*

$$|x| < \frac{a}{2 \cdot \sin(a/2)} \cdot |e^{i \cdot x} - 1| .$$

If  $a < 2$ ,  $|e^{i \cdot x} - 1| < a$  and  $|x| < \pi$  then

$$|x| < \frac{2 \cdot \arcsin(a/2)}{a} \cdot |e^{i \cdot x} - 1| .$$

Proof. Note that  $|e^{i \cdot x} - 1| = 2 \cdot |\sin(\frac{1}{2} \cdot x)|$ . and that  $2 \cdot \sin(\frac{1}{2} \cdot x)/x$  is a positive and even function, that decreases on  $0 \leq x < a$ . Hence it takes its minimal value at  $x = a$ . The first inequality now follows. The second one can be proved in a similar way.  $\square$

### 2.3. p-adic numbers and functions.

In this section we mention the facts about p-adic numbers and functions that we use. For details we refer to Bachman [1964] and Koblitz [1977], [1980].

We assume that the reader is familiar with the field of  $p$ -adic numbers  $\mathbb{Q}_p$  and the  $p$ -adic valuation  $\text{ord}_p$ . Note that the ordinary  $\text{ord}_p$  as defined in  $\mathbb{Q}_p$  coincides with the definition given in Section 2.1. We denote by  $\bar{\mathbb{Q}}_p$  the completion of the algebraic closure of  $\mathbb{Q}_p$ , i.e. the field to which all  $p$ -adic theory is applied.

Every nonzero number  $\alpha \in \mathbb{Q}_p$  has a  $p$ -adic expansion

$$\alpha = \sum_{i=k}^{\infty} u_i \cdot p^i,$$

where  $k = \text{ord}_p(\alpha)$  and the  $p$ -adic digits  $u_i$  are in  $\{0, 1, \dots, p-1\}$ , with  $u_k \neq 0$ . The number 0 can be represented in this way by taking  $k = 0$  and all digits equal to 0, and  $\text{ord}_p(0) = \infty$  by definition. If  $\text{ord}_p(\alpha) \geq 0$  then  $\alpha$  is called a  $p$ -adic integer. The set of  $p$ -adic integers is denoted by  $\mathbb{Z}_p$ . A  $p$ -adic unit is an  $\alpha \in \mathbb{Q}_p$  with  $\text{ord}_p(\alpha) = 0$ . For any  $p$ -adic integer  $\alpha$  and any  $\mu \in \mathbb{N}_0$  there exists a unique rational integer  $\alpha^{(\mu)} = \sum_{i=0}^{\mu-1} u_i \cdot p^i$  satisfying

$$\text{ord}_p(\alpha - \alpha^{(\mu)}) \geq \mu, \quad 0 \leq \alpha^{(\mu)} \leq p^\mu - 1.$$

For  $\text{ord}_p(\alpha) \geq k$  we also write  $\alpha \equiv 0 \pmod{p^k}$ . The  $p$ -adic norm is defined by

$$|\alpha|_p = p^{-\text{ord}_p(\alpha)}.$$

In Section 2.1 we have seen how to define  $\text{ord}_p$  and  $\text{ord}_p$  on algebraic extensions of  $\mathbb{Q}$ . For any  $\alpha \in \bar{\mathbb{Q}}_p$  with  $\text{ord}_p(\alpha) > 1/(p-1)$  we can define the  $p$ -adic logarithm  $\log_p(1+\alpha)$  by the Taylor series

$$\log_p(1+\alpha) = \alpha - \alpha^2/2 + \alpha^3/3 - \dots.$$

This logarithmic function has the well known properties, such as  $\log_p(\xi_1 \cdot \xi_2) = \log_p(\xi_1) + \log_p(\xi_2)$  for all  $\xi_1, \xi_2$  for which it is defined. Further,  $\log_p(\xi) = 0$  if and only if  $\xi$  is a root of unity. In  $\bar{\mathbb{Q}}_p$  the only roots of unity are the  $(p-1)$ th roots of unity (if  $p$  is odd). Using these properties, this logarithmic function can be extended to all  $\xi \in \bar{\mathbb{Q}}_p$  with  $\text{ord}_p(\xi) = 0$ , as follows. Let  $k \in \mathbb{N}$  such that  $\text{ord}_p(\xi^{k-1}) > 1/(p-1)$ . Then



$$\log_p(\xi) = \frac{1}{k} \cdot \log_p(1+(\xi^k-1)) .$$

An equivalent definition is  $\log_p(\xi) = \log_p(\xi/\zeta)$  , where  $\zeta$  is a root of unity such that  $\text{ord}_p(\xi-\zeta) > 0$  . In this way the p-adic logarithm is a well defined function. Note that  $\log_p(\xi)$  lies in the subfield of  $\Omega_p$  generated by  $\xi$  . Finally we note that if  $\text{ord}_p(\xi) > 1/(p-1)$  then

$$\text{ord}_p(\xi) = \text{ord}_p(\log_p(1+\xi)) .$$

#### 2.4. Lower bounds for linear forms in logarithms.

In this section we quote in detail the results from the Gelfond-Baker theory that we use. They yield lower bounds for linear forms in logarithms of algebraic numbers. We do not always give the theorems in their full generality, since in this thesis only linear forms with rational unknowns occur, whereas most Gelfond-Baker theorems are formulated for linear forms with algebraic unknowns. We selected results that give completely explicit constants. The first result in this field for a linear form in logarithms with at least three terms is due to Baker [1966], and in the p-adic case to Coates [1969], [1970]. For a survey of this theory, see Baker [1977] and van der Poorten [1977]. We will use more recent, sharper results, due to Waldschmidt [1980] and Yu [1987<sup>a</sup>]. Further improvements of the constants have been reached, but too recently to be taken into account in this thesis.

First we deal with real/complex linear forms in logarithms. We quote the result of Waldschmidt [1980].

LEMMA 2.4 (Waldschmidt). *Let  $K$  be a number field with  $[K:\mathbb{Q}] = D$  . Let  $\alpha_1, \dots, \alpha_n \in K$  , and  $b_1, \dots, b_n \in \mathbb{Z}$  (  $n \geq 2$  ) . Let  $V_1, \dots, V_n$  be positive real numbers satisfying  $1/D \leq V_1 \leq \dots \leq V_n$  and*

$$V_j \geq \max \left( h(\alpha_j), |\log \alpha_j|/D \right) \text{ for } j = 1, \dots, n .$$

where  $\log \alpha_j$  for  $j = 1, \dots, n$  is an arbitrary but fixed determination of the logarithm of  $\alpha_j$  . Let  $V_j^+ = \max(V_j, 1)$  for  $j = n, n-1$  , and put

$$\Lambda = \sum_{j=1}^n b_j \cdot \log \alpha_j .$$

Put  $B = \max_{1 \leq i \leq n} |b_i|$ . If  $\Lambda \neq 0$  then

$$|\Lambda| > \exp \left[ -2^{e(n)} \cdot n^{2 \cdot n} \cdot D^{n+2} \cdot V_1 \cdots V_n \cdot \log(e \cdot D \cdot V_{n-1}^+) \cdot \left( \log B + \log(e \cdot D \cdot V_n^+) \right) \right],$$

where  $e(n) = \min \{ 8 \cdot n + 51, 10 \cdot n + 33, 9 \cdot n + 39 \}$ . If, moreover, it is known that  $[\mathbb{Q}(\sqrt{\alpha_1}, \dots, \sqrt{\alpha_n}) : \mathbb{Q}] = 2^n$ , then we can take  $e(n) = 9 \cdot n + 26$  and replace the factor  $n^{2 \cdot n}$  in the above bound for  $|\Lambda|$  by  $n^{n+4}$ .

Waldschmidt's main theorem does not give the constant  $e(n)$  as detailed as we do, but he does so in his proof, cf. p. 283. We remark that improvements of the above bounds have recently been found by Blass, Glass, Meronk and Steiner [1987<sup>c</sup>], [1987<sup>d</sup>], Loxton, Mignotte, van der Poorten and Waldschmidt [1987], and Philippon and Waldschmidt [1987]. For the case  $n = 2$ , a sharp bound has been given by Mignotte and Waldschmidt [1978].

In the  $p$ -adic case we quote two results: one due to Schinzel [1967] (Theorem 1) for the case of a linear form in logarithms with two terms, and another for the general case, due to Yu [1987<sup>a</sup>] (Theorem 1, see also Yu [1987<sup>b</sup>]). We note that Yu's bounds improve much upon the results of van der Poorten [1977]. Moreover, van der Poorten's proofs seem to contain some errors. We give Schinzel's result for quadratic fields only.

LEMMA 2.5 (Schinzel). Let  $p$  be prime. Let  $\Delta$  be a squarefree integer, and let  $D$  be the discriminant of  $K = \mathbb{Q}(\sqrt{\Delta})$ . Let  $\xi = \xi''/\xi'$  and  $\chi = \chi''/\chi'$  be elements of  $K$ , where  $\xi', \xi'', \chi', \chi''$  are algebraic integers. Put

$$L = \log \max \left( |e \cdot D|^{1/4}, \|\xi' \cdot \chi'\|, \|\xi' \cdot \chi''\|, \|\xi'' \cdot \chi'\|, \|\xi'' \cdot \chi''\| \right),$$

where  $\|\gamma\|$  denotes the maximal absolute value of the conjugates of  $\gamma \in K$ . Let  $\mathfrak{p}$  be a prime ideal of  $K$  with norm  $N\mathfrak{p} = p^\rho$ . Put  $\psi = 2/\rho \cdot \log p$ ,  $\varphi = \text{ord}_{\mathfrak{p}}(p)$ . If  $\xi$  or  $\chi$  is a  $\mathfrak{p}$ -adic unit and  $\xi^n \neq \chi^m$ , then

$$\text{ord}_{\mathfrak{p}}(\xi^n - \chi^m) < 10^6 \cdot \psi^7 \cdot \varphi^{-2} \cdot L^4 \cdot p^{4 \cdot \rho + 4} \cdot (\log \max(|m|, |n|) + \varphi \cdot L \cdot p^\rho + 2/L)^3.$$

LEMMA 2.6 (Yu). Let  $\alpha_1, \dots, \alpha_n$  ( $n \geq 2$ ) be nonzero algebraic numbers. Put  $L = \mathbb{Q}(\alpha_1, \dots, \alpha_n)$ ,  $d = [L : \mathbb{Q}]$ . Let  $b_1, \dots, b_n$  be rational integers. Let  $\mathfrak{p}$  be a prime ideal of  $L$ , lying above the rational prime  $p$ . Let  $e_{\mathfrak{p}}$  be the ramification index, and  $f_{\mathfrak{p}}$  the residue class degree of  $\mathfrak{p}$ . Write  $L_{\mathfrak{p}}$  for the completion of  $L$  with respect to  $\text{ord}_{\mathfrak{p}}$ . (Note that for all

$\beta \in L_p$  we have  $\text{ord}_p(\beta) = e_p \cdot \text{ord}_p(\beta)$  .) Let  $q$  be a rational prime such that

$$q \nmid p \cdot (p^{f_p-1}) .$$

Let

$$V_j \geq \max \{ h(\alpha_j), f_p \cdot (\log p)/d \} \quad \text{for } j = 1, \dots, n ,$$

such that  $V_1 \leq \dots \leq V_{n-1}$  ,  $V_{n-1}^+ = \max(1, V_{n-1})$  ,

$$B_0 \geq \min_{1 \leq j \leq n, b_j \neq 0} |b_j| , \quad B_n \geq |b_n| , \quad B' \geq \max_{1 \leq j \leq n-1} |b_j| ,$$

$$B \geq \max \{ |b_1|, \dots, |b_n|, 2 \} ,$$

$$W \geq \max \left[ \log\left(1 + \frac{3}{4 \cdot n} \cdot B\right), \log B_0, f_p \cdot (\log p)/d \right] .$$

Suppose that  $\text{ord}_p(\alpha_j) = 0$  for  $j = 1, \dots, n$  , that

$$[L(\alpha_1^{1/q}, \dots, \alpha_n^{1/q}) : L] = q^n , \tag{2.1}$$

that  $\text{ord}_p(b_n) \leq \text{ord}_p(b_j)$  for  $j = 1, \dots, n$  , and  $\alpha_1^{b_1} \cdot \dots \cdot \alpha_n^{b_n} \neq 1$  . Then

$$\begin{aligned} \text{ord}_p(\alpha_1^{b_1} \cdot \dots \cdot \alpha_n^{b_n-1}) &< C_1(p, n) \cdot a_1^n \cdot n^{n+5/2} \cdot q^{2 \cdot n} \cdot (q-1) \cdot \log^2(n \cdot q) \cdot \\ &\cdot (p^{f_p-1}) \cdot \left(2 + \frac{1}{p-1}\right)^n \cdot (f_p \cdot (\log p)/d)^{-(n+2)} \cdot V_1 \cdot \dots \cdot V_n \cdot \\ &\cdot \left[\frac{W}{6 \cdot n} + \log(4 \cdot d)\right] \cdot \left[\log(4 \cdot d \cdot V_{n-1}^+) + f_p \cdot (\log p)/8 \cdot n\right] , \end{aligned}$$

where

$$a_1 = 56 \cdot e/15 \quad \text{if } n \leq 7 , \quad a_1 = 8 \cdot e/3 \quad \text{if } n \geq 8 ,$$

and  $C_1(p, n)$  is given by the following table, with for  $p \geq 5$

$$C_1(p, n) = C'_1(p, n) \cdot \left(2 + \frac{1}{p-1}\right)^2 .$$

n	2	3	4	5	6	7	$\geq 8$
$C_1(2, n)$	768523	476217	373024	318871	284931	261379	2770008
$C_1(3, n)$	167881	104028	81486	69657	62243	57098	116055
$C'_1(p, n)$	87055	53944	42255	36121	32276	24584	311077

Remark. Yu [1987<sup>a</sup>] announces that the 'independence condition' (2.1) can be removed. This may be at the cost of somewhat larger constants.

## 2.5. Numerical methods.

In solving diophantine equations using computational methods from diophantine approximation theory, as we will do in Chapters 4 to 8, it is necessary to have logarithms (real, complex or  $p$ -adic) of algebraic numbers available to a large enough precision (maybe several hundreds of digits). We will not go deeply into the problems of computing such approximations, but make only a few remarks on it in this section.

To start with, the precision with which most computers (mainframes as well as personal computers) work, is insufficient for our purposes. Usually at most double precision (52 bits, equivalent to 15 decimal digits), or at best quadruple precision (112 bits, equivalent to 33 decimal digits) is standard available. This is not sufficient for our purposes, not only because we may require larger precision, but also because we want to have the rounding off errors under control, to be sure that no solution of a diophantine equation is missed by unexpected consequences of rounding off errors.

Packages for computations with arbitrary precision are available and very useful, e.g. the MP package of R.P. Brent (cf. Brent [1978]). It is not difficult to write one's own package for simple manipulations on multi-precision numbers, such as addition, multiplication and division (cf. Knuth [1981] for efficient algorithms). No such packages are available for manipulations on  $p$ -adic numbers, but the programs are similar to those for real numbers.

Computing roots of polynomials with integral coefficients can be done by Newton's method, both in the real and the  $p$ -adic case. One should make sure that the result obtained is correct to the desired precision, preferably not (only) by substituting the found approximation of the root into the polynomial and checking that the result is 0 within the desired precision, but (also) by theoretical error estimates for the Newton method.

Computing logarithms can be done by the Newton method too. However, we did it by using the Taylor series

$$\log(1+x) = x - x^2/2 + x^3/3 - \dots ,$$

or by the more rapidly converging series

$$\log \frac{1+x}{1-x} = 2 \cdot ( x + x^3/3 + x^5/5 + \dots ) .$$

For  $|x|$  very small this method works fast, whereas for larger  $|x|$  the following idea works well. Compute approximations to the desired precision of  $\log 1.1$ ,  $\log 1.0001$ ,  $\log 1.00000001$ , say, and store them. Now compute  $x_1 \in [1, 1.1)$  and  $k_1 \in \mathbb{N}_0$  such that

$$x = x_1 \cdot 1.1^{k_1} ,$$

which is a matter of a few divisions of a multi-precision number with a rational number with small numerator and denominator (11 and 10) only, that can be done fast. Next, compute  $x_2 \in [1, 1.0001)$  and  $k_2 \in \mathbb{N}_0$  such that

$$x_1 = x_2 \cdot 1.0001^{k_2} ,$$

and  $x_3 \in [1, 1.00000001)$  and  $k_3 \in \mathbb{N}_0$  such that

$$x_2 = x_3 \cdot 1.00000001^{k_3} .$$

Then compute  $\log x_3$  by the Taylor series, which converges very fast, and compute  $\log x$  by

$$\log x = \log x_3 + k_3 \cdot \log 1.00000001 + k_2 \cdot \log 1.0001 + k_1 \cdot \log 1.1 .$$

When computing all this, one should take care of having the rounding off errors at each addition/multiplication under control. This can e.g. be done by doing all computations twice, rounding off in different directions at each step, such that finally a small interval is found in which the exact number lies (with mathematical certainty).

Computation of  $\arctan x$  is done by the Taylor series

$$\arctan x = x - x^3/3 + x^5/5 - \dots .$$

The number  $\pi = 3.14159\dots$  can be computed rapidly by this series for the arctan function, by the identity

$$\pi = 16 \cdot \arctan 1/5 - 4 \cdot \arctan 1/239 .$$

Doing p-adic arithmetic has the advantage above real arithmetic that rounding off errors do not tend to become larger, as long as one is not dividing by a number with large p-adic order. If  $\text{ord}_p(x) > 0$  then  $\log_p(1+x)$  can be computed by the Taylor series

$$\log_p(1+x) = x - x^2/2 + x^3/3 + \dots ,$$

and also it may be useful to compute

$$\log_p \frac{1+x}{1-x} = 2 \cdot ( x + x^3/3 + x^5/5 + \dots ) .$$

If  $x \not\equiv 0 \pmod{p}$  and  $x \not\equiv 1 \pmod{p}$  then  $\log_p x$  can be computed, since there exists a  $k \in \mathbb{N}$  such that  $x^k \equiv 1 \pmod{p}$ , and then

$$\log_p x = \frac{1}{k} \cdot \log_p (1+(x^k-1))$$

and the above given Taylor series can be used to compute  $\log_p x$ . Note that in computing the above mentioned Taylor series there will be factors  $p$  in the denominators of the terms. Hence, to find the first  $\mu$  p-adic digits of  $\log_p(1+x)$ , it is not enough to compute only the first  $\mu/\text{ord}_p(x)$  terms of the Taylor series, but the first  $k$  terms must be taken into account, where  $k$  is the smallest integer satisfying

$$k \cdot \text{ord}_p(x) - \log k / \log p \geq \mu .$$

For rapid convergence of Taylor series it is desirable to apply them only for numbers  $x$  with large p-adic order. For example,

$$\log_3 4 = 3 - 3^2/2 + 3^3/3 - \dots$$

converges not as fast as

$$\log_3 4 = \frac{1}{3} \cdot \log_3 64 = \frac{1}{3} \cdot ( 7 \cdot 3^2 - 7^2 \cdot 3^4/2 + 7^3 \cdot 3^6/3 - \dots ) ,$$

or as

$$\log_3 4 = \log_3 \frac{1+3/5}{1-3/5} = 2 \cdot ( 3/5 + 3^3/3 \cdot 5^3 + 3^5/5 \cdot 5^5 + \dots ) ,$$

or as

$$\begin{aligned} \log_3 4 = \frac{1}{3} \cdot \log_3 \frac{1+7 \cdot 3^2/65}{1-7 \cdot 3^2/65} &= \frac{2}{3} \cdot ( 7 \cdot 3^2/65 + 7^3 \cdot 3^6/3 \cdot 65^3 \\ &+ 7^5 \cdot 3^{10}/5 \cdot 65^5 + \dots ) . \end{aligned}$$

The above considerations are sufficient for doing exact computations with the  $L^3$ -algorithm, as we present it in Section 3.5. We also use the simple continued fraction algorithm in some instances. This we do as follows. Suppose we want to compute the continued fraction expansion of a real number  $\vartheta$ , that we have approximated by rational numbers  $\vartheta_1, \vartheta_2$  such that

$$\vartheta_1 < \vartheta < \vartheta_2 < \vartheta_1 + \epsilon$$

for some small  $\epsilon$ . We can compute the continued fraction expansions of  $\vartheta_1$  and  $\vartheta_2$  exactly. As far as they coincide, they coincide also with the continued fraction expansion of  $\vartheta$ . If the continued fraction expansion of  $\vartheta$  is needed so far that the  $k$ th convergent with denominator  $q_k > X_0$  be known exactly, for a given (large) constant  $X_0$ , then  $\epsilon$  should be at least as small as  $X_0^{-2}$ .

Almost all computer calculations done for the research of this thesis were performed on an IBM 3083 computer at the Centraal Rekeninstituut of the University of Leiden, using the Fortran-77 language. Also some computations were done at a VAX 11/750 computer at the Rekencentrum of the University of Twente.

## CHAPTER 3. ALGORITHMS FOR DIOPHANTINE APPROXIMATION.

### 3.1. Introduction.

In this section we give details of the computational methods we use to reduce upper bounds for the solutions of diophantine equations. Our starting point will always be a linear form  $\Lambda$ , that is close to 0 (in the real or p-adic sense, with the word "close" defined explicitly in terms of an inequality involving the unknowns), together with a large but explicitly known upper bound for the absolute values of the unknowns. Our aim is to reduce the upper bound by showing that there are no solutions between the new and the old upper bound.

Let  $\vartheta_1, \dots, \vartheta_n, \beta$  be given numbers, in  $\mathbb{R}$ , or in  $\Omega_p$ , for a fixed prime  $p$ . Let  $x_1, \dots, x_n$  be unknowns in  $\mathbb{Z}$ . Put

$$\Lambda = \beta + \sum_{i=1}^n x_i \cdot \vartheta_i .$$

We classify such linear forms according to three criteria:

- homogeneous if  $\beta = 0$ , inhomogeneous if  $\beta \neq 0$ ;
- one-dimensional if  $n = 2$ , multi-dimensional if  $n \geq 3$ ;
- real if all the numbers are in  $\mathbb{R}$ , p-adic if all the numbers are in  $\Omega_p$ .

The reason that the case  $n = 2$  is called one-dimensional is that in the homogeneous case the linear form

$$\Lambda = x_1 \cdot \vartheta_1 + x_2 \cdot \vartheta_2$$

leads to studying the simple, one-dimensional continued fraction expansion of  $-\vartheta_1/\vartheta_2$ . The inhomogeneous case with  $n = 1$ , viz.

$$\Lambda = \beta + x \cdot \vartheta$$

is not of any interest in the real case, but it is of interest in the p-adic case. We call this the zero-dimensional case.

In the p-adic case we require that the quotients  $\vartheta_i/\vartheta_j$  and  $\beta/\vartheta_j$  are in



$\mathbb{Q}_p$  itself, whereas the numbers  $\vartheta_i, \beta$  are allowed to be in some larger subfield of  $\Omega_p$ .

Let  $c, \delta$  be positive constants. Put  $X = \max |x_i|$ . Let  $X_0$  be a (large) positive constant. In the real case we shall always assume that

$$|\Lambda| < c \cdot \exp(-\delta \cdot X) , \quad (3.1)$$

$$X \leq X_0 . \quad (3.2)$$

Let  $c_1, c_2$  be real constants, with  $c_2 > 0$ . In the  $p$ -adic case we shall assume that  $x_j > 0$  for some index  $j \in \{1, \dots, n\}$ , and

$$\text{ord}_p(\Lambda) \geq c_1 + c_2 \cdot x_j , \quad (3.3)$$

$$X \leq X_0 . \quad (3.4)$$

Our aim is to find a constant  $X_1$ , of the size of  $\log X_0$ , such that in the real case (3.2) can be replaced by  $X \leq X_1$ , and in the  $p$ -adic case the bound  $x_j \leq X_0$  (a consequence of (3.4)) can be improved to  $x_j \leq X_1$ .

In the forthcoming sections we treat all cases, according to the classification given above. We insert Sections 3.4, 3.5 on the  $L^3$ -algorithm, which will be our main computational tool, Section 3.6 on finding short vectors in lattices, and Section 3.13 on certain sublattices that are useful for our applications.

### 3.2. Homogeneous one-dimensional approximation in the real case: continued fractions.

We first study the case

$$\Lambda = x_1 \cdot \vartheta_1 + x_2 \cdot \vartheta_2 .$$

Put  $\vartheta = -\vartheta_1/\vartheta_2$ . We assume that  $\vartheta$  is irrational. Let the continued fraction expansion of  $\vartheta$  be given by

$$\vartheta = [ a_0, a_1, a_2, \dots ] ,$$

and let the convergents  $p_n/q_n$  for  $n = 0, 1, 2, \dots$  be defined by

$$\begin{cases} p_{-1} = 1, & p_0 = a_0, & p_{n+1} = a_{n+1} \cdot p_n + p_{n-1} \\ q_{-1} = 0, & q_0 = 1, & q_{n+1} = a_{n+1} \cdot q_n + q_{n-1} \end{cases}.$$

It is well known that the convergents satisfy the inequalities

$$\frac{1}{(a_{n+1}+2) \cdot q_n^2} < \left| \vartheta - \frac{p_n}{q_n} \right| < \frac{1}{a_{n+1} \cdot q_n^2}, \quad (3.5)$$

and that if  $p/q$  satisfies the inequality

$$\left| \vartheta - \frac{p}{q} \right| < \frac{1}{2 \cdot q^2}, \quad (3.6)$$

then  $p/q$  must be one of the convergents (cf. Hardy and Wright [1979], Theorems 163, 171 and 184).

We may assume without loss of generality that  $|\vartheta_1| < |\vartheta_2|$ , that  $x_1 > 0$ , and that  $(x_1, x_2) = 1$ . From (3.1) it follows that there exists a number  $X^*$  such that  $X \geq X^*$  implies  $X = x_1$  and (3.6) for  $(p, q) = (-x_2, x_1)$ . We now have the following criteria.

**LEMMA 3.1.** (i). If (3.1) and (3.2) hold for  $x_1, x_2$  with  $X \geq X^*$ , then  $(-x_2, x_1) = (p_k, q_k)$  for an index  $k$  that satisfies

$$k \leq -1 + \log(\sqrt{5} \cdot X_0 + 1) / \log\left(\frac{1}{2}(1 + \sqrt{5})\right). \quad (3.7)$$

Moreover, the partial quotient  $a_{k+1}$  satisfies

$$a_{k+1} > -2 + |\vartheta_2| \cdot c^{-1} \cdot \exp(\delta \cdot q_k) / q_k. \quad (3.8)$$

(ii). If for some  $k$  with  $q_k \geq X^*$

$$a_{k+1} > |\vartheta_2| \cdot c^{-1} \cdot \exp(\delta \cdot q_k) / q_k, \quad (3.9)$$

then (3.1) holds for  $(-x_2, x_1) = (p_k, q_k)$ .

**Proof.** (i). By  $X \geq X^*$  and (3.6) it follows that  $(-x_2, x_1) = (p_k, q_k)$  for an index  $k$ . Since  $q_k$  is at least the  $(k+1)$ th Fibonacci number, (3.7) follows from  $q_k = x_1 = X \leq X_0$ . To prove (3.8), apply (3.1) and the first inequality of (3.5).

(ii). Combine (3.9) with the second inequality of (3.5). □

We may apply Lemma 3.1(i) directly, or as follows.

LEMMA 3.2. *Let*

$$A = \max(a_{k+1}) ,$$

where the maximum is taken over all indices  $k$  satisfying (3.7). If (3.1) and (3.2) hold for  $x_1, x_2$  with  $X \geq X_1$ , then

$$X < \frac{1}{\delta} \cdot \log [c \cdot (A+2) / |\vartheta_2|] + \frac{1}{\delta} \cdot \log X .$$

Remark. From Lemma 3.2 an upper bound for  $X$  follows. We can apply Lemma 2.1 here, but Lemma 2.1 is sharp for large  $b$  only.

Proof. (3.1) and (3.5) yield

$$(a_{n+1}+2) \cdot q_n^2 > q_n \cdot |\vartheta_2| / |\Lambda| > q_n \cdot |\vartheta_2| \cdot c^{-1} \cdot \exp(\delta \cdot X) .$$

The result follows by applying Lemma 3.1(i). □

In practice it does not often occur that  $A$  is large. Therefore this lemma is useful indeed.

Summarizing, this case comes down to computing the continued fraction of a real number to a certain precision, and establishing that it has no extremely large partial quotients. This idea has been applied in practice by Ellison [1971<sup>b</sup>], by Cijssouw, Korlaar and Tijdeman (appendix to Stroeker and Tijdeman [1982]), and by Hunt and van der Poorten (unpublished) for solving diophantine equations, by Steiner [1977] in connection with the Syracuse ('3·N + 1') problem, and by Cherubini and Walliser [1987] (using a small home computer only) for determining all imaginary quadratic number fields with class number 1. We shall use it in Chapters 4 and 5.

**3.3. Inhomogeneous one-dimensional approximation in the real case: the Davenport lemma.**

The next case is when  $\Lambda$  has the form

$$\Lambda = \beta + x_1 \cdot \vartheta_1 + x_2 \cdot \vartheta_2 ,$$

where  $\beta \neq 0$ . We then use the so-called Davenport lemma, which was introduced by Baker and Davenport [1969]. It is, like the homogeneous one-dimensional case, based on the simple one-dimensional continued fraction algorithm.

Put again  $\vartheta = -\vartheta_1/\vartheta_2$ , and put  $\psi = \beta/\vartheta_2$ . Then we have

$$\frac{\Lambda}{\vartheta_2} = \psi - x_1 \cdot \vartheta + x_2.$$

Let  $p/q$  be a convergent of  $\vartheta$ , with  $q > X_0$ . We now have the following result.

LEMMA 3.3. (Davenport). *Suppose that, in the above notation,*

$$\|q \cdot \psi\| > 2 \cdot X_0/q, \tag{3.10}$$

(by  $\|\cdot\|$  we denote the distance to the nearest integer). Then the solutions of (3.1), (3.2) satisfy

$$X < \frac{1}{\delta} \cdot \log(q^2 \cdot c / |\vartheta_2| \cdot X_0). \tag{3.11}$$

Proof. From (3.5) and (3.10) we infer

$$2 \cdot X_0/q < \|q \cdot (\psi - x_1 \cdot \vartheta + x_2) + x_1 \cdot (q \cdot \vartheta - p)\| < q \cdot |\Lambda/\vartheta_2| + |x_1|/q.$$

By (3.1), (3.2), and

$$X_0 < q^2 \cdot c \cdot |\vartheta_2^{-1}| \cdot \exp(-\delta \cdot X),$$

this leads to (3.11). □

If (3.10) is not true for the first convergent with denominator  $> X_0$ , then one should try some further convergents. If  $q$  is not essentially larger than  $X_0$ , then (3.11) yields a reduced upper bound for  $X$  of size  $\log X_0$ , as desired. If no  $q$  of the size of  $X_0$  can be found that also satisfies (3.10) (a situation which is very unlikely to occur, as experiments show), then not all is lost, since then only very few exceptional possible solutions have to be checked. See Baker and Davenport [1969] for details.

Summarizing, we see that in this case the essential idea is that an extremely large solution of (3.1) and (3.2) leads to a large range of convergents  $p/q$

of  $\vartheta$  for which the values of  $\|q \cdot \psi\|$  are all extremely small. In practice it appears to be the case that  $q \cdot \psi$  is always far enough from the nearest integer (the values of  $\|q \cdot \psi\|$  seem to be distributed randomly over the interval  $[0, 0.5]$ ). This method has been used in practice by Baker and Davenport [1969] as we already mentioned, by Ellison, Ellison, Pesek, Stahl and Stall [1972], and by Steiner [1986]. We shall use it in Chapter 4.

### 3.4. The $L^3$ -lattice basis reduction algorithm, theory.

To deal with linear forms with  $n \geq 3$ , a straightforward generalization of the case  $n = 2$  would be to study multi-dimensional continued fractions. For a good survey of this field, see Brentjes [1981]. However, the available algorithms in this field seem not to have the desired efficiency and generality. Fortunately, since 1981 there is a useful alternative, which in a sense is also a generalization of the one-dimensional continued fraction algorithm.

In 1981, L. Lovász invented an algorithm, that has since then become known as the  $L^3$ -algorithm. It has been published in Lenstra, Lenstra and Lovász [1982], Fig. 1, p. 521. Throughout this and the next section we refer to this paper as "*LLL*". The algorithm computes from an arbitrary basis of a lattice in  $\mathbb{R}^n$  another basis of this lattice, a so-called *reduced* basis, which has certain nice properties (its vectors are nearly orthogonal).

The algorithm has many important applications in a variety of mathematical fields, such as the factorization of polynomials (*LLL*), public-key cryptography (Lagarias and Odlyzko [1985]), and the disproof of the Mertens Conjecture (Odlyzko and te Riele [1985]). Of interest to us are its applications to diophantine approximation, which already had been noticed in *LLL*, p. 525. The algorithm has a very good theoretical complexity (polynomial-time in the length of the input parameters), and performs also very well in practical computations.

Let  $\Gamma \subset \mathbb{R}^n$  be a lattice, that is given by the basis  $\underline{b}_1, \dots, \underline{b}_n$ . We introduce the concept of a *reduced* basis of  $\Gamma$ , according to *LLL*, p.516. The vectors  $\underline{b}_i^*$  ( $i = 1, \dots, n$ ) and the real numbers  $\mu_{i,j}$  ( $1 \leq j < i \leq n$ ) are inductively defined by

$$\underline{b}_i^* = \underline{b}_i - \sum_{j=1}^{i-1} \mu_{i,j} \cdot \underline{b}_j^* , \quad \mu_{i,j} = (\underline{b}_i, \underline{b}_j^*) / (\underline{b}_j^*, \underline{b}_j^*) .$$

Then  $\underline{b}_1^*, \dots, \underline{b}_n^*$  is an orthogonal basis of  $\mathbb{R}^n$ . We call the lattice basis  $\underline{b}_1, \dots, \underline{b}_n$  of  $\Gamma$  *reduced* if

$$\begin{aligned} |\mu_{i,j}| &\leq \frac{1}{2} \quad \text{for } 1 \leq j < i \leq n , \\ |\underline{b}_i^* + \mu_{i,i-1} \cdot \underline{b}_{i-1}^*|^2 &\geq \frac{3}{4} \cdot |\underline{b}_{i-1}^*|^2 \quad \text{for } 1 < i \leq n . \end{aligned}$$

Hence a reduced basis is nearly orthogonal. For a reduced basis  $\underline{b}_1, \dots, \underline{b}_n$  we have, by *LLL* (1.7),

$$|\underline{b}_i^*| \geq 2^{-(n-1)/2} \cdot |\underline{b}_1| \quad \text{for } i = 1, \dots, n . \quad (3.12)$$

We remark that a lattice may have more than one reduced basis, and that the ordering of the basis vectors is not arbitrary. The  $L^3$ -algorithm accepts as input any basis  $\underline{b}_1, \dots, \underline{b}_n$  of  $\Gamma$ , and it computes a reduced basis  $\underline{c}_1, \dots, \underline{c}_n$  of that lattice. The properties of reduced bases that are of most interest to us are the following. Let  $\underline{y} \in \mathbb{R}^n$  be a given point, that is not a lattice point. We denote by  $\ell(\Gamma)$  the length of the shortest non-zero vector in the lattice, viz.

$$\ell(\Gamma) = \min_{\underline{0} \neq \underline{x} \in \Gamma} |\underline{x}| ,$$

and we denote by  $\ell(\Gamma, \underline{y})$  the distance from  $\underline{y}$  to the lattice point nearest to it, viz.

$$\ell(\Gamma, \underline{y}) = \min_{\underline{x} \in \Gamma} |\underline{x} - \underline{y}| .$$

From a reduced basis lower bounds for both  $\ell(\Gamma)$  and  $\ell(\Gamma, \underline{y})$  can be computed, according to the following results.

LEMMA 3.4. (Lenstra, Lenstra and Lovasz [1982]). *Let  $\underline{c}_1, \dots, \underline{c}_n$  be a reduced basis of the lattice  $\Gamma$ . Then*

$$\ell(\Gamma) \geq 2^{-(n-1)/2} \cdot |\underline{c}_1| .$$

Proof. This is Proposition (1.11) from *LLL*. We recall the proof here. Let  $\underline{0} \neq \underline{x} \in \Gamma$  be the lattice point with minimal length  $|\underline{x}| = \ell(\Gamma)$ . Write

$$\underline{x} = \sum_{i=1}^n r_i \cdot \underline{c}_i = \sum_{i=1}^n r_i^* \cdot \underline{b}_i^* ,$$

with  $r_i \in \mathbb{Z}$  ,  $r_i^* \in \mathbb{R}$  . Let  $i_0$  be the largest index such that  $r_{i_0}^* \neq 0$  . Then, since  $\underline{c}_1, \dots, \underline{c}_i$  span the same linear space as  $\underline{b}_1^*, \dots, \underline{b}_i^*$  for all  $i$  , and  $\underline{b}_{i+1}^*$  is the projection of  $\underline{c}_{i+1}$  on the orthogonal complement of this linear space, it follows that  $r_{i_0} = r_{i_0}^*$  . Hence, by (3.12),

$$\begin{aligned} \ell(\Gamma)^2 = |\underline{x}|^2 &= \sum_{i=1}^{i_0} r_i^{*2} \cdot |\underline{b}_i^*|^2 \geq r_{i_0}^{*2} \cdot |\underline{b}_{i_0}^*|^2 = r_{i_0}^2 \cdot |\underline{b}_{i_0}^*|^2 \\ &\geq |\underline{b}_{i_0}^*|^2 \geq 2^{-(n-1)} \cdot |\underline{c}_1|^2 . \end{aligned} \quad \square$$

LEMMA 3.5. Let  $\underline{c}_1, \dots, \underline{c}_n$  be a reduced basis of the lattice  $\Gamma$  , and let  $\underline{y} = \sum_{i=1}^n s_i \cdot \underline{c}_i$  for  $s_1, \dots, s_n \in \mathbb{R}$  , with not all  $s_i$  in  $\mathbb{Z}$  . Let  $i_0$  be the largest index such that  $s_{i_0} \notin \mathbb{Z}$  . Then

$$\ell(\Gamma, \underline{y}) \geq 2^{-(n-1)/2} \cdot \|s_{i_0}\| \cdot |\underline{c}_1| .$$

Proof. The proof of this lemma resembles that of Lemma 3.4. Let  $\underline{x} \in \Gamma$  be the lattice point nearest to  $\underline{y}$  . So  $|\underline{x}-\underline{y}| = \ell(\Gamma, \underline{y})$  . Write

$$\underline{x} = \sum_{i=1}^n r_i \cdot \underline{c}_i = \sum_{i=1}^n r_i^* \cdot \underline{b}_i^* , \quad \underline{y} = \sum_{i=1}^n s_i \cdot \underline{c}_i = \sum_{i=1}^n s_i^* \cdot \underline{b}_i^* ,$$

with  $r_i \in \mathbb{Z}$  ,  $r_i^*, s_i, s_i^* \in \mathbb{R}$  . Let  $i_1$  be the largest index such that  $r_{i_1} \neq s_{i_1}$  . Then, reasoning as in the proof of Lemma 3.4, we find

$$r_{i_1} - s_{i_1} = r_{i_1}^* - s_{i_1}^* .$$

Using (3.12) it follows that

$$\ell(\Gamma, \underline{y})^2 \geq (r_{i_1} - s_{i_1})^2 \cdot |\underline{b}_{i_1}^*|^2 \geq (r_{i_1} - s_{i_1})^2 \cdot 2^{-(n-1)} \cdot |\underline{c}_1|^2 .$$

Obviously,  $i_1 \geq i_0$  . If  $i_1 = i_0$  the result follows at once. If  $i_1 > i_0$  then  $s_{i_1} \in \mathbb{Z}$  ,  $s_{i_1} \neq r_{i_1}$  , hence  $|r_{i_1} - s_{i_1}| \geq 1$  , and the result follows.  $\square$

The above lemma is rather weak in the extraordinary situation that  $s_{i_0}$  is extremely close to an integer. If one of the other  $s_i$  is not close to an integer, we can apply the following variant.

LEMMA 3.6. Let  $c_1, \dots, c_n$  be a reduced basis of the lattice  $\Gamma$ , and let  $y = \sum_{i=1}^n s_i \cdot c_i$  for  $s_1, \dots, s_n \in \mathbb{R}$ , with not all  $s_i$  in  $\mathbb{Z}$ . Suppose that there is an index  $i_0$  and constants  $\delta_1, 0 < \delta_2 \leq \frac{1}{2}$  such that

$$\|s_i\| \leq \delta_1 \quad \text{for } i = i_0+1, \dots, n,$$

$$\|s_{i_0}\| \geq \delta_2.$$

Then

$$\ell(\Gamma, y) \geq 2^{-(n-1)/2} \cdot \delta_2 \cdot |c_1| - (n-i_0) \cdot \delta_1 \cdot \max_{i>i_0} |c_i|.$$

Proof. With notation as in the proof of Lemma 3.5, let  $t_i$  be the integer nearest to  $s_i$ , for  $i \geq i_0 + 1$ , and  $t_i = s_i$  for  $i \leq i_0$ . Put

$$z = \sum_{i=1}^n t_i \cdot c_i = \sum_{i=1}^n t_i^* \cdot b_i^*$$

with  $t_i^* \in \mathbb{R}$ . Let  $i_1$  be the largest index such that  $r_{i_1} \neq t_{i_1}^*$ . Then

$$r_{i_1} - t_{i_1} = r_{i_1}^* - t_{i_1}^*.$$

We have

$$\ell(\Gamma, y) = |x-y| \geq |x-z| - |z-y|.$$

Now,

$$|z-y| \leq \sum_{i=i_0+1}^n |s_i - t_i| \cdot |c_i| \leq (n-i_0) \cdot \delta_1 \cdot \max_{i>i_0} |c_i|,$$

and, using (3.12),

$$\begin{aligned} |x-z|^2 &= \sum_{i=1}^n (r_i^* - t_i^*)^2 \cdot |b_i^*|^2 \geq (r_{i_1}^* - t_{i_1}^*)^2 \cdot |b_{i_1}^*|^2 \\ &\geq (r_{i_1} - t_{i_1})^2 \cdot 2^{-(n-1)} \cdot |c_1|^2. \end{aligned}$$



Obviously,  $i_1 \geq i_0$ . If  $i_1 = i_0$  the result follows at once. If  $i_1 > i_0$  then  $t_{i_1} \in \mathbb{Z}$ ,  $t_{i_1} \neq r_{i_1}$ , hence  $|r_{i_1} - t_{i_1}| \geq 1 > \delta_2$ , and the result follows.  $\square$

Remark. Babai [1986] showed that the  $L^3$ -algorithm can be used to find a lattice point  $\underline{x}$  with  $|\underline{x} - \underline{y}| \leq c \cdot \ell(\Gamma, \underline{y})$  for a constant  $c$  depending on the dimension of the lattice only. This result can also be used instead of Lemma 3.5 or 3.6.

### 3.5. The $L^3$ -lattice basis reduction algorithm, practice.

Below we describe the variant of the  $L^3$ -algorithm that we use in this thesis to solve diophantine equations. This variant has been designed to work with integers only, so that rounding-off errors are avoided completely. In the algorithm as stated in *LLL*, Fig. 1, p. 521, non-integral rational numbers may occur, even if the input parameters are all integers.

Let  $\Gamma \subset \mathbb{Z}^n$  be a lattice with basis vectors  $\underline{b}_1, \dots, \underline{b}_n$ . Define  $\underline{b}_i^*$ ,  $\mu_{ij}$ ,  $d_i$  as in *LLL* (1.2), (1.3), (1.24), respectively. The  $d_i$  can be used as denominators for all numbers that appear in the original algorithm (*LLL*, p. 523). Thus, put for all relevant indices  $i, j$

$$\underline{c}_i = d_{i-1} \cdot \underline{b}_i^* , \tag{3.13}$$

$$\lambda_{i,j} = d_j \cdot \mu_{i,j} .$$

They are integral, by *LLL* (1.28), (1.29). Notice that, with  $B_i = |\underline{b}_i^*|^2$ ,

$$d_i = d_{i-1} \cdot B_i . \tag{3.14}$$

We can now rewrite the algorithm in terms of  $\underline{c}_i$ ,  $d_i$ ,  $\lambda_{i,j}$  instead of  $\underline{b}_i^*$ ,  $B_i$ ,  $\mu_{i,j}$ , thus eliminating all non-integral rationals. We give this variant of the  $L^3$ -algorithm in Fig. 1. All the lines in this variant are evident from applying (3.13) and (3.14) to the corresponding lines in the original algorithm, except the lines (A), (B) and (C), which will be explained below.

We added a few lines to the algorithm, in order to compute the matrix of the transformation from the initial to the reduced basis. Let  $\mathcal{B}$  be the matrix with column vectors  $\underline{b}_1, \dots, \underline{b}_n$ , the initial basis of the lattice  $\Gamma$ ,

Figure 1. Variant of the  $L^3$ -algorithm.

$$(A) \quad \left. \begin{array}{l} d_0 := 1 ; \\ \underline{c}_i := \underline{b}_i ; \\ \lambda_{i,j} := (\underline{b}_i, \underline{c}_j) ; \\ \underline{c}_i := (d_j \cdot \underline{c}_i - \lambda_{i,j} \cdot \underline{c}_j) / d_{j-1} \\ d_i := (\underline{c}_i, \underline{c}_i) / d_{i-1} \end{array} \right\} \begin{array}{l} \text{for } j=1, \dots, i-1 ; \\ \text{for } i=1, \dots, n ; \end{array}$$

$$k := 2 ;$$

(1) perform (\*) for  $\ell = k-1$  ;

$$\text{if } 4 \cdot d_{k-2} \cdot d_k < 3 \cdot d_{k-1}^2 - 4 \cdot \lambda_{k,k-1}^2 \text{ go to (2) ;}$$

perform (\*) for  $\ell = k-2, \dots, 1$  ;

if  $k = n$  terminate ;

$k := k+1$  ; go to (1) ;

$$(2) \quad \begin{bmatrix} \underline{b}_{k-1} \\ \underline{b}_k \end{bmatrix} := \begin{bmatrix} \underline{b}_k \\ \underline{b}_{k-1} \end{bmatrix} ;$$

$$\begin{bmatrix} \underline{u}_{k-1} \\ \underline{u}_k \end{bmatrix} := \begin{bmatrix} \underline{u}_k \\ \underline{u}_{k-1} \end{bmatrix} ; \quad \begin{bmatrix} \underline{v}'_{k-1} \\ \underline{v}'_k \end{bmatrix} := \begin{bmatrix} \underline{v}'_k \\ \underline{v}'_{k-1} \end{bmatrix} ;$$

$$\begin{bmatrix} \lambda_{k-1,j} \\ \lambda_{k,j} \end{bmatrix} := \begin{bmatrix} \lambda_{k,j} \\ \lambda_{k-1,j} \end{bmatrix} \quad \text{for } j = 1, \dots, k-2 ;$$

$$(B) \quad \begin{bmatrix} \lambda_{i,k-1} \\ \lambda_{i,k} \end{bmatrix} := \left( \lambda_{i,k-1} \cdot \begin{bmatrix} \lambda_{k,k-1} \\ d_k \end{bmatrix} + \lambda_{i,k} \cdot \begin{bmatrix} d_{k-2} \\ -\lambda_{k,k-1} \end{bmatrix} \right) / d_{k-1}$$

for  $i = k+1, \dots, n$  ;

$$(C) \quad d_{k-1} := (d_{k-2} \cdot d_k + \lambda_{k,k-1}^2) / d_{k-1} ;$$

if  $k > 2$  then  $k := k-1$  ;

go to (1) ;

(\*) if  $2 \cdot |\lambda_{k,\ell}| > d_\ell$  then

$$\left\{ \begin{array}{l} r := \text{integer nearest to } \lambda_{k,\ell} / d_\ell ; \\ \underline{b}_k := \underline{b}_k - r \cdot \underline{b}_\ell ; \quad \underline{u}_k := \underline{u}_k - r \cdot \underline{u}_\ell ; \quad \underline{v}'_\ell := \underline{v}'_\ell + r \cdot \underline{v}'_k ; \\ \lambda_{k,j} := \lambda_{k,j} - r \cdot \lambda_{\ell,j} \quad \text{for } j = 1, \dots, \ell-1 ; \\ \lambda_{k,\ell} := \lambda_{k,\ell} - r \cdot d_\ell . \end{array} \right.$$

which is the input for the algorithm. We say:  $\mathcal{B}$  is the matrix associated to the basis  $\underline{b}_1, \dots, \underline{b}_n$ . Let  $\mathcal{C}$  be the matrix associated to the reduced basis  $\underline{c}_1, \dots, \underline{c}_n$ , which the algorithm delivers as output. Then we define this transformation matrix  $\mathcal{V}$  by

$$\mathcal{C} = \mathcal{B} \cdot \mathcal{V} .$$

More generally, let  $\mathcal{U}$  be the matrix of a transformation from some  $\mathcal{B}_0$  to  $\mathcal{B}$ , so  $\mathcal{B} = \mathcal{B}_0 \cdot \mathcal{U}$ . Denote the column vectors of  $\mathcal{U}$  by  $\underline{u}_1, \dots, \underline{u}_n$ , and the row vectors of  $\mathcal{U}^{-1}$  by  $\underline{v}'_1{}^T, \dots, \underline{v}'_n{}^T$ . We feed the algorithm with  $\mathcal{U}$  and  $\mathcal{U}^{-1}$  also. All manipulations in the algorithm done on the  $\underline{b}_i$  are also done on the  $\underline{u}_i$ , and the  $\underline{v}'_i{}^T$  are adjusted accordingly. This does not affect the computation time seriously. The algorithm now gives as output matrices  $\mathcal{C}$ ,  $\mathcal{U}'$  and  $\mathcal{U}'^{-1}$ , such that  $\mathcal{C}$  is associated to a reduced basis,  $\mathcal{C} = \mathcal{B} \cdot \mathcal{V}$ , and  $\mathcal{U}' = \mathcal{U} \cdot \mathcal{V}$ . Note that  $\mathcal{V}$  is not computed explicitly, unless  $\mathcal{U} = \mathcal{I}$  (the unit matrix), in which case  $\mathcal{U}' = \mathcal{V}$ . It follows that

$$\mathcal{C} = \mathcal{B} \cdot \mathcal{U}^{-1} \cdot \mathcal{U}' = \mathcal{B}_0 \cdot \mathcal{U}' ,$$

so  $\mathcal{U}'$  is the matrix of the transformation from  $\mathcal{B}_0$  to  $\mathcal{C}$ . Note that if  $\mathcal{B}_0^{-1}$  is known, then it is not much extra effort to compute  $\mathcal{C}^{-1}$  as well.

We now explain why lines (A), (B) and (C) are correct.

(A): From  $\mathcal{L}\mathcal{L}\mathcal{E}$  (1.2) it follows that

$$\underline{c}_i = d_{i-1} \cdot \underline{b}_i - \sum_{k=1}^{i-1} \frac{d_{i-1}}{d_{k-1} \cdot d_k} \cdot \lambda_{i,k} \cdot \underline{c}_k .$$

Define for  $j = 0, 1, \dots, i-1$

$$\underline{c}_i(j) = d_j \cdot \underline{b}_i - \sum_{k=1}^j \frac{d_j}{d_{k-1} \cdot d_k} \cdot \lambda_{i,k} \cdot \underline{c}_k .$$

Then  $\underline{c}_i(0) = \underline{b}_i$ , and  $\underline{c}_i(i-1) = \underline{c}_i$ . The  $\underline{c}_i(j)$  is exactly the vector computed in (A) at the  $j$ th step, since

$$\begin{aligned} & \frac{d_j \cdot \underline{c}_i(j-1) - \lambda_{i,j} \cdot \underline{c}_j}{d_{j-1}} \\ &= d_j \cdot \underline{b}_i - \sum_{k=1}^{j-1} \frac{d_j}{d_{k-1} \cdot d_k} \cdot \lambda_{i,k} \cdot \underline{c}_k - \frac{d_j}{d_{j-1} \cdot d_j} \cdot \lambda_{i,j} \cdot \underline{c}_j = \underline{c}_i(j) . \end{aligned}$$

This explains the recursive formula in line (A). It remains to show that the

occurring vectors  $\underline{c}_i(j)$  are integral. This follows from

$$d_j \cdot \sum_{k=1}^j \frac{1}{d_{k-1} \cdot d_k} \cdot \lambda_{i,k} \cdot \underline{c}_k = d_j \cdot \sum_{k=1}^j \mu_{i,k} \cdot \underline{b}_k^*$$

which is integral by *LLL* p. 523, *l.* 11.

(B), (C): Notice that the third and fourth line, starting from label (2), in the original algorithm, are independent of the first, second and fifth line. Thus a permutation of these lines is allowed. We rewrite the first, second and fifth line as follows, where we indicate variables that have been changed with a prime sign.

$$B'_{k-1} := B_k + \mu_{k,k-1}^2 \cdot B_{k-1} ; \quad (3.15)$$

$$B'_k := B_{k-1} \cdot B_k / B'_{k-1} ; \quad (3.16)$$

$$\mu'_{k,k-1} := \mu_{k,k-1} \cdot B_{k-1} / B'_{k-1} ; \quad (3.17)$$

$$\mu'_{i,k-1} := \mu'_{k,k-1} \cdot \mu_{i,k-1} + (1 - \mu'_{k,k-1} \cdot \mu'_{k,k-1}) \cdot \mu_{i,k} ; \quad (3.18)$$

$$\mu'_{i,k} := \mu_{i,k-1} - \mu_{k,k-1} \cdot \mu_{i,k} ; \quad (3.19)$$

where (3.18) and (3.19) hold for  $i = k+1, \dots, n$ . The  $d_i$  remain unchanged for  $i = 0, 1, \dots, k-2$ , and by (3.16) also for  $i = k$ . Now, (3.15) is equivalent to

$$\frac{d'_{k-1}}{d_{k-2}} = \frac{d_k}{d_{k-1}} + \frac{\lambda_{k,k-1}^2}{d_{k-1}^2} \cdot \frac{d_{k-1}}{d_{k-2}} , \quad (3.20)$$

which explains (C). From (3.17) we find

$$\frac{\lambda'_{k,k-1}}{d'_{k-1}} = \frac{\lambda_{k,k-1}}{d_{k-1}} \cdot \frac{d_{k-1}}{d_{k-2}} \cdot \frac{d'_{k-2}}{d'_{k-1}} ,$$

hence  $\lambda_{k,k-1}$  remains unchanged. From (3.18) we obtain

$$\frac{\lambda'_{i,k-1}}{d'_{k-1}} = \frac{\lambda_{k,k-1}}{d'_{k-1}} \cdot \frac{\lambda_{i,k-1}}{d_{k-1}} + \left( 1 - \frac{\lambda_{k,k-1}}{d_{k-1}} \cdot \frac{\lambda_{k,k-1}}{d'_{k-1}} \right) \cdot \frac{\lambda_{i,k}}{d_k} ,$$

whence, by multiplying by  $d_{k-1} \cdot d'_{k-1}$  and using (3.20),

$$d_{k-1} \cdot \lambda'_{i,k-1} = \lambda_{k,k-1} \cdot \lambda_{i,k-1} + (d_{k-1} \cdot d'_{k-1} - \lambda_{k,k-1}^2) \cdot \frac{\lambda_{i,k}}{d_k}$$

$$= \lambda_{k,k-1} \cdot \lambda_{i,k-1} + d_{k-2} \cdot \lambda_{i,k} .$$

Finally, from (3.19) we see

$$\frac{\lambda'_{i,k}}{d_k} = \frac{\lambda_{i,k-1}}{d_{k-1}} - \frac{\lambda_{k,k-1}}{d_{k-1}} \cdot \frac{\lambda_{i,k}}{d_k} ,$$

and (B) follows.

In our applications we often have a lattice  $\Gamma$ , of which a basis is given such that the associated matrix,  $\mathcal{A}$  say, has the special form

$$\mathcal{A} = \begin{pmatrix} 1 & & & & \\ & \ddots & & \emptyset & \\ & \emptyset & \ddots & & \\ & & & 1 & \\ \theta_1 & \dots & \theta_{n-1} & \theta_n & \end{pmatrix} ,$$

where the  $\theta_i$  are large integers, that may have several hundreds of decimal digits. We can compute a reduced basis of this lattice directly, using the matrix  $\mathcal{A}$  itself as input for the  $L^3$ -algorithm. But it may save time and space to split up the computation into several steps with increasing accuracy, as follows.

Let  $k$  be a natural number (the number of steps), and let  $\ell$  be a natural number such that the  $\theta_i$  have about  $k \cdot \ell$  (decimal) digits. For  $i = 1, \dots, n$  and  $j = 1, \dots, k$  put

$$\theta_i^{(j)} = [\theta_i / 10^{\ell \cdot (k-j)}] ,$$

and define  $\psi_i^{(j)}$  by

$$\theta_i^{(j+1)} = 10^{\ell} \cdot \theta_i^{(j)} + \psi_i^{(j)} .$$

Thus, the  $\psi_i^{(j)}$  are blocks of  $\ell$  consecutive digits of  $\theta_i$ . Define for the relevant  $j$  the  $n \times n$  matrices

$$\mathcal{A}_j = \begin{pmatrix} 1 & & & & \\ & \ddots & & \emptyset & \\ & \emptyset & \ddots & & \\ & & & 1 & \\ \theta_1^{(j)} & \dots & \theta_{n-1}^{(j)} & \theta_n^{(j)} & \end{pmatrix} , \quad \mathcal{D}_j = \begin{pmatrix} & & & \emptyset & \\ & & & & \\ & & & & \\ \psi_1^{(j)} & \dots & \psi_n^{(j)} & & \end{pmatrix} ,$$

$$\mathcal{E} = \begin{bmatrix} 1 & & & \emptyset \\ & \ddots & & \\ & & 1 & \\ \emptyset & & & 10^\ell \end{bmatrix}.$$

Then it follows at once that

$$\mathcal{A}_{j+1} = \mathcal{E} \cdot \mathcal{A}_j + \mathcal{D}_j.$$

Notice that  $\mathcal{A}_k = \mathcal{A}$ , since  $\theta_i^{(k)} = \theta_i$ . Put  $\mathcal{U}_0 = \mathcal{I}$ ,  $\mathcal{B}_1 = \mathcal{A}_1$ . For some  $j \geq 1$  let  $\mathcal{B}_j$  and  $\mathcal{U}_{j-1}$  be known matrices. Then we apply the  $L^3$ -algorithm to  $\mathcal{B} = \mathcal{B}_j$ ,  $\mathcal{U} = \mathcal{U}_{j-1}$ , and  $\mathcal{U}^{-1}$ . We thus find matrices  $\mathcal{C}_j$ ,  $\mathcal{U}_j$  and  $\mathcal{U}_j^{-1}$  such that

$$\mathcal{C}_j = \mathcal{B}_j \cdot \mathcal{U}_{j-1}^{-1} \cdot \mathcal{U}_j.$$

Now put

$$\mathcal{B}_{j+1} = \mathcal{E} \cdot \mathcal{C}_j + \mathcal{D}_j \cdot \mathcal{U}_j.$$

By induction  $\mathcal{B}_j$ ,  $\mathcal{C}_j$  and  $\mathcal{U}_j$  are defined for  $j = 1, \dots, k$ . Note that

$$\mathcal{B}_{j+1} \cdot \mathcal{U}_j^{-1} = \mathcal{E} \cdot \mathcal{B}_j \cdot \mathcal{U}_{j-1}^{-1} + \mathcal{D}_j,$$

so the  $\mathcal{B}_j \cdot \mathcal{U}_{j-1}^{-1}$  satisfy the same recursive relation as the  $\mathcal{A}_j$ . Since  $\mathcal{B}_1 \cdot \mathcal{U}_0^{-1} = \mathcal{A}_1$ , we have  $\mathcal{B}_j \cdot \mathcal{U}_{j-1}^{-1} = \mathcal{A}_j$  for all  $j$ . Hence

$$\mathcal{C}_j = \mathcal{B}_j \cdot \mathcal{U}_{j-1}^{-1} \cdot \mathcal{U}_j = \mathcal{A}_j \cdot \mathcal{U}_j,$$

and it follows that  $\mathcal{C}_k$  and  $\mathcal{A}_k$  are associated to bases of the same lattice, which is  $\Gamma$ . Moreover, since  $\mathcal{C}_k$  is output of the  $L^3$ -algorithm, it is associated to a reduced basis of  $\Gamma$ .

Let us now analyse the computation time. For a matrix  $M$  we denote by  $L(M)$  the maximal number of (decimal) digits of its entries. If the  $L^3$ -algorithm is applied to a matrix  $\mathcal{B}$ , with as output a matrix  $\mathcal{C}$ , then according to the experiences of Lenstra, Odlyzko (cf. Lenstra [1984], p. 7) and ourselves, the computation time is proportional to  $L(\mathcal{B})^3$  in practice. Since  $\mathcal{C}$  is associated to a reduced basis, we assume that

$$L(\mathcal{C}) \cong 10 \log(\det \Gamma)/n.$$

In our situation,  $L(\mathcal{A}_j) \cong \ell \cdot j$ ,  $L(\mathcal{D}_j) \cong \ell$ , and since  $\det \mathcal{C}_j = \det \mathcal{A}_j =$

$\theta_n^{(j)}$ , we have  $L(\mathcal{E}_j) \cong \ell \cdot j/n$ . Put  $\mathcal{E}_j = (c_{i,h}^{(j)})$ ,  $\mathcal{U}_j = (u_{i,h}^{(j)})$ . then by  $\mathcal{E}_j = \mathcal{A}_j \cdot \mathcal{U}_j$  and the special shape of  $\mathcal{A}_j$  we have  $c_{i,h}^{(j)} = u_{i,h}^{(j)}$  for  $i = 1, \dots, n-1$  and  $h = 1, \dots, n$ , and

$$u_{n,h}^{(j)} = [ -c_{1,h}^{(j)} \cdot \theta_1^{(j)} - \dots - c_{n-1,h}^{(j)} \cdot \theta_{n-1}^{(j)} + c_{n,h}^{(j)} ] / \theta_n^{(j)}.$$

It follows that  $L(\mathcal{U}_j) \cong L(\mathcal{E}_j)$ . So

$$L(\mathcal{B}_j) \cong \max [ L(\mathcal{E} \cdot \mathcal{E}_{j-1}), L(\mathcal{D}_{j-1} \cdot \mathcal{U}_{j-1}) ] \cong \ell + \ell \cdot (j-1)/n.$$

Instead of applying the  $L^3$ -algorithm once with  $\mathcal{A}$  as input, we apply it  $k$  times, with  $\mathcal{B}_1, \dots, \mathcal{B}_k$  as input. Thus we reduce the computation time by a factor

$$\frac{L(\mathcal{A})^3}{\sum_{j=1}^k L(\mathcal{B}_j)^3} \cong \frac{(\ell \cdot k)^3}{\sum_{j=1}^k \ell^3 \cdot (1 + \frac{j-1}{n})^3} = \frac{k^3 \cdot n^3}{\sum_{j=0}^{k-1} (n+j)^3}.$$

For  $k$  between  $2.5 \cdot n$  and  $3 \cdot n$  this expression is maximal, about  $0.4 \cdot n^2$ . So the reduction in computation time is considerable (a factor 10 already for  $n = 5$ ). The storage space that is required is also reduced, since the largest numbers that appear in the input have  $\ell \cdot (1+(k-1)/n)$  instead of  $\ell \cdot k$  digits.

### 3.6. Finding all short lattice points: the Fincke and Pohst algorithm.

Sometimes it is not sufficient to have a lower bound for  $\ell(\Gamma)$  or  $\ell(\Gamma, \underline{y})$  only. It may be useful to know exactly all vectors  $\underline{x} \in \Gamma$  such that  $|\underline{x}| \leq C$  or  $|\underline{x} - \underline{y}| \leq C$  for a given constant  $C$ . There exists an efficient algorithm for finding all solutions to these problems. This algorithm was devised by Fincke and Pohst [1985], cf. their (2.8) and (2.12). We give a description of this algorithm below.

The input of the algorithm is a matrix  $\mathcal{B}$ , whose column vectors span the lattice  $\Gamma$ , and a constant  $C > 0$ . The output is a list of all lattice points  $\underline{x} \in \Gamma$  with  $|\underline{x}| \leq C$ , apart from  $\underline{x} = \underline{0}$ . We give the algorithm in Figure 2. We use the notation  $\mathcal{X} = (x_{i,j})$  for matrices  $\mathcal{X} = \mathcal{A}, \mathcal{B}, \mathcal{R}, \mathcal{P}, \mathcal{U}$ , and  $\underline{x}_i$  for the column vectors of  $\mathcal{X}$ .

The algorithm can also be used for finding all vectors  $\underline{x} \in \Gamma$  of which the

Figure 2. The Fincke and Pohst Algorithm.

```

 $\mathcal{A} := \mathcal{B}^T \cdot \mathcal{B} ;$ 
 $q_{ij} := a_{ij} \quad \text{for } 1 \leq i \leq j \leq n ;$ 
 $q_{ji} := q_{ij} , \quad q_{ij} := q_{ij}/q_{ii} \quad \text{for } 1 \leq i < j \leq n ;$ 
 $q_{k\ell} := q_{k\ell} - q_{ki} \cdot q_{i\ell} \quad \text{for } i+1 \leq k \leq \ell \leq n \quad \text{for } 1 \leq i \leq n ;$ 
 $r_{ii} := \sqrt{q_{ii}} \quad \text{for } 1 \leq i \leq n ;$ 
 $r_{ij} := r_{ii} \cdot q_{ij} , \quad r_{ji} := 0 \quad \text{for } 1 \leq j < i \leq n ;$ 
compute  $\mathcal{R}^{-1}$  ;
compute a row-reduced version  $\mathcal{P}^{-1}$  of  $\mathcal{R}^{-1}$  , and  $\mathcal{U}, \mathcal{U}^{-1}$  such
    that  $\mathcal{P}^{-1} = \mathcal{U}^{-1} \cdot \mathcal{R}^{-1}$  ;
compute  $\mathcal{P} = \mathcal{R} \cdot \mathcal{U}$  ;
determine a permutation  $\pi$  such that  $|\underline{s}_{\pi(1)}| \geq \dots \geq |\underline{s}_{\pi(n)}|$  ,
    let  $\mathcal{P}'$  be the matrix with columns  $\frac{\underline{s}_{\pi^{-1}(i)}}{\pi^{-1}(i)}$  for  $i = 1, \dots, n$  ;
 $\mathcal{A} := \mathcal{P}'^T \cdot \mathcal{P}' ;$ 
 $q_{ij} := a_{ij} \quad \text{for } 1 \leq i \leq j \leq n ;$ 
 $q_{ji} := q_{ij} , \quad q_{ij} := q_{ij}/q_{ii} \quad \text{for } 1 \leq i < j \leq n ;$ 
 $q_{k\ell} := q_{k\ell} - q_{ki} \cdot q_{i\ell} \quad \text{for } i+1 \leq k \leq \ell \leq n \quad \text{for } 1 \leq i \leq n ;$ 
 $i := n ;$ 
 $T_i := C ;$ 
 $U_i := 0 ;$ 
(1)  $Z := \sqrt{(T_i/q_{ii})}$  ;
     $UB(x_i) := \lfloor Z - U_i \rfloor ;$ 
     $x_i := \lceil -Z - U_i \rceil - 1 ;$ 
(2)  $x_i := x_i + 1 ;$ 
    if  $x_i \leq UB(x_i)$  , go to (4) ;
(3)  $i := i + 1 ;$ 
    go to (2) ;
(4) if  $i = 1$  , go to (5) ;
     $i := i - 1 ;$ 
     $U_i := \sum_{j=i+1}^m q_{ij} \cdot x_j ;$ 
     $T_i := T_{i+1} - q_{i+1,i+1} \cdot (x_{i+1} + U_{i+1})^2 ;$ 
    go to (1) ;
(5) if  $x_i = 0$  for  $1 \leq i \leq n$  , terminate ;
    compute and print  $\underline{x} = \mathcal{U} \cdot (x_{\pi^{-1}(1)}, \dots, x_{\pi^{-1}(n)})^T ;$ 
    go to (2).

```



distance to a given non-lattice point  $\underline{y}$  is at most a given constant  $C$ .  
 Namely, let

$$\underline{y} = \sum_{i=1}^n s_i \cdot \underline{b}_i,$$

and let  $r_i$  be the integer nearest to  $s_i$  for all  $i$ . Put

$$\underline{z} = \sum_{i=1}^n r_i \cdot \underline{b}_i.$$

Then  $|\underline{y}-\underline{z}| < C'$  for some constant  $C'$  ( $C' = \frac{n}{2} \cdot \sum |\underline{b}_i|$  will do). Since  $\underline{z} \in \Gamma$  it suffices to search for all lattice points  $\underline{u}$  with  $|\underline{u}| \leq C + C'$ , and compute for each such  $\underline{u}$  also  $\underline{x} = \underline{z} + \underline{u}$ , since  $|\underline{x}-\underline{y}| < C$  implies

$$|\underline{u}| \leq |\underline{x}-\underline{y}| + |\underline{y}-\underline{z}| \leq C + C'.$$

### 3.7. Homogeneous multi-dimensional approximation in the real case: real approximation lattices.

Let the linear form  $\Lambda$  have the form

$$\Lambda = \sum_{i=1}^n x_i \cdot \theta_i.$$

We assume that  $n \geq 2$ . The case  $n = 2$  has already been discussed in Section 3.2, but the method of this section works also for  $n = 2$ . In fact, it is in this case essentially the same method.

Let  $C$  be a large enough integer, that is of the order of magnitude of  $X_0^n$ . Let  $\gamma \in \mathbb{N}$  be a constant (we will explain its use later). We define the *approximation lattice*  $\Gamma$  by giving the matrix

$$\mathfrak{B} = \begin{pmatrix} \gamma & & & \emptyset \\ & \cdot & & \\ & & \cdot & \\ \emptyset & & & \gamma \\ [\gamma \cdot C \cdot \theta_1] & \dots & [\gamma \cdot C \cdot \theta_{n-1}] & [\gamma \cdot C \cdot \theta_n] \end{pmatrix},$$

of which the column vectors  $\underline{b}_1, \dots, \underline{b}_n$  are a basis of the lattice. Then  $\Gamma$  is a sublattice of  $\mathbb{Z}^n$  of determinant  $\gamma^{n-1} \cdot [\gamma \cdot C \cdot \theta_n]$ , which is of size  $C$ . A lattice point  $\underline{x}$  has the form

$$\underline{x} = \sum_{i=1}^n x_i \cdot \underline{b}_i = (\gamma \cdot x_1, \dots, \gamma \cdot x_{n-1}, \bar{\Lambda})^T,$$

where the  $x_i$  are integers, and

$$\bar{\Lambda} = \sum_{i=1}^n x_i \cdot [\gamma \cdot C \cdot \vartheta_i].$$

Clearly,  $\bar{\Lambda}$  is close to  $\gamma \cdot C \cdot \Lambda$ . The length of the vector  $\underline{x}$  now measures both  $X_0$  and  $|\Lambda|$ , which are exactly the two numbers we want to balance with each other. We express this in the following lemma.

LEMMA 3.7. Let  $X_1$  be a positive number such that

$$\ell(\Gamma) \geq \sqrt{[(n+1)^2 + (n-1) \cdot \gamma^2]} \cdot X_1. \quad (3.21)$$

Then (3.1) has no solutions with

$$\frac{1}{\delta} \cdot \log(\gamma \cdot C \cdot c / X_1) \leq X \leq X_1. \quad (3.22)$$

Remark. We apply this lemma for  $X_1 = X_0$ . If condition (3.21) then fails, we must take a larger constant  $C$ . If it holds for a constant  $C$  of the size  $X_0^n$ , then (3.22) yields a reduced lower bound for  $X$  of size  $\log X_0$ .

Proof. Let  $x_1, \dots, x_n$  be a solution of (3.1) with  $0 < X \leq X_1$ . Consider the lattice point

$$\underline{x} = \sum_{i=1}^n x_i \cdot \underline{b}_i = (\gamma \cdot x_1, \dots, \gamma \cdot x_{n-1}, \bar{\Lambda})^T,$$

with  $\bar{\Lambda}$  as above. Then

$$|\underline{x}|^2 = \gamma^2 \cdot \sum_{i=1}^{n-1} x_i^2 + \bar{\Lambda}^2 \leq (n-1) \cdot \gamma^2 \cdot X_1^2 + \bar{\Lambda}^2,$$

and

$$|\bar{\Lambda} - \gamma \cdot C \cdot \Lambda| \leq \sum_{i=1}^n |x_i| \cdot |[\gamma \cdot C \cdot \vartheta_i] - \gamma \cdot C \cdot \vartheta_i| \leq \sum_{i=1}^n |x_i|, \quad (3.23)$$

which is at most  $n \cdot X_1$ . By (3.1), (3.21) and the definition of  $\ell(\Gamma)$  we have

$$\gamma \cdot C \cdot c \cdot \exp(-\delta \cdot X) > |\gamma \cdot C \cdot \Lambda| \geq |\bar{\Lambda}| - |\bar{\Lambda} - \gamma \cdot C \cdot \Lambda|$$

$$\geq \sqrt{(\ell(\Gamma)^2 - (n-1) \cdot \gamma^2 \cdot X_1^2)} - n \cdot X_1 \geq X_1 ,$$

and (3.22) follows at once. □

Condition (3.21) can be checked by computing a reduced basis of the lattice  $\Gamma$  by the  $L^3$ -algorithm, and applying Lemma 3.4. The parameter  $\gamma$  is used to keep the "rounding-off error"

$$|[\gamma \cdot C \cdot \vartheta_i] - \gamma \cdot C \cdot \vartheta_i|$$

relatively small. This is of importance only if  $C$  is not very large, usually only if one wants to make a further reduction step after the first step has already been made. For large  $C$ , simply take  $\gamma = 1$ .

It may be necessary, if  $C$  is not very large, to use a more refined method of reducing the upper bound. To do so, we use the following lemma, which is a slight refinement of Lemma 3.7, together with the algorithm of Fincke and Pohst (cf. Section 3.6). It is particularly useful in the situation that one has different upper bounds for the  $|x_i|$  for different  $i$ .

LEMMA 3.8. *Suppose that for a solution of (3.1)*

$$|\tilde{\Lambda}| > \sum_{i=1}^n |x_i| \tag{3.24}$$

*holds. Then*

$$X < \frac{1}{\delta} \cdot \log \left[ \gamma \cdot C \cdot c / \left( |\tilde{\Lambda}| - \sum_{i=1}^n |x_i| \right) \right] . \tag{3.25}$$

Proof. Define the lattice point  $\underline{x}$  as in the proof of Lemma 3.7. By (3.23) and (3.24)

$$|\Lambda| \geq \left( |\tilde{\Lambda}| - \sum_{i=1}^n |x_i| \right) / \gamma \cdot C > 0 .$$

The result follows at once by (3.1). □

We proceed as follows. Choose a constant  $C_0$  such that if  $|\tilde{\Lambda}| > C_0$  then the upper bounds for  $|x_i|$  imply (3.24). In that case we have a new upper bound for  $X$  from (3.25). In case  $|\tilde{\Lambda}| \leq C_0$  we have an upper bound for the length of the vector  $\underline{x}$ . We compute all lattice points satisfying this bound

by the algorithm of Fincke and Pohst, and check them for (3.1).

Summarizing, the reduction method presented above is based on the fact that a large solution of (3.1) corresponds to an extremely short vector in an appropriate approximation lattice. Since we can actually prove by computations that such short vectors do not exist, it follows that such large solutions do not exist. We will apply the above described techniques in Chapter 5.

### 3.8. Inhomogeneous multi-dimensional approximation in the real case: an alternative for the generalized Davenport lemma.

Let  $\Lambda$  be the most general linear form that we study, viz.

$$\Lambda = \beta + \sum_{i=1}^n x_i \cdot \vartheta_i ,$$

where  $n \geq 2$  (the case  $n = 2$  has been dealt with in Section 3.3, but can be incorporated here also). To deal with this inhomogeneous case, two methods are available. The first method is a generalization of the method of Davenport that we discussed in Section 3.3. The second method is closer to the homogeneous case of the previous section.

First we explain briefly the generalized Davenport method. See Ellison [1971<sup>a</sup>] (where only the case  $n = 3$  is treated). Put

$$\begin{aligned} \vartheta'_i &= \vartheta_i / \vartheta_n \quad \text{for } i = 1, \dots, n-1, \quad \beta' = \beta / \vartheta_n, \\ \Lambda' &= \Lambda / \vartheta_n = \beta' + \sum_{i=1}^{n-1} x_i \cdot \vartheta'_i + x_n. \end{aligned}$$

Let  $(p_1, \dots, p_{n-1}, q)$  be a simultaneous approximation to  $\vartheta'_1, \dots, \vartheta'_{n-1}$  with  $q$  of the size of  $X_0^{n-1}$ , such that, for  $i = 1, \dots, n-1$ ,

$$|\vartheta'_i - p_i / q| < c' / q^{1+1/(n-1)}$$

for a small constant  $c'$ .

LEMMA 3.9. (Davenport, Ellison). Suppose that

$$\|q \cdot \beta'\| > 2 \cdot (n-1) \cdot X_0 \cdot c' / q^{1/(n-1)} .$$

Then the solutions of (3.1), (3.2) satisfy

$$X < \frac{1}{\delta} \cdot \log(q^{1+1/(n-1)} \cdot c / |\vartheta_n| \cdot c' \cdot (n-1) \cdot X_0) .$$

Proof. The result follows at once from

$$\|q \cdot \beta'\| \leq |q \cdot \Lambda' + \sum_{i=1}^{n-1} x_i \cdot (p_i - q \cdot \vartheta'_i)| \leq q \cdot |\vartheta_n|^{-1} \cdot c \cdot \exp(-\delta \cdot X) + (n-1) \cdot X_0 \cdot c' / q^{1/(n-1)} . \quad \square$$

To apply this generalized Davenport method in practice, it is necessary to compute the simultaneous approximations  $(p_1, \dots, p_{n-1}, q)$ . We indicated in Section 1.4 how this can be done with the  $L^3$ -algorithm. As lattice we take the one associated to the following matrix:

$$\begin{pmatrix} 1 & & & & \\ [C \cdot \vartheta'_1] & -C & & \emptyset & \\ \vdots & & \ddots & & \\ [C \cdot \vartheta'_{n-1}] & \emptyset & & & -C \end{pmatrix} ,$$

where  $C$  is a constant of size  $X_0^n$ . Then  $\underline{c}_1$ , the first basis vector of a reduced basis, will have length of the size of  $C^{(n-1)/n} \cong X_0^{n-1}$ . But  $\underline{c}_1$  can be written as

$$\underline{c}_1 = [q, q \cdot [C \cdot \vartheta'_1] - C \cdot p_1, \dots, q \cdot [C \cdot \vartheta'_{n-1}] - C \cdot p_{n-1}]^T$$

for some  $p_1, \dots, p_{n-1}, q$ . It can be expected that  $q$  is of size  $X_0^{n-1}$ , and

$$q \cdot C \cdot |\vartheta'_i - p_i/q| \cong |q \cdot [C \cdot \vartheta'_i] - C \cdot p_i|$$

are of the size  $X_0^{n-1}$ , so that  $|\vartheta'_i - p_i/q|$  are of the size  $X_0^{n-1}/C \cdot X_0^{n-1} = C^{-1} \cong X_0^{-n} \cong q^{-(1+1/(n-1))}$ , as desired.

The above method has been applied in practice to solve Thue and Thue-Mahler equations by Agrawal, Coates, Hunt and van der Poorten [1980] (using multi-dimensional continued fractions instead of the  $L^3$ -algorithm), Pethö and Schulenberg [1987], and Blass, Glass, Meronk and Steiner [1987<sup>a</sup>], [1987<sup>b</sup>]. So it has proved to be useful. However, we prefer another method, for several reasons. Firstly, it is close to the homogeneous case as described in the previous section, whereas the generalized Davenport method has no obvious

counterpart for the homogeneous case. Secondly, it actually produces solutions for which the linear form  $\Lambda$  is almost as near to zero as possible under the condition  $X \leq X_0$ . Thirdly, an analogous method for the p-adic case can be given (see Section 3.11). Finally, if a linear relation between the  $\vartheta_i$  exists, but had not been noticed before (a situation that sometimes occurs when one solves e.g. Thue equations), the method detects these relations, by finding explicitly an extremely short lattice vector giving the coefficients of the relation. Concerning computation time we think that the two methods are about equally fast.

The method works as follows. We take the approximation lattice  $\Gamma$  exactly as in the homogeneous case, cf. the previous section, with constants  $\gamma, C$  chosen properly, i.e.  $C$  is of the size  $X_0^n$ . Compute with the  $L^3$ -algorithm a reduced basis  $\underline{c}_1, \dots, \underline{c}_n$  of  $\Gamma$ . Let  $\mathcal{C}$  be the matrix associated to this basis, and compute also the transformation matrix  $\mathcal{U}$  with  $\mathcal{C} = \mathcal{B} \cdot \mathcal{U}$ , and its inverse  $\mathcal{U}^{-1}$ . Note that  $\mathcal{B}^{-1}$ , and hence also  $\mathcal{C}^{-1}$ , are easy to compute, namely by

$$\mathcal{B}^{-1} = \begin{pmatrix} 1/\gamma & & & & \emptyset \\ & \emptyset & & & \\ & & 1/\gamma & & \\ -\frac{[\gamma \cdot C \cdot \vartheta_1]}{\gamma \cdot [\gamma \cdot C \cdot \vartheta_n]} & \dots & -\frac{[\gamma \cdot C \cdot \vartheta_{n-1}]}{\gamma \cdot [\gamma \cdot C \cdot \vartheta_n]} & & \frac{1}{[\gamma \cdot C \cdot \vartheta_n]} \end{pmatrix}$$

and the  $L^3$ -algorithm. Let  $\underline{y} \in \mathbb{Z}^n$  be defined by

$$\underline{y} = (0, \dots, 0, -[\gamma \cdot C \cdot \beta])^T = \sum_{i=1}^n s_i \cdot \underline{c}_i,$$

where the coefficients  $s_i \in \mathbb{R}$  can be computed by

$$(s_1, \dots, s_n)^T = \mathcal{C}^{-1} \cdot \underline{y}.$$

To be more precise, if  $\mathcal{U}^{-1}$  has  $\underline{u}$  as  $n$ th column, then  $\mathcal{C}^{-1}$  has  $\underline{u}/[\gamma \cdot C \cdot \vartheta_n]$  as  $n$ th column, so

$$(s_1, \dots, s_n)^T = -\underline{u} \cdot [\gamma \cdot C \cdot \beta] / [\gamma \cdot C \cdot \vartheta_n].$$

Now we apply Lemma 3.5 or 3.6, that provide a lower bound for  $\ell(\Gamma, \underline{y})$ . Then we can apply the following lemma.

LEMMA 3.10. Let  $X_1$  be a positive constant such that

$$\ell(\Gamma, \underline{y}) \geq \sqrt{((n+2)^2 + (n-1)\gamma^2)} \cdot X_1 . \quad (3.26)$$

Then (3.1) has no solutions with

$$\frac{1}{\delta} \cdot \log(\gamma \cdot C \cdot c / X_1) \leq X \leq X_1 . \quad (3.27)$$

Remark. We apply this lemma for  $X_1 = X_0$ . If condition (3.26) then fails, we must take a larger constant  $C$ . If it holds for a constant  $C$  of the size  $X_0^n$ , then (3.27) yields a reduced lower bound for  $X$  of size  $\log X_0$ .

Proof. Let  $x_1, \dots, x_n$  be a solution of (3.1) with  $0 < X \leq X_1$ . Consider the lattice point

$$\underline{x} = \sum_{i=1}^n x_i \cdot \underline{b}_i = \left[ \gamma \cdot x_1, \dots, \gamma \cdot x_{n-1}, \bar{\Lambda}_0 \right]^T ,$$

with

$$\bar{\Lambda}_0 = \sum_{i=1}^n x_i \cdot \{\gamma \cdot C \cdot \vartheta_i\} .$$

Put  $\tilde{\Lambda} = [\gamma \cdot C \cdot \beta] + \bar{\Lambda}_0$ . Then

$$|\underline{x} - \underline{y}|^2 = \gamma^2 \cdot \sum_{i=1}^{n-1} x_i^2 + \bar{\Lambda}^2 \leq (n-1) \cdot \gamma^2 \cdot X_1^2 + \bar{\Lambda}^2 ,$$

and

$$\begin{aligned} |\tilde{\Lambda} - \gamma \cdot C \cdot \Lambda| &\leq |[\gamma \cdot C \cdot \beta] - \gamma \cdot C \cdot \beta| + \sum_{i=1}^n |x_i| \cdot |[\gamma \cdot C \cdot \vartheta_i] - \gamma \cdot C \cdot \vartheta_i| \\ &\leq 1 + \sum_{i=1}^n |x_i| \leq 1 + n \cdot X_1 \leq (n+1) \cdot X_1 . \end{aligned}$$

By (3.1), (3.26) and the definition of  $\ell(\Gamma, \underline{y})$  the result follows, since

$$\begin{aligned} \gamma \cdot C \cdot c \cdot \exp(-\delta \cdot X) &> |\gamma \cdot C \cdot \Lambda| \geq |\tilde{\Lambda}| - |\tilde{\Lambda} - \gamma \cdot C \cdot \Lambda| \\ &\geq \sqrt{(\ell(\Gamma, \underline{y})^2 - (n-1) \cdot \gamma^2 \cdot X_1^2)} - (n+1) \cdot X_1 \geq X_1 . \quad \square \end{aligned}$$

Again we may prove refinements of the above lemma, similar to Lemma 3.8 in the homogeneous case. We explained in Section 3.5. how to apply the Fincke

and Pohst algorithm in the inhomogeneous case. We do not work that out here.

Summarizing, the method described above is based on the fact that a large solution of (3.1) in the inhomogeneous case leads to a lattice point extremely near to a fixed point in  $\mathbb{Z}^n$ . We can actually prove by some computations that such lattice points do not exist, so that such extreme solutions do not exist. The method outlined in this section is used in Chapter 8. Note that in the case  $n = 2$  the method is essentially the same as the Davenport lemma.

### 3.9. Inhomogeneous zero-dimensional approximation in the p-adic case.

In the p-adic case we start with a very simple linear form  $\Lambda$ , to which also a very simple reduction method applies. Let  $\Lambda$  be

$$\Lambda = \beta + x \cdot \vartheta,$$

for  $\beta, \vartheta \in \Omega_p$  such that  $\beta/\vartheta \in \mathbb{Q}_p$ , and  $x \in \mathbb{Z}$ ,  $x > 0$ . It is obvious that in the real case with such a simple linear form  $\Lambda$  inequality (3.1) has only finitely many solutions (we even don't need (3.2)), and that they are easy to compute. In the p-adic case however, inequality (3.3) may have infinitely many solutions, so we do need a bound like (3.4), and a reduction method.

Put  $\vartheta' = -\beta/\vartheta$ . Then  $\vartheta' \in \mathbb{Q}_p$ . Inequality (3.3) now becomes

$$\text{ord}_p(\vartheta' - x) \geq c_1' + c_2 \cdot x, \quad (3.28)$$

where  $c_1', c_2$  are constants with  $c_2 > 0$ . We assume that

$$x \geq -c_1'/c_2.$$

Then (3.28) has no solutions if  $\text{ord}_p(\vartheta') < 0$ . Hence we may assume that  $\vartheta'$  is a p-adic integer. Let the p-adic expansion of  $\vartheta'$  be

$$\vartheta' = \sum_{i=0}^{\infty} u_i \cdot p^i,$$

where  $u_i \in \{0, 1, \dots, p-1\}$  for all  $i \in \mathbb{N}_0$ . Compute the p-adic digits  $u_i$  far enough to be able to apply the following reduction lemma.



LEMMA 3.11. Let  $X_1$  be a positive constant. Let  $r$  be the minimal index such that

$$p^r > X_1, \quad u_r \neq 0. \quad (3.29)$$

Then (3.28) has no solutions with

$$(r-c'_1)/c_2 < x \leq X_1. \quad (3.30)$$

Remark. We apply the lemma with  $X_1 = X_0$ . The assumption behind the lemma is that in the  $p$ -adic expansion of  $\theta'$  no long sequences of zeroes appear. In fact, it seems that in our applications the numbers  $u_i$  are distributed randomly over  $\{0, 1, \dots, p-1\}$ . Then the minimal  $r$  satisfying (3.29) will not be much larger than  $\log X_0 / \log p$ , and then (3.30) yields a reduced upper bound of size  $\log X_0$ , as desired.

Proof. Let  $x \leq X_1$  satisfy (3.28). Suppose that  $\text{ord}_p(\theta' - x) \geq r + 1$ . Then

$$x \equiv \sum_{i=0}^r u_i \cdot p^i \pmod{p^{r+1}}.$$

By  $x \geq 0$  it follows from (3.29) that

$$x \geq \sum_{i=0}^r u_i \cdot p^i \geq u_r \cdot p^r \geq p^r > X_1,$$

which contradicts the assumption  $x \leq X_1$ . Hence  $\text{ord}_p(\theta' - x) \leq r$ , and (3.30) follows from (3.28).  $\square$

Remark. In the above proof it is essential that  $x \geq 0$ . It is however not difficult to formulate a similar result that holds for all  $x \in \mathbb{Z}$ , by looking, if  $p \neq 2$  for  $p$ -adic digits  $u_i$  that are not only  $\neq 0$  but also  $\neq p-1$ , and if  $p = 2$  for  $p$ -adic digits  $u_i$  with  $u_i \neq u_{i+1}$ .

A method very similar to the one described above was used by Wagstaff [1979], [1981] for solving congruences such as  $5^n \equiv 2 \pmod{3^n}$ . We apply the method in Chapter 4.

3.10. Homogeneous one-dimensional approximation in the p-adic case: p-adic continued fractions and approximation lattices of p-adic numbers.

Let  $\Lambda$  have the form

$$\Lambda = x_1 \cdot \vartheta_1 + x_2 \cdot \vartheta_2 ,$$

where  $\vartheta_1, \vartheta_2 \in \Omega_p$  such that  $\vartheta = -\vartheta_1/\vartheta_2 \in \mathbb{Q}_p$ , and  $x_1, x_2 \in \mathbb{Z}$ . We may assume that  $\text{ord}_p(\vartheta) \geq 0$ . Now

$$\Lambda' = \Lambda/\vartheta_1 = -x_1 \cdot \vartheta + x_2 .$$

So (3.3) now means that the rational number  $x_2/x_1$  is p-adically close to the p-adic number  $\vartheta$ .

In analogy of the real case it seems reasonable to study p-adic continued fraction algorithms. However, a p-adic continued fraction algorithm that provides all best approximations to a p-adic number seems not to exist. Therefore we introduce the concept of *p-adic approximation lattices*, as was done in de Weger [1986<sup>a</sup>]. From this paper we adopt the best approximation algorithm, which is a generalization of the algorithm of Mahler [1961], Chapter IV. This algorithm goes back also on the euclidean algorithm, and thus is close to a continued fraction algorithm. But it is not a p-adic continued fraction algorithm in the sense that a p-adic number is expanded into a continued fraction, and that the approximations are then found by truncating the continued fraction.

Recall that for  $\mu \in \mathbb{N}_0$  the rational integer  $\vartheta^{(\mu)}$  is defined by  $\text{ord}_p(\vartheta - \vartheta^{(\mu)}) \geq \mu$  and  $0 \leq \vartheta^{(\mu)} < p^\mu$ . We define for any  $\mu \in \mathbb{N}_0$  the p-adic approximation lattice  $\Gamma_\mu$  by a matrix to which a basis of  $\Gamma_\mu$  is associated, namely the matrix

$$\begin{pmatrix} 1 & 0 \\ \vartheta^{(\mu)} & p^\mu \end{pmatrix} .$$

Then it is easy to see that

$$\Gamma_\mu = \{ (x_1, x_2)^T \in \mathbb{Z}^2 \mid \text{ord}_p(x_2 - x_1 \cdot \vartheta) \geq \mu \}$$

(cf. Lemma 3.13 in the next section, where we prove a more general result).

The following algorithm computes the point of minimal length in  $\Gamma_\mu$ .

Figure 3. p-adic approximation algorithm.

```

 $\underline{x} := (1, \vartheta^{(\mu)})^T$  ;  $\underline{y} := (0, p^\mu)^T$  ;
if  $|\underline{x}| > |\underline{y}|$  , interchange  $\underline{x}$  and  $\underline{y}$  ;
(1) compute  $K \in \mathbb{Z}$  such that  $|\underline{y} - K \cdot \underline{x}|$  is minimal ;
 $\underline{y} := \underline{y} - K \cdot \underline{x}$  ;
if  $|\underline{x}| > |\underline{y}|$  , interchange  $\underline{x}$  and  $\underline{y}$  , and go to (1) ;
print  $\underline{x}$  .

```

With this algorithm it is possible to compute  $\ell(\Gamma_\mu)$  explicitly. Then we can apply the following lemma.

LEMMA 3.12. Let  $X_1$  be a constant such that

$$\ell(\Gamma_\mu) > \sqrt{2} \cdot X_1 . \quad (3.31)$$

Then (3.3) has no solutions with

$$(\mu - 1 - c_1 + \text{ord}_p(\vartheta_2)) / c_2 < x_j \leq X \leq X_1 . \quad (3.32)$$

Remark. We take  $\mu$  such that  $p^\mu$  is of the size of  $X_0^2$  , and apply the lemma for  $X_1 = X_0$  . Then we expect that  $\ell(\Gamma_\mu)$  is of the size of  $X_0$  , so that (3.31) is a reasonable condition.

Proof. Apply the proof of Lemma 3.14 (in the next section) for  $n = 2$  .  $\square$

The above method has been applied by Agrawal, Coates, Hunt and van der Poorten [1980]. We use it in Chapters 6 and 7.

### 3.11. Homogeneous multi-dimensional approximation in the p-adic case: p-adic approximation lattices.

We now study the case

$$\Lambda = \sum_{i=1}^n x_i \cdot \vartheta_i ,$$

where  $\vartheta_i \in \Omega_p$  such that  $\vartheta_i / \vartheta_j \in \mathbb{Q}_p$  ,  $x_i \in \mathbb{Z}$  for all  $i, j$  , and with  $n \geq 2$  . We may assume that  $\text{ord}_p(\vartheta_i)$  is minimal for  $i = n$  . Put

$$\vartheta'_i = -\vartheta_i/\vartheta_n \quad \text{for } i = 1, \dots, n-1 .$$

Then  $\vartheta'_i \in \mathbb{Z}_p$  for all  $i$  . Put

$$\Lambda' = \Lambda/\vartheta_n = -\sum_{i=1}^{n-1} x_i \cdot \vartheta'_i + x_n .$$

The definition of the  $p$ -adic approximation lattices can be generalized directly from the one-dimensional case. Namely, for any  $\mu \in \mathbb{N}_0$  we define  $\Gamma_\mu$  as the lattice associated to the matrix

$$\mathfrak{B}_\mu = \begin{bmatrix} 1 & & & \vartheta \\ & \ddots & & \\ & & \ddots & \\ & & & 1 \\ \vartheta'_1(\mu) & \dots & \vartheta'_{n-1}(\mu) & p^\mu \end{bmatrix} .$$

Then we have the following result.

LEMMA 3.13. *The lattice  $\Gamma_\mu$ , associated to the above defined matrix  $\mathfrak{B}_\mu$ , is equal to the set*

$$\Gamma_\mu = \{ (x_1, \dots, x_n)^T \in \mathbb{Z}^n \mid \text{ord}_p(\Lambda') \geq \mu \} .$$

Proof. For any  $\underline{x} = (x_1, \dots, x_n)^T \in \Gamma_\mu$  there exists a  $\underline{z} = (z_1, \dots, z_n)^T \in \mathbb{Z}^n$  such that  $\underline{x} = \mathfrak{B}_\mu \cdot \underline{z}$  . Then  $x_i = z_i$  for  $i = 1, \dots, n-1$ , and

$$x_n = \sum_{i=1}^{n-1} z_i \cdot \vartheta'_i(\mu) + z_n \cdot p^\mu \equiv \sum_{i=1}^{n-1} x_i \cdot \vartheta'_i \pmod{p^\mu} .$$

Hence  $\text{ord}_p(\Lambda') \geq \mu$  . Conversely, for any  $\underline{x} = (x_1, \dots, x_n)^T$  such that  $\text{ord}_p(\Lambda') \geq \mu$  there obviously exists a  $\underline{z} \in \mathbb{Z}^n$  such that  $\underline{x} = \mathfrak{B}_\mu \cdot \underline{z}$  .  $\square$

Using the  $L^3$ -algorithm we can compute a lower bound for  $\ell(\Gamma_\mu)$  . Then we can apply the following lemma, which is a direct generalization of Lemma 3.12.

LEMMA 3.14. *Let  $X_1$  be a constant such that*

$$\ell(\Gamma_\mu) > \sqrt{n} \cdot X_1 . \tag{3.33}$$

Then (3.3) has no solutions with

$$(\mu-1-c_1+\text{ord}_p(\vartheta_n))/c_2 < x_j \leq X \leq X_1 . \tag{3.34}$$

Remark. We take  $\mu$  such that  $p^\mu$  is of the size of  $X_0^n$ , and apply the lemma for  $X_1 = X_0$ . Then we expect that  $\ell(\Gamma_\mu)$  is of the size of  $X_0$ , so that (3.33) is a reasonable condition.

Proof. Let  $x_1, \dots, x_n$  be a solution of (3.3) with  $X \leq X_1$ . Then (3.33) prohibits the point  $(x_1, \dots, x_n)^T$  from being a lattice point in  $\Gamma_\mu$ . Hence, by Lemma 3.13,  $\text{ord}_p(\Lambda') \leq \mu - 1$ , and (3.34) follows from (3.3).  $\square$

We will apply the results of this section in Chapters 6 and 7.

### 3.12. Inhomogeneous one- and multi-dimensional approximation in the p-adic case.

Finally we study an inhomogeneous p-adic form

$$\Lambda = \beta + \sum_{i=1}^n x_i \cdot \vartheta_i,$$

where  $\beta, \vartheta_i \in \Omega_p$  such that  $\beta/\vartheta_j, \vartheta_i/\vartheta_j \in \mathbb{Q}_p$  and  $x_i \in \mathbb{Z}$  for all  $i, j$ , and  $n \geq 2$ . We assume that  $\text{ord}_p(\vartheta_i)$  is minimal for  $i = n$ , and that  $\text{ord}_p(\beta) \geq \text{ord}_p(\vartheta_n)$ . Put

$$\vartheta'_i = -\vartheta_i/\vartheta_n \quad \text{for } i = 1, \dots, n-1, \quad \beta' = \beta/\vartheta_n,$$

$$\Lambda' = \Lambda/\vartheta_n = \beta' - \sum_{i=1}^{n-1} x_i \cdot \vartheta'_i + x_n.$$

Then  $\beta', \vartheta'_i \in \mathbb{Z}_p$  for all  $i$ . As p-adic approximation lattices we take the lattices  $\Gamma_\mu$  that were defined for the homogeneous case, i.e. for any  $\mu \in \mathbb{N}_0$  the lattice  $\Gamma_\mu$  that is associated to the matrix  $\mathcal{B}_\mu$  (see Section 3.11). Put further

$$\underline{y} = (0, \dots, 0, \beta'^{(\mu)})^T = \sum_{i=1}^n s_i \cdot \underline{c}_i \in \mathbb{Z}^n,$$

where  $\underline{c}_1, \dots, \underline{c}_n$  is a reduced basis of  $\Gamma_\mu$ , and  $s_i \in \mathbb{R}$ . By Lemma 3.5 or 3.6 we can compute a lower bound for  $\ell(\Gamma, \underline{y})$ . This is useful in view of the following lemma.

LEMMA 3.15. The set  $\Gamma_\mu(\mathbf{y}) = \Gamma_\mu + \mathbf{y}$  is equal to the set

$$\Gamma_\mu(\mathbf{y}) = \{ (x_1, \dots, x_n)^T \in \mathbb{Z}^n \mid \text{ord}_p(\Lambda') \geq \mu \} .$$

Proof. Let  $\mathbf{x} = (x_1, \dots, x_n)^T$  satisfy  $\mathbf{x} - \mathbf{y} \in \Gamma_\mu$ . Note that

$$\mathbf{x} - \mathbf{y} = (x_1, \dots, x_{n-1}, x_n - \beta', (\mu))^T .$$

By Lemma 3.13 we have

$$\text{ord}_p \left( \sum_{i=1}^{n-1} x_i \cdot \theta_i - (x_n - \beta', (\mu)) \right) \geq p^\mu .$$

The left hand side is just  $\text{ord}_p(\Lambda')$ , which proves the lemma.  $\square$

Obviously, the length of the shortest vector in  $\Gamma_\mu(\mathbf{y})$  (a translated lattice) is equal to  $\ell(\Gamma_\mu, \mathbf{y})$  (unless in the case  $\mathbf{y} \in \Gamma_\mu$ ). We have the following useful lemma.

LEMMA 3.16. Let  $X_1$  be a constant such that

$$\ell(\Gamma_\mu, \mathbf{y}) > \sqrt{n} \cdot X_1 . \quad (3.35)$$

Then (3.3) has no solutions with

$$(\mu - 1 - c_1 + \text{ord}_p(\theta_n)) / c_2 < x_j \leq X \leq X_1 . \quad (3.36)$$

Remark. We take  $\mu$  such that  $p^\mu$  is of the size of  $X_0^n$ , and apply the lemma for  $X_0 = X_1$ . Then we expect that  $\ell(\Gamma_\mu, \mathbf{y})$  is of the size of  $X_0$ , so that (3.35) is a reasonable condition.

Proof. Let  $x_1, \dots, x_n$  be a solution of (3.3) with  $X \leq X_1$ . Then (3.35) prohibits the point  $(x_1, \dots, x_n)^T$  from being in  $\Gamma_\mu(\mathbf{y})$ . Hence, by Lemma 3.15,  $\text{ord}_p(\Lambda') \leq \mu - 1$ , and (3.36) follows from (3.3).  $\square$

We shall not apply the above lemma in this thesis, so we have included it here only for the sake of completeness. However, when solving Thue-Mahler equations (see Section 8.6), it will be of use.

### 3.13. Useful sublattices of p-adic approximation lattices.

In our p-adic applications of solving diophantine equations via linear forms, we always have linear forms in logarithms of algebraic numbers, i.e. in

$$\Lambda = \beta + \sum_{i=1}^n x_i \cdot \vartheta_i$$

the  $\beta$  and  $\vartheta_i$ 's are p-adic logarithms of algebraic numbers, say

$$\beta = \log_p(\alpha_0) \quad , \quad \vartheta_i = \log_p(\alpha_i) \quad \text{for } i = 1, \dots, n .$$

In Section 2.3 we have seen that for a  $\xi \in \mathbb{Q}_p$  if  $\text{ord}_p(1 \pm \xi) > 1/(p-1)$  then  $\text{ord}_p(\log_p(\xi)) = \text{ord}_p(1 \pm \xi)$ . In our applications we apply this to

$$\xi = \alpha_0 \cdot \prod_{i=1}^n \alpha_i^{x_i} ,$$

for which  $\text{ord}_p(\xi-1)$  is large. This implies that  $\text{ord}_p(\log_p(\xi))$  is large too, on which we based the definition of our approximation lattices. However, the converse is not necessarily true:  $\text{ord}_p(\log_p(\xi))$  being large does not imply that  $\text{ord}_p(\xi-1)$  is large. This is due to the fact that the p-adic logarithm is a multi-branched function. To be more precise, for any root of unity  $\zeta \in \mathbb{Q}_p$  we have  $\log_p(\zeta) = 0$  (cf. Section 2.3). In  $\mathbb{Q}_p$  there exist only the  $(p-1)$  th roots of unity if  $p$  is odd, and only  $\pm 1$  as roots of unity if  $p = 2$ . Let  $\zeta$  be a primitive  $(p-1)$  th root of unity if  $p$  is odd, and  $\zeta = -1$  if  $p = 2$ . It follows that  $\text{ord}_p(\log_p(\xi))$  being large implies that for some  $k \in \{0, 1, \dots, p-2\}$  (or  $k \in \{0, 1\}$  if  $p = 2$ )

$$\text{ord}_p(\log_p(\xi)) = \text{ord}_p(\xi - \zeta^k) .$$

It turns out that the set of  $x_1, \dots, x_n$  such that  $\text{ord}_p(\xi-1)$  (or  $\text{ord}_p(\xi \pm 1)$  if one wishes) is large, is a sublattice  $\Gamma_\mu^*$  (or  $\Gamma_\mu^\#$ ) of  $\Gamma_\mu$ . In the following lemma we shall prove this fact, and indicate how a basis of this sublattice can be found. Then we can work with this sublattice instead of  $\Gamma_\mu$  itself. Of course, in Lemmas 3.12, 3.14 and 3.16 we can replace  $\Gamma_\mu$  by these sublattices  $\Gamma_\mu^*$ ,  $\Gamma_\mu^\#$ . For simplicity we assume that  $\alpha_i \in \mathbb{Q}_p$  for all  $i$ . We take  $\alpha_0 = 1$ , and leave it to the reader to define appropriate translated lattices  $\Gamma_\mu^*(y)$ ,  $\Gamma_\mu^\#(y)$  for the case  $\alpha_0 \neq 1$ .

LEMMA 3.17. Let  $\alpha_1, \dots, \alpha_n \in \mathbb{Q}_p$  be given numbers with  $\text{ord}_p(\alpha_i) = 0$  for all  $i$ , and  $\text{ord}_p(\log_p(\alpha_i))$  minimal for  $i = n$ . Let  $x_1, \dots, x_n \in \mathbb{Z}$ . Put

$$\xi = \prod_{i=1}^n \alpha_i^{x_i}, \quad \mu_0 = \text{ord}_p(\log_p(\alpha_n)).$$

Put for any  $\mu \in \mathbb{N}_0$

$$\Gamma_\mu = \{ (x_1, \dots, x_n) \in \mathbb{Z}^n \mid \text{ord}_p(\log_p(\xi)) \geq \mu + \mu_0 \},$$

$$\Gamma_\mu^* = \{ (x_1, \dots, x_n) \in \mathbb{Z}^n \mid \text{ord}_p(\xi \pm 1) \geq \mu + \mu_0 \},$$

$$\Gamma_\mu^\# = \{ (x_1, \dots, x_n) \in \mathbb{Z}^n \mid \text{ord}_p(\xi - 1) \geq \mu + \mu_0 \}.$$

Then  $\Gamma_\mu^\# \subseteq \Gamma_\mu^* \subseteq \Gamma_\mu$  are lattices. If  $p = 2$  they are all equal. If  $p = 3$  then  $\Gamma_\mu^* = \Gamma_\mu$ . Let further  $p \geq 3$ . Let  $\underline{b}_1, \dots, \underline{b}_n$  be a basis of  $\Gamma_\mu$ . Define  $k(\underline{x})$  for any  $\underline{x} = (x_1, \dots, x_n)^T \in \Gamma_\mu$  by

$$\xi = \zeta^{k(\underline{x})} \pmod{p^{\mu + \mu_0}}, \quad k(\underline{x}) \in \{0, 1, \dots, p-2\}.$$

Let  $\underline{b}'_1, \dots, \underline{b}'_n$  be a basis of  $\Gamma_\mu$  such that

$$k(\underline{b}'_n) = \gcd(k(\underline{b}_1), \dots, k(\underline{b}_n)).$$

Put for  $i = 1, \dots, n-1$  and  $p \geq 5$

$$\gamma_i^* = k(\underline{b}'_i)/k(\underline{b}'_n) \pmod{(p-1)/2}, \quad |\gamma_i^*| \leq (p-1)/4,$$

$$\underline{b}_i^* = \underline{b}'_i - \gamma_i^* \cdot \underline{b}'_n,$$

and for  $p \geq 3$  also

$$\gamma_i^\# = k(\underline{b}'_i)/k(\underline{b}'_n) \pmod{p-1}, \quad |\gamma_i^\#| \leq (p-1)/2,$$

$$\underline{b}_i^\# = \underline{b}'_i - \gamma_i^\# \cdot \underline{b}'_n.$$

Further put for  $p \geq 5$

$$\gamma_n^* = \text{lcm}(k(\underline{b}'_n), (p-1)/2)/k(\underline{b}'_n), \quad \underline{b}_n^* = \gamma_n^* \cdot \underline{b}'_n,$$

and for  $p \geq 3$  also

$$\gamma_n^\# = \text{lcm}(k(\underline{b}'_n), p-1)/k(\underline{b}'_n), \quad \underline{b}_n^\# = \gamma_n^\# \cdot \underline{b}'_n.$$

Then  $\underline{b}_1^*, \dots, \underline{b}_n^*$  is a basis of  $\Gamma_\mu^*$ , and  $\underline{b}_1^\#, \dots, \underline{b}_n^\#$  is a basis of  $\Gamma_\mu^\#$ .

Proof. It is trivial that  $\Gamma_\mu^\# \subseteq \Gamma_\mu^* \subseteq \Gamma_\mu$ , and that they are lattices. The equalities of the lattices for  $p = 2, 3$  follow from the fact that  $\pm 1$  are



the only roots of unity in  $\mathbb{Q}_p$  for  $p = 2, 3$ . Note that  $k(\underline{x})$  is (mod  $(p-1)$ ) a linear function on  $\Gamma_\mu$ . The points  $\underline{x}$  of  $\Gamma_\mu^*$  are characterized by  $(p-1)/2 \mid k(\underline{x})$ , and the points  $\underline{x}$  of  $\Gamma_m^\#$  are characterized by  $(p-1) \mid k(\underline{x})$ . It follows from the definitions in the lemma that for  $i = 1, \dots, n-1$

$$k(\underline{b}_i^*) \equiv k(\underline{b}'_i) - \gamma_i^* \cdot k(\underline{b}'_n) \equiv 0 \pmod{(p-1)/2},$$

$$k(\underline{b}_i^\#) \equiv k(\underline{b}'_i) - \gamma_i^\# \cdot k(\underline{b}'_n) \equiv 0 \pmod{(p-1)}.$$

Note that  $\underline{b}_1^*, \dots, \underline{b}_{n-1}^*, \underline{b}'_n$  and  $\underline{b}_1^\#, \dots, \underline{b}_{n-1}^\#, \underline{b}'_n$  are both bases of  $\Gamma_\mu$ . Write  $\underline{x} \in \Gamma_\mu$  as

$$\underline{x} = \sum_{i=1}^{n-1} y_i^* \cdot \underline{b}_i^* + y_n^* \cdot \underline{b}'_n = \sum_{i=1}^{n-1} y_i^\# \cdot \underline{b}_i^\# + y_n^\# \cdot \underline{b}'_n$$

for integers  $y_i^*, y_i^\#$ . Then it follows that

$$k(\underline{x}) \equiv y_n^* \cdot k(\underline{b}'_n) \pmod{(p-1)/2},$$

$$k(\underline{x}) \equiv y_n^\# \cdot k(\underline{b}'_n) \pmod{(p-1)}.$$

So  $\underline{x} \in \Gamma_\mu^*$  if and only if  $\gamma_n^* \mid y_n^*$ , and  $\underline{x} \in \Gamma_\mu^\#$  if and only if  $\gamma_n^\# \mid y_n^\#$ . This proves the result.  $\square$

## CHAPTER 4. S-INTEGRAL ELEMENTS OF BINARY RECURRENCE SEQUENCES.

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### 4.1. Introduction.

In this chapter we present a reduction algorithm for the following problem. Let  $A, B, G_0, G_1$  be integers, and let the recurrence sequence  $(G_n)_{n=0}^{\infty}$  be defined by

$$G_{n+1} = A \cdot G_n - B \cdot G_{n-1} \quad \text{for } n = 1, 2, \dots .$$

Assume that  $\Delta = A^2 - 4 \cdot B$  is not a square. Let  $w$  be a nonzero integer, and let  $p_1, \dots, p_s$  be distinct prime numbers. We study the diophantine equation

$$G_n = w \cdot \prod_{i=1}^s p_i^{m_i} \tag{4.1}$$

in nonnegative integers  $n, m_1, \dots, m_s$ . We will study both the cases of positive and negative discriminant  $\Delta$  (the 'hyperbolic' and 'elliptic' cases). It was shown by Mahler [1934] that (4.1) has only finitely many solutions. For the case  $\Delta > 0$  Schinzel [1967] has given an effectively computable upper bound for the solutions.

Mignotte [1984<sup>a</sup>], [1984<sup>b</sup>] indicated how in some instances (4.1) with  $s = 1$  can be solved by congruence techniques. It is however not clear that his method will work for any equation (4.1) with  $s = 1$ . Moreover, his method seems not to be generalizable for  $s > 1$ . Pethő [1985] has given a reduction algorithm, based on the Gelfond–Baker method, to treat (4.1) in the case  $\Delta > 0$ ,  $w = s = 1$ .

Our reduction algorithms are based on a simple case of  $p$ -adic diophantine approximation, namely the zero-dimensional case, cf. Section 3.9. In the

hyperbolic case this suffices to be able to find all solutions of (4.1). This is based on a trivial observation of the exponential growth of  $|G_n|$  in this case. In the elliptic case the situation is essentially more complicated. Then information on the growth of  $|G_n|$  can be obtained from the complex Gelfond-Baker theory. Therefore in this case we have to combine the  $p$ -adic arguments with the one-dimensional homogeneous or inhomogeneous real diophantine approximation method, cf. Sections 3.2 and 3.3.

We shall give explicit upper bounds for the solutions of (4.1) which are small enough to admit the practical application of the reduction algorithms, if the parameters of the equation are not too large. Pethö [1985] pointed out that essentially better upper bounds hold for all but possibly one solutions.

The generalized Ramanujan-Nagell equation

$$x^2 + k = \prod_{i=1}^s p_i^{z_i}, \quad (4.2)$$

where  $k \in \mathbb{Z}$  is fixed, and  $x, z_1, \dots, z_s \in \mathbb{N}_0$  are the unknowns, can be reduced to a finite number of equations of type (4.1) with  $\Delta > 0$ . Equation (4.2) with  $s = 1$  has a long history (cf. Hasse [1966], Beukers [1981] for a survey), and interesting applications in coding theory (cf. Bremner, Calderbank, Hanlon, Morton and Wolfskill [1983], MacWilliams and Sloane [1977], and Tzanakis and Wolfskill [1986], [1987]). Examples of (4.2) have been solved using the Gelfond-Baker theory by Hunt and van der Poorten (unpublished). They used real or complex, not  $p$ -adic linear forms in logarithms. As far as we know, none of the proposed methods to treat (4.2) gives rise to an algorithm which works for arbitrary values of  $k$  and the  $p_i$ 's, whereas Tzanakis' elementary method (cf. Tzanakis [1983]) seems to be the only one that can be generalized to  $s > 1$ . Our method has both properties.

This chapter is organized as follows. In Section 4.2 we give some preliminaries on binary recurrence sequences. In Section 4.3 we study the growth of  $|G_n|$ , both in the hyperbolic and the elliptic case. The hyperbolic case is trivial, and in the elliptic case we give a method for solving  $|G_n| < v$  for a fixed  $v \in \mathbb{N}$ , by proving an upper bound for  $n$  that has particularly good dependence on  $v$ , and by showing how to reduce such an upper bound. Section 4.4 gives upper bounds for the solutions of (4.1). Section 4.5 treats a special case: that of 'symmetric' recurrences.

For this special type of recurrence sequences our reduction algorithms fail, but elementary arguments will always work for solving (4.1) in these cases.

Section 4.6 gives a lemma on which the p-adic part of the reduction procedure is based, and some trivial cases are excluded. In Section 4.7 we give the algorithm for reducing upper bounds for the solutions of (4.1) in the case  $\Delta > 0$ , with some elaborated examples. The same is done for the case  $\Delta < 0$  in Section 4.8. Section 4.9 shows how to treat the generalized Ramanujan-Nagell equation (4.2), as an application of the hyperbolic case of (4.1). As an example we determine all integers  $x$  such that  $x^2 + 7$  has no prime factors larger than 20, thus extending the result of Nagell [1948] on the equation  $x^2 + 7 = 2^n$  (the original Ramanujan-Nagell equation). Finally in Section 4.10 we give an application of the elliptic case of (4.1) to a certain type of mixed quadratic-exponential diophantine equation, analogous to the application of the hyperbolic case to solving (4.2). As an example, we determine the solutions  $X, m_1, m_2, n$  of

$$X^2 - 3^{m_1} \cdot 7^{m_2} \cdot X + 2 \cdot (3^{m_1} \cdot 7^{m_2})^2 = 11 \cdot 2^n .$$

#### 4.2. Binary recurrence sequences.

Let  $A, B, G_0, G_1$  be given integers. Let the sequence  $\{G_n\}_{n=0}^{\infty}$  be defined by

$$G_{n+1} = A \cdot G_n - B \cdot G_{n-1} \quad \text{for } n = 1, 2, \dots . \quad (4.3)$$

Let  $\alpha, \beta$  be the roots of  $x^2 - A \cdot x + B = 0$ . We assume that  $\Delta = A^2 - 4 \cdot B$  is not a square, and that  $\alpha/\beta$  is not a root of unity (i.e. the sequence is not degenerate). Put

$$\lambda = \frac{G_1 - G_0 \cdot \beta}{\alpha - \beta} , \quad \mu = \frac{G_0 \cdot \alpha - G_1}{\alpha - \beta} . \quad (4.4)$$

Then  $\lambda$  and  $\mu$  are conjugates in  $K = \mathbb{Q}(\sqrt{\Delta})$ . It is well known that for all  $n \geq 0$

$$G_n = \lambda \cdot \alpha^n + \mu \cdot \beta^n , \quad (4.5)$$

(cf. Shorey and Tijdeman [1986], Theorem C.1). Since our aim is to solve (4.1), we see from (4.3) that we may assume without loss of generality that

$$(G_0, G_1) = (G_1, B) = (A, B) = 1 .$$

Namely, if  $p \mid (G_1, B)$  then  $p \mid (G_1, G_2)$ , and if  $p \mid (A, B)$  then  $p \mid (G_2, G_3)$ , and if  $p \mid (G_{n_0}, G_{n_0+1})$  then  $p \mid G_n$  for all  $n \geq n_0$ , so the common factor  $p$  can be divided out in equation (4.1).

LEMMA 4.1. Let  $n, m_1, \dots, m_s$  be a solution of (4.1). Then, with the above assumptions, we have for  $i = 1, \dots, s$  either  $m_i = 0$  or  $n = 0$  or

$$\begin{aligned} \text{ord}_{P_i}(\alpha) = \text{ord}_{P_i}(\beta) = 0 , \\ \text{ord}_{P_i}(\lambda) = \text{ord}_{P_i}(\mu) = -\frac{1}{2} \cdot \text{ord}_{P_i}(\Delta) \leq 0 . \end{aligned} \tag{4.6}$$

Proof. Suppose  $p_i \mid B$ . Then  $p_i \nmid A$ , hence, from (4.3) and  $(B, G_1) = 1$ ,  $p_i \nmid G_n$  for all  $n \geq 0$ . Thus,  $m_i = 0$  or  $n = 0$ . Next suppose  $p_i \nmid B$ . Then, by  $\alpha \cdot \beta = B$ ,

$$\text{ord}_{P_i}(\alpha) + \text{ord}_{P_i}(\beta) = \text{ord}_{P_i}(B) = 0 .$$

Now,  $\alpha$  and  $\beta$  are algebraic integers, so their  $p_i$ -adic orders are nonnegative. It follows that they are zero. Put  $E = -\lambda \cdot \mu \cdot \Delta$ . Note that  $E \in \mathbb{Z}$ , and for all  $n \geq 0$

$$G_{n+1}^2 - A \cdot G_n \cdot G_{n+1} + B \cdot G_n^2 = E \cdot B^n .$$

Suppose that  $p_i \mid E$ , then we infer that  $p_i \nmid G_n$  for all  $n$ , since  $(G_0, G_1) = 1$ . Hence  $m_i = 0$ . Next suppose  $p_i \nmid E$ , then

$$\text{ord}_{P_i}(\lambda \cdot \sqrt{\Delta}) + \text{ord}_{P_i}(\mu \cdot \sqrt{\Delta}) = \text{ord}_{P_i}(E) = 0 .$$

Since  $\lambda \cdot \sqrt{\Delta}$  and  $\mu \cdot \sqrt{\Delta}$  are algebraic integers, the result follows.  $\square$

From Lemma 2.1 it follows that we may assume without loss of generality that (4.6) holds for  $i = 1, \dots, s$ . Of course, we may also assume that  $\text{ord}_{P_i}(w) = 0$  for  $i = 1, \dots, s$ . The special case  $s = 0$  in equation (4.1) is trivial if  $\Delta > 0$ , and will be treated in the next section for all  $\Delta$ .

4.3. The growth of the recurrence sequence.

First we treat the hyperbolic case  $\Delta > 0$ . Note that  $|\alpha| \neq |\beta|$ , since the sequence is not degenerate. So we may assume  $|\alpha| > |\beta|$ . We have the following, almost trivial, result on the exponentiality of the growth of the sequence  $\{G_n\}_{n=0}^{\infty}$ . Let

$$n_0 > \max \left[ 2, \log \left| \frac{\mu}{\lambda} \right| / \log \left| \frac{\alpha}{\beta} \right| \right],$$

$$\gamma = |\lambda| - |\mu| \cdot \left| \frac{\alpha}{\beta} \right|^{-n_0}.$$

Note that  $\gamma > 0$ .

LEMMA 4.2. Let  $\Delta > 0$ . If  $n \geq n_0$  then  $|G_n| \geq \gamma \cdot |\alpha|^n$ .

Proof. By (4.5),  $|\alpha| > |\beta|$  and  $n_0 > 0$  it follows for  $n \geq n_0$  that

$$|G_n| \cdot |\alpha|^{-n} = |\lambda + \mu \cdot \left( \frac{\alpha}{\beta} \right)^{-n}| \geq |\lambda| - |\mu| \cdot \left| \frac{\alpha}{\beta} \right|^{-n} \geq \gamma. \quad \square$$

We apply this to (4.1) as follows.

COROLLARY 4.3. Let  $\Delta > 0$ . Any solution  $n, m_1, \dots, m_s$  of (4.1) with  $n \geq n_0$  satisfies

$$n < \sum_{i=1}^s m_i \cdot \frac{\log p_i}{\log |\alpha|} - \frac{\log(\gamma/|w|)}{\log |\alpha|}.$$

Proof. Clear, from Lemma 4.2 and (4.1). □

Next we study the elliptic case  $\Delta < 0$ . Since  $\alpha/\beta$  is not a root of unity,  $B \geq 2$ . Since  $(\alpha, \beta)$  and  $(\lambda, \mu)$  are pairs of complex conjugates,  $|\alpha| = |\beta|$  and  $|\lambda| = |\mu|$ . Let  $v \in \mathbb{R}$ ,  $v \geq 1$  be given. We study the diophantine inequality

$$|G_n| \leq v. \tag{4.7}$$

We apply a result of Waldschmidt (see Section 2.3) from the complex theory of linear forms in logarithms, which gives an upper bound for  $n$  that is particularly good in  $v$ . See also Kiss [1979]. Let

$$E = -\lambda \cdot \mu \cdot \Delta ,$$

$$U_2 = \frac{1}{2} \cdot \max ( \pi , \log B ) , \quad U_3 = \frac{1}{2} \cdot \max ( \pi , \log E ) ,$$

$$U_2^+ = \min ( U_2 , U_3 ) , \quad U_3^+ = \max ( U_2 , U_3 ) ,$$

$$C_1 = 3.362 \times 10^{21} \cdot U_2 \cdot U_3 \cdot \log(2 \cdot e \cdot U_2^+) , \quad C_2 = \log(4 \cdot e \cdot U_3^+) ,$$

$$C_3 = \left[ \log(\pi/2 \cdot |\mu|) + C_1 \cdot C_2 + C_1 \cdot \log(4 \cdot C_1 / \log B) \right] \cdot 4 / \log B .$$

**THEOREM 4.4.** Let  $v \in \mathbb{R}$  ,  $v \geq 1$  . All solutions  $n \geq 0$  of (4.7) satisfy

$$n < C_3 + \frac{4}{\log B} \cdot \log \max \left[ v , 2 \cdot |G_0 \cdot \mu \cdot \sqrt{\Delta}| \right] .$$

**Remark.** Note that  $C_3$  does not depend on  $v$  .

The following corollary of Theorem 4.4 is immediate.

**COROLLARY 4.5.** Let  $\Delta < 0$  . Any solution  $n, m_1, \dots, m_s$  of (4.1) satisfies

$$n < C_3 + \frac{4}{\log B} \cdot \max \left[ \log(2 \cdot |G_0 \cdot \mu \cdot \sqrt{\Delta}|) , \log|w| + \sum_{i=1}^s m_i \cdot \log p_i \right] .$$

**Proof (of Theorem 4.4).** Note that  $|\alpha| = |\beta| = \sqrt{B} \geq \sqrt{2}$  . We have from (4.7)

$$\left| \left( \frac{-\lambda}{\mu} \right) \cdot \left( \frac{\alpha}{\beta} \right)^n - 1 \right| \leq \frac{v}{|\mu|} \cdot B^{-n/2} . \quad (4.8)$$

We may assume  $n \geq 2$  . Let  $-\lambda/\mu = e^{2\pi i \cdot \psi}$  ,  $\alpha/\beta = e^{2\pi i \cdot \varphi}$  , with  $-\frac{1}{2} < \psi \leq \frac{1}{2}$  and  $-\frac{1}{2} < \varphi \leq \frac{1}{2}$  . Let  $k_0, k_1 \in \mathbb{Z}$  be such that  $|j \cdot \psi + n \cdot \varphi + k_j| \leq \frac{1}{2}$  . Then  $|k_j| \leq 1 + \frac{1}{2} \cdot n \leq n$  for  $j = 0, 1$  . Put

$$\Lambda_j = 2\pi i \cdot \left[ j \cdot \psi + n \cdot \varphi + k_j \right] = j \cdot \text{Log} \left( \frac{-\lambda}{\mu} \right) + n \cdot \text{Log} \left( \frac{\alpha}{\beta} \right) + 2 \cdot k_j \cdot \text{Log}(-1)$$

for  $j = 0, 1$  . By Lemma 2.3 and (4.8) we have an upper bound for  $|\Lambda_1|$  :

$$\begin{aligned} |\Lambda_1| &= 2\pi \cdot \left| \psi + n \cdot \varphi + k_1 \right| \leq \frac{1}{2} \pi \cdot |e^{2\pi i \cdot (\psi + n \cdot \varphi + k_1)} - 1| \\ &= \frac{1}{2} \pi \cdot \left| \left( \frac{-\lambda}{\mu} \right) \cdot \left( \frac{\alpha}{\beta} \right)^n - 1 \right| \leq \frac{1}{2} \pi \cdot \frac{v}{|\mu|} \cdot B^{-n/2} . \end{aligned}$$

It may happen that  $\Lambda_1 = 0$  . In that case,  $\psi + n \cdot \varphi \in \mathbb{Z}$  , hence

$-(\lambda/\mu) \cdot (\alpha/\beta)^n = 1$ , and it follows that  $G_n = \lambda \cdot \alpha^n + \mu \cdot \beta^n = 0$ . Kiss [1979] showed that this implies  $|R_n| \leq 2 \cdot |G_0|$ , where  $R_n = (\alpha^n - \beta^n)/(\alpha - \beta)$ . From this, Kiss derived an upper bound for  $n$ . We shall follow his argument, but we apply another, sharper result from the Gelfond-Baker theory than Kiss did. Note that, by  $|\beta| = \sqrt{B}$ ,

$$2 \cdot |G_0| \geq |R_n| = \frac{B^{n/2}}{\sqrt{|\Delta|}} \cdot \left| \left( \frac{\alpha}{\beta} \right)^n - 1 \right| \geq 4 \cdot \frac{B^{n/2}}{\sqrt{|\Delta|}} \cdot |\varphi \cdot n + k_0| = \frac{2}{\pi} \cdot \frac{B^{n/2}}{\sqrt{|\Delta|}} \cdot |\Lambda_0|.$$

Now  $\Lambda_0 \neq 0$ , since by  $n \geq 2$  the contrary would imply  $\varphi \in \mathbb{Q}$ , which is impossible, since  $\alpha/\beta$  is not a root of unity. Thus, take  $j = 1$  if  $\Lambda_1 \neq 0$  and  $j = 0$  otherwise. Then  $\Lambda_j \neq 0$ , and

$$|\Lambda_j| \leq \frac{\pi}{2 \cdot |\mu|} \cdot \max \left\{ v, 2 \cdot |G_0 \cdot \mu \cdot \sqrt{|\Delta|}| \right\} \cdot B^{-n/2}. \quad (4.9)$$

From Lemma 2.4 we can derive a lower bound for  $|\Lambda_j|$ . Note that  $\max(j, n, 2|k_j|) \leq 2 \cdot n$ , so that  $W = \log(2 \cdot n)$ . We choose  $V_1 = \frac{1}{2}$ . The number  $z = \alpha/\beta$  satisfies

$$B \cdot z^2 - (A^2 - 2 \cdot B) \cdot z + B = 0,$$

hence  $h(\alpha/\beta) \leq \frac{1}{2} \cdot \log B$ . And  $z = -\lambda/\mu$  satisfies

$$E \cdot z^2 - (2 \cdot E + \Delta \cdot G_0^2) \cdot z + E = 0,$$

hence  $h(-\lambda/\mu) \leq \frac{1}{2} \cdot \log E$ . Thus  $V_2 = U_2^+$ ,  $V_3 = U_3^+$  satisfy the requirements for Lemma 2.4. We find

$$\begin{aligned} |\Lambda_j| &> \exp \left[ -C_1 \cdot \left( \log(2 \cdot n) + \log(2 \cdot e \cdot U_3^+) \right) \right] \\ &= \exp \left[ -C_1 \cdot \left( \log n + C_2 \right) \right]. \end{aligned} \quad (4.10)$$

Combining (4.9) and (4.10) we find  $n < a + b \cdot \log n$ , where

$$a = \frac{2}{\log B} \cdot \left[ \log \max \left\{ v, 2 \cdot |G_0 \cdot \mu \cdot \sqrt{|\Delta|}| \right\} + \log \frac{\pi}{2 \cdot |\mu|} + C_1 \cdot C_2 \right],$$

$$b = 2 \cdot C_1 / \log B.$$

The result now follows from Lemma 2.1, since

$$b = 2 \cdot C_1 / \log B = 1.681 \times 10^{21} \cdot \frac{\max(\pi, \log B)}{\log B} \cdot \max(\pi, \log E) \cdot \log(2 \cdot e \cdot U_2^+)$$

which is certainly larger than  $e^2$ . □

We now want to reduce the bound found in Theorem 4.3. We do this by studying



the diophantine inequality

$$| \psi_j + n \cdot \varphi + k_j | < v_0 \cdot B^{-n/2}, \quad (4.11)$$

which follows from (4.9), where  $v_0 = \max [ v, 2 \cdot |G_0 \cdot \mu \cdot \sqrt{\Delta}| ] / 4 \cdot |\mu|$ , and  $\psi_j = j \cdot \psi$ . We have to distinguish between the homogeneous case  $\psi_j = 0$  and the inhomogeneous case  $\psi_j \neq 0$ . We apply the methods that have been described in Sections 3.2 and 3.3 respectively. Unlike in other chapters, here we give the results in the form of precisely defined algorithms.

First we study the homogeneous case  $\psi_j = 0$ . We have the following algorithm. Let  $N$  be an upper bound for the solutions of (4.11), for example the bound found in Theorem 4.3.

ALGORITHM H. (reduces given upper bound for (4.11) in the case  $\psi_j = 0$ ).

Input:  $\varphi, B, |\mu|, v_0, N$ .

Output: new, reduced bound  $N^*$  for  $n$ .

- (i) (initialization) Choose  $n_0 \geq 2/\log B$  such that  $B^{n_0/2}/n_0 \geq 2 \cdot v_0$ ;  
 $N_0 := N$ ; compute the continued fraction

$$|\varphi| = [ 0, a_1, a_2, \dots, a_{\ell_0+1}, \dots ]$$

and the denominators  $q_1, \dots, q_{\ell_0+1}$  of the convergents of  $|\varphi|$ , with  $\ell_0$  so large that  $q_{\ell_0} \leq N_0 < q_{\ell_0+1}$ ;  $i := 0$ ;

- (ii) (compute new bound)  $A_i := \max(a_1, \dots, a_{\ell_i+1})$ ; compute the largest integer  $N_{i+1}$  such that

$$B^{N_{i+1}/2}/N_{i+1} \leq v_0 \cdot (A_i + 2),$$

and  $\ell_{i+1}$  such that  $q_{\ell_{i+1}} \leq N_{i+1} < q_{\ell_{i+1}+1}$ ;

- (iii) (terminate loop)

if  $n_0 \leq N_{i+1} < N_i$  then  $i := i + 1$ , goto (ii);  
else  $N^* := \max(n_0, N_{i+1})$ , stop.

LEMMA 4.6. Algorithm H terminates. Inequality (4.11) with  $\psi_j = 0$  has no solutions with  $N^* < n < N$ .

Proof. Termination is obvious, since all  $N_i$  are integers. Note that  $B^{x/2}/x$  is an increasing function for  $x \geq 2/\log B$ . Hence, if  $n \geq n_0$ ,

$$| |\varphi| - |k_j|/n | \leq v_0 \cdot B^{-n/2}/n < 1/2n^2 .$$

It follows (cf. (3.6)) that  $|k_j|/n$  is a convergent of  $|\varphi|$ , say  $|k_j|/n = p_m/q_m$ . Then  $q_m \leq n$ , and (cf. (3.5)),

$$| |\varphi| - p_m/q_m | > 1/(a_{m+1}+2) \cdot q_m^{-2} .$$

Suppose  $n \leq N_i$  for some  $i \geq 0$ . Then  $m \leq \ell_i$ . Hence,

$$B^{n/2}/n \leq v_0 \cdot n^{-2} \cdot | |\varphi| - |k_j|/n |^{-1} < v_0 \cdot (a_{m+1}+2) \leq v_0 \cdot (A_m+2) .$$

It follows that if  $N_{i+1} \geq n_0$  then  $n \leq N_{i+1}$ . □

Next we study the inhomogeneous case  $\psi_j \neq 0$ . Again, let  $N$  be an upper bound for  $n$  satisfying (4.11).

ALGORITHM I. (reduces upper bound for (4.11) in the case  $\psi_j \neq 0$ ).

Input:  $\varphi, \psi_j, B, v_0, N$ .

Output: new, reduced upper bound  $N^*$  for all but a finite number of explicitly given  $n$ .

(i) (initialization)  $N_0 := [N]$ ; compute the continued fraction

$$|\varphi| = [ 0, a_1, a_2, \dots, a_{\ell_0}, \dots ]$$

and the convergents  $p_i/q_i$  for  $i = 1, \dots, \ell_0$ , with  $\ell_0$  so large that  $q_{\ell_0} > 4 \cdot N_0$  and  $\|q_{\ell_0} \cdot \psi_j\| > 2 \cdot N_0/q_{\ell_0}$ . (If such  $\ell_0$  cannot be found within reasonable time, take  $\ell_0$  so large that  $q_{\ell_0} > 4 \cdot N_0$ );

$i := 0$ ;

(ii) (compute new bound)

if  $\|q_{\ell_i} \cdot \psi_j\| > 2 \cdot N_i/q_{\ell_i}$

then  $N_{i+1} := [2 \cdot \log(q_{\ell_i}^2 \cdot v_0/N_i)/\log B]$ ;

else compute  $K \in \mathbb{Z}$  with  $|K - q_{\ell_i} \cdot \psi_j| \leq \frac{1}{2}$ ; compute  $n_0 \in \mathbb{Z}$ ,

$0 \leq n_0 < q_{\ell_i}$ , with  $K = n_0 \cdot p_{\ell_i} \equiv 0 \pmod{q_{\ell_i}}$ ;

if  $n = n_0$  is a solution of (4.11), then print an appropriate message;

$N_{i+1} := [2 \cdot \log(4 \cdot q_{\ell_i} \cdot v_0)/\log B]$ ;

(iii) (terminate loop)

if  $N_{i+1} < N_i$

then  $i := i + 1$ ; compute the minimal  $\ell_i < \ell_{i+1}$  such that

$q_{\ell_i} > 4 \cdot N_i$  and  $\|q_{\ell_i} \cdot \psi_j\| > 2 \cdot N_i / q_{\ell_i}$  (if such  $\ell_i$  does not exist, choose the minimal  $\ell_i$  such that  $q_{\ell_i} > 4 \cdot N_i$ );

goto (ii);

else  $N^* := N_i$ ; stop.

LEMMA 4.7. Algorithm I terminates. Inequality (4.11) with  $\psi_j \neq 0$  has for  $N^* < n < N$  only the finitely many solutions found by the algorithm.

Proof. It is clear that the algorithm terminates. Suppose that  $n \leq N_i$  for some  $i \geq 0$ . then if  $\|q_{\ell_i} \cdot \psi_j\| > 2 \cdot N_i / q_{\ell_i}$ , we have

$$\begin{aligned} \|q_{\ell_i} \cdot \psi_j\| &= \|q_{\ell_i} \cdot (\psi_j + n \cdot \varphi + k_j) - n \cdot \varphi \cdot q_{\ell_i}\| \\ &\leq q_{\ell_i} \cdot |\psi_j + n \cdot \varphi + k_j| + n / q_{\ell_i} \leq q_{\ell_i} \cdot v_0 \cdot B^{-n/2} + N_i / q_{\ell_i}. \end{aligned}$$

It follows that  $n \leq N_{i+1}$ . If  $\|q_{\ell_i} \cdot \psi_j\| \leq 2 \cdot N_i / q_{\ell_i}$ , then

$$\begin{aligned} |K + n \cdot p_{\ell_i} + k_j \cdot q_{\ell_i}| &\leq |K - q_{\ell_i} \cdot \psi_j| + q_{\ell_i} \cdot |\psi_j + n \cdot \varphi + k_j| + n \cdot |p_{\ell_i} - q_{\ell_i} \cdot \varphi| \\ &\leq \frac{1}{2} + q_{\ell_i} \cdot v_0 \cdot B^{-n/2} + N_i / q_{\ell_i} < \frac{3}{4} + q_{\ell_i} \cdot v_0 \cdot B^{-n/2}. \end{aligned}$$

If  $q_{\ell_i} \cdot v_0 \cdot B^{-n/2} \leq \frac{1}{4}$ , then  $K + n \cdot p_{\ell_i} + k_j \cdot q_{\ell_i} = 0$ , since it is an integer. By  $(p_{\ell_i}, q_{\ell_i}) = 1$  it follows that  $n \equiv n_0 \pmod{q_{\ell_i}}$ . Since  $q_{\ell_i} > N_i$ , the only possibility is  $n = n_0$ . If  $q_{\ell_i} \cdot v_0 \cdot B^{-n/2} > \frac{1}{4}$ , then  $n \leq N_{i+1}$  follows immediately.  $\square$

We remark that in practice one almost always finds an  $\ell_i$  such that  $\|q_{\ell_i} \cdot \psi_j\| > 2 \cdot N_i / q_{\ell_i}$ , if  $N_i$  is large enough.

#### 4.4. Upper bounds.

In this section we will derive explicit upper bounds for the solutions of (4.1), both in the hyperbolic and elliptic cases. Our first step is the application of the p-adic theory of linear forms in logarithms, which works the same way in both cases. We use it to find a bound for  $m_i$  in terms of  $\log n$ . Then we combine this with the results of Section 4.3 on the growth of

the recurrence sequence, which for the solutions of (4.1) yield a bound for  $n$  in terms of the  $m_i$  (Corollaries 4.3 and 4.5).

Assume that  $n_0 \geq 2$ . Let  $D$  be the discriminant of  $\mathbb{Q}(\sqrt{\Delta})$ . Put

$$L = \log \max \left( |e \cdot D|^{1/4}, |\alpha \cdot \lambda \cdot \sqrt{\Delta}|, |\alpha \cdot \mu \cdot \sqrt{\Delta}|, |\beta \cdot \lambda \cdot \sqrt{\Delta}|, |\beta \cdot \mu \cdot \sqrt{\Delta}| \right).$$

Let  $d$  be the squarefree part of  $\Delta$ . For  $i = 1, \dots, s$  put

$$\varphi_i = 2 \text{ if } p_i \mid d, \quad \varphi_i = 1 \text{ otherwise,}$$

$$\rho_i = 2 \text{ if } p_i = 2, d \equiv 5 \pmod{8} \text{ or if } p_i > 2, \left(\frac{d}{p_i}\right) = -1,$$

$$\rho_i = 1 \text{ otherwise,}$$

$$C_{4,i} = 10^6 \cdot \left(\frac{2}{\rho_i \cdot \log p_i}\right)^7 \cdot \varphi_i^{-3} \cdot L^4 \cdot p_i^{4 \cdot \rho_i + 4} \cdot \left(1 + \frac{\varphi_i \cdot L \cdot p_i^{\rho_i} + 2/L}{\log n_0}\right)^3.$$

LEMMA 4.8. *The solutions of (4.1) with  $n \geq n_0$  satisfy*

$$m_i < C_{4,i} \cdot (\log n)^3 \text{ for } i = 1, \dots, s.$$

Proof. Rewrite (4.1), using (4.5), as

$$\left(\frac{\alpha}{\beta}\right)^n - \left(\frac{-\mu}{\lambda}\right) = \frac{w}{\lambda} \cdot \beta^{-n} \cdot \prod_{i=1}^s p_i^{m_i}.$$

Then, by (4.6),

$$m_i \leq m_i - \text{ord}_{p_i}(\lambda) = \text{ord}_{p_i} \left( \frac{w}{\lambda} \cdot \beta^{-n} \cdot \prod_{i=1}^s p_i^{m_i} \right) = \text{ord}_{p_i} \left( \left(\frac{\alpha}{\beta}\right)^n - \left(\frac{-\mu}{\lambda}\right) \right).$$

Apply Lemma 2.5 (Schinzel's result) with  $\xi'' = \alpha$ ,  $\xi' = \beta$ ,  $\chi'' = \mu \cdot \sqrt{\Delta}$ ,  $\chi' = -\lambda \cdot \sqrt{\Delta}$ . Then we find, using  $\text{ord}_{p_i}(\cdot) = \varphi_i \cdot \text{ord}_{p_i}(\cdot)$ ,

$$m_i < 10^6 \cdot \left(\frac{2}{\rho_i \cdot \log p_i}\right)^7 \cdot \varphi_i^{-3} \cdot L^4 \cdot p_i^{4 \cdot \rho_i + 4} \cdot (\log n + \varphi_i \cdot L \cdot p_i^{\rho_i} + 2/L)^3,$$

from which the result follows, since  $n \geq n_0$ . □

Put

$$C_4 = \max_i(C_{4,i}), \quad m = \max_i(m_i), \quad P = \prod_{i=1}^s p_i.$$

In the case  $\Delta > 0$ , let  $n_0 > \max \left( 2, \log |\lambda/\mu| / \log |\alpha/\beta| \right)$ , and put

$$C_5 = \log P / \left( \log |\alpha| + \min(0, \log(\gamma/|w|)) \right),$$

$$C_6 = \max \left( 8 \cdot C_4 \cdot (\log 27 \cdot C_4 \cdot C_5)^3, 841 \cdot C_4 \right).$$

In the case  $\Delta < 0$ , put

$$C_7 = \max \left\{ C_3 + \frac{4}{\log B} \cdot \log(2 \cdot |G_0 \cdot \mu \cdot \sqrt{|\Delta|}|), \right. \\ \left. 8 \cdot \left[ \left( C_3 + \frac{4 \cdot \log |w|}{\log B} \right)^{1/3} + \left( \frac{4 \cdot C_4 \cdot \log P}{\log B} \right)^{1/3} \cdot \log \left( \frac{108 \cdot C_4 \cdot \log P}{\log B} \right) \right]^3 \right\},$$

$$C_{8,i} = C_{4,i} \cdot (\log C_7)^3 \quad \text{for } i = 1, \dots, s.$$

Then we have the following result, giving explicit upper bounds for the solutions of (4.1).

**THEOREM 4.9.** *Let  $n, m_1, \dots, m_s$  be a solution of (4.1).*

(i). *If  $\Delta > 0$  and  $n \geq n_0$  then  $n < C_5 \cdot C_6$  and  $m < C_6$ .*

(ii). *If  $\Delta < 0$  then  $n < C_7$  and  $m_i < C_{8,i}$  for  $i = 1, \dots, s$ .*

**Proof.** (i). Corollary 4.3 yields  $n < C_5 \cdot m$ . By Lemma 4.8 we now have

$$m < C_4 \cdot (\log n)^3 < C_4 \cdot (\log C_5 \cdot m)^3.$$

If  $C_4 \cdot C_5 > (e^2/3)^3$ , we apply Lemma 2.1 with  $a = 0$ ,  $b = C_4 \cdot C_5$ ,  $h = 3$ , and we find  $m < 8 \cdot C_4 \cdot (\log 27 \cdot C_4 \cdot C_5)^3$ . If  $C_4 \cdot C_5 \leq (e^2/3)^3$ , then

$$n < C_5 \cdot m < C_4 \cdot C_5 \cdot (\log n)^3 \leq (e^2/3)^3 \cdot (\log n)^3,$$

from which we deduce  $n < 12564$ . Now,  $m < C_4 \cdot (\log n)^3 < 841 \cdot C_4$ .

(ii). From Lemma 4.8 and Corollary 4.5 we see that

$$n < C_3 + \frac{4}{\log B} \cdot \log(2 \cdot |G_0 \cdot \mu \cdot \sqrt{|\Delta|}|),$$

or

$$n < C_3 + \frac{4 \cdot \log |w|}{\log B} + \frac{4 \cdot C_4 \cdot \log P}{\log B} \cdot (\log n)^3.$$

The result now follows from Lemma 2.1, since  $4 \cdot C_4 \cdot \log P / \log B > (e^2/3)^3$ .  $\square$

#### 4.5. Symmetric recurrences: an elementary method.

Before we give our reduction method for the upper bounds following from Theorem 4.9, we treat in this section separately the cases of 'symmetric' recurrences, for which the reduction methods fail. The reduction methods make use of the zero-dimensional  $p$ -adic diophantine approximation, as explained in Section 3.9, applied to the  $p$ -adic linear form

$$\log_p \left( \frac{\lambda}{\mu} \right) + n \cdot \log_p \left( \frac{\alpha}{\beta} \right)$$

for  $p = p_1, \dots, p_s$ . This means that we must study the  $p$ -adic number

$$\vartheta = - \log_p \left( \frac{\lambda}{\mu} \right) / \log_p \left( \frac{\alpha}{\beta} \right) .$$

It may however happen that this number  $\vartheta$  is zero, or that all digits in the  $p$ -adic expansion of  $\vartheta$  are zero from a certain point on. Then obviously the reduction process of Section 3.9 breaks down, since it is based on the assumption that the  $p$ -adic expansion of  $\vartheta$  contains sufficiently many non-zero digits.

Define the following special 'symmetric recurrences'. For  $\alpha, \beta$  as defined in Section 4.2, let

$$R_n = \frac{\alpha^n - \beta^n}{\alpha - \beta} , \quad S_n = \alpha^n + \beta^n ,$$

for  $d = -1$  ( $d$  is the squarefree part of  $\Delta$ ) also

$$T_n^{\pm} = (1 \pm \sqrt{-1}) \cdot \alpha^n + (1 \mp \sqrt{-1}) \cdot \beta^n ,$$

and for  $d = -3$  also (with  $\omega = \rho$  or  $\bar{\rho}$  for  $\rho = \frac{1}{2} \cdot (1 + \sqrt{-3})$ )

$$U_n(\omega) = (1 + \omega) \cdot \alpha^n + (1 + \bar{\omega}) \cdot \beta^n ,$$

$$V_n(\omega) = \omega \cdot \alpha^n + \bar{\omega} \cdot \beta^n ,$$

for all  $n \in \mathbb{Z}$ . Note that

$$T_n^+ \cdot T_n^- = 2 \cdot S_{2n} , \quad U_n(\omega) \cdot U_n(\bar{\omega}) \cdot R_n = 3 \cdot R_{3n} , \quad V_n(\omega) \cdot V_n(\bar{\omega}) \cdot S_n = S_{3n} .$$

We have the following lemma. We assume that  $\text{ord}_p(\vartheta) \geq 0$ .

LEMMA 4.10. If  $\vartheta$  has only finitely many nonzero  $p$ -adic digits, then there exist an  $r \in \mathbb{N}_0$  and a  $\kappa \in \mathbb{Q}$  such that  $G_n = \kappa \cdot R_{n-r}$ , or  $G_n = \kappa \cdot S_{n-r}$ , or (if  $d = -1$ )  $G_n = \kappa \cdot T_n^\pm$ , or (if  $d = -3$ )  $G_n = \kappa \cdot U_n(\omega)$  or  $\kappa \cdot V_n(\omega)$ , where  $\omega = \rho$  or  $\bar{\rho}$ . Further,  $r = 0$  if  $\Delta < 0$ .

Proof. By  $\text{ord}_p(\vartheta) \geq 0$  we have  $\vartheta = r$  for some  $r \in \mathbb{N}_0$ . From the definition of  $\vartheta$  we infer

$$\log_p \left( \frac{\alpha}{\beta} \right)^r \cdot \left( \frac{-\lambda}{\mu} \right) = 0,$$

hence  $\eta = (\beta/\alpha)^r \cdot (\mu/\lambda)$  is a root of unity. It follows that we can write

$$G_n = \lambda \cdot \alpha^r \cdot ( \alpha^{n-r} + \eta \cdot \beta^{n-r} ).$$

First let  $B = \pm 1$ . Then  $\Delta > 0$  and

$$G_0 = \lambda \cdot \alpha^r \cdot ( \alpha^{-r} \pm \beta^{-r} ) = \pm \lambda \cdot \alpha^r \cdot ( \alpha^r \pm \beta^r ),$$

$$G_1 = \lambda \cdot \alpha^r \cdot ( \alpha^{1-r} \pm \beta^{1-r} ) = \pm \lambda \cdot \alpha^r \cdot ( \alpha^{r-1} \pm \beta^{r-1} ).$$

Note that

$$( \alpha^{r-1} + \beta^{r-1}, \alpha^r + \beta^r ) = ( 2, \alpha + \beta ) = 1 \text{ or } 2,$$

$$( \alpha^{r-1} - \beta^{r-1}, \alpha^r - \beta^r ) = \alpha - \beta.$$

By  $(G_0, G_1) = 1$  it follows that  $\pm \lambda \cdot \alpha^r = 1, \frac{1}{2}$  or  $1/(\alpha - \beta)$ , respectively, and the assertion follows.

Next suppose  $|B| \geq 2$ . Then

$$G_0 \cdot B \cdot ( \eta \cdot \alpha^{r-1} + \beta^{r-1} ) = G_1 \cdot ( \eta \cdot \alpha^r \pm \beta^r ).$$

Since  $(B, G_1) = 1$ , we have  $\alpha \cdot \beta \mid \eta \cdot \alpha^r \pm \beta^r$ . By  $(A, B) = 1$  we have  $(\alpha, \beta) = (1)$ , and from  $\alpha \mid \beta^r$  it then follows that  $\vartheta = r = 0$ . So  $G_0 = \lambda \cdot (1 + \eta) \in \mathbb{Z}$ . The result now follows easily, since for  $\eta$  the only possibilities are  $\pm 1$  for all  $d$ , and moreover  $\pm \sqrt{-1}$  if  $d = -1$ , and  $\pm \rho, \pm \bar{\rho}$  if  $d = -3$ .  $\square$

In the cases of Lemma 4.10 we can treat (4.1) as follows. The smallest index  $n = g(m \cdot p^\ell) > 0$  such that  $m \cdot p^\ell \mid G_n$  grows exponentially with  $\ell$ . Also,  $G_n$  grows exponentially with  $n$ , as follows from Lemma 4.2 and Theorem 4.4. Hence  $G_{g(m \cdot p^\ell)}$  grows doubly exponentially with  $\ell$ . It follows that

$a = w \cdot p_1^{m_1} \cdot \dots \cdot p_s^{m_s}$  cannot keep up with  $G_{g(a)}$  as the  $m_i$  tend to infinity. It follows that if  $p_1^{m_1} \cdot \dots \cdot p_s^{m_s}$  is large enough, there exists a prime  $q$  such that  $q \mid G_{g(a)}$  but  $q \nmid a$ . Now the sequences  $\{R_n\}$ ,  $\{S_n\}$  have special divisibility properties, such as

$$R_n \mid R_m \text{ if and only if } n \mid m ,$$

$$S_n \mid S_{kn} \text{ for odd } k ,$$

$$\text{ord}_2(S_n) \leq \text{ord}_2(S_3) \text{ for all } n \geq 1 .$$

Making use of this kind of properties it can be proved that  $q \mid G_n$  whenever  $a \mid G_n$ . This gives an upper bound for the solutions of (4.1), since for those solutions  $a \mid G_n$  but  $q \nmid G_n$ . We give two examples.

Example. Let  $A = 16$ ,  $B = 1$ ,  $G_0 = 1$ ,  $G_1 = 8$ ,  $w = 1$ ,  $p_1 = 2$ ,  $p_2 = 11$ . Then  $\alpha = 8 + 3\sqrt{7}$ ,  $\beta = 8 - 3\sqrt{7}$ ,  $\lambda = \mu = \frac{1}{2}$ , so  $\lambda/\mu$  is a root of unity. Hence  $\vartheta = 0$ , for both  $p = 2$  and  $p = 11$ . Note that we have a sequence of type  $S_n$  here. We have

n	-3	-2	-1	0	1	2	3
$G_n$	2024	127	8	1	8	127	2024
$G_n \pmod{16}$	8	-1	8	1	8	-1	8
$G_n \pmod{11}$	0	6	8	1	8	6	0
$G_n \pmod{11^2}$	88	6	8	1	8	6	88

It follows that  $\text{ord}_2(G_n) = 0$  or  $3$ , according as  $n$  is even or odd, and  $\text{ord}_{11}(G_n) > 0$  if and only if  $n \equiv 3 \pmod{6}$ . Now,  $G_3 \mid G_{3k}$  holds for all odd  $k$ . Note that  $G_3$  has exactly 3 factors 2, and 1 factor 11. But it is larger than  $2^3 \cdot 11 = 88$ . Hence there is a prime  $q$ , distinct from 2 and 11, such that  $q \mid G_n$  whenever  $11 \mid G_n$ . Thus  $G_n = 2^{m_1} \cdot 11^{m_2}$  has no solutions with  $m_2 \neq 0$ , so that there remain only three solutions:  $n = -1, 0$  and  $1$ . Note that it is not necessary to know the value of  $q$  explicitly. In this case it is 23, and indeed it is easy to show directly that  $23 \mid G_n$  if and only if  $n \equiv 3 \pmod{6}$ .

Example. Let  $A = 5$ ,  $B = 13$ ,  $G_0 = G_1 = 1$ . Then  $\Delta = -27$ ,  $\alpha = 1 + 3\rho$ ,  $\lambda = (1+\rho)/3$ . We solve  $G_n = \pm 2^m$ . The sequence  $G_n = \lambda \cdot \alpha^n + \bar{\lambda} \cdot \bar{\alpha}^n$  is related to the sequence  $H_n = \bar{\lambda} \cdot \alpha^n + \lambda \cdot \bar{\alpha}^n$  and to  $R_n = (\alpha^n - \bar{\alpha}^n) / (\alpha - \bar{\alpha})$  by



$G_n \cdot H_n \cdot R_n = R_{3n}/3$ . Since  $R_n$  has nice divisibility properties, we have useful information on the prime divisors of  $G_n$  and  $H_n$ . We find:

n	0	1	2	3	4	5	6	7	8
$G_n$	1	1	-8	-53	-161	-116	1513	9073	25696
$H_n$	1	4	7	-17	-176	-659	-1007	3532	30751
$R_n$	0	1	5	12	-5	-181	-840	-1847	1685

Now,  $G_n \equiv 0 \pmod{16}$  if and only if  $n \equiv 8 \pmod{12}$ ,  $H_n \equiv 0 \pmod{16}$  if and only if  $n \equiv 4 \pmod{12}$ , and  $R_n \equiv 0 \pmod{16}$  if and only if  $n \equiv 0 \pmod{12}$ . Note that  $G_4 \cdot H_4 \cdot R_4 = R_{12}/3 = -2^4 \cdot 5 \cdot 7 \cdot 11 \cdot 23$ . Considering the sequences modulo 5, 7, 11 and 23 we find that  $2^4 \cdot 7 \cdot 11 \cdot 23 \mid G_n \cdot H_n$  for all  $n \equiv 0 \pmod{4}$ , and in fact  $11 \mid G_n$  whenever  $16 \mid G_n$ . Thus  $G_n = \pm 2^m$  implies  $m \leq 3$ . It follows from Section 3 how to solve  $|G_n| \leq 8$ .

We note that a process as described above can always be applied when dealing with a situation as in Lemma 4.10. There is an alternative way, that we will mention in the next section. It provides immediately a very sharp upper bound for the  $m_i$ .

#### 4.6. A basic lemma, and some trivial cases.

We introduce some notation, and then give an almost trivial lemma that is at the heart of our reduction methods for both the hyperbolic and the elliptic cases. Let for  $i = 1, \dots, s$

$$e_i = -\text{ord}_{p_i}(\lambda), \quad f_i = \text{ord}_{p_i}(\log_{p_i}(\frac{\alpha}{\beta})), \quad g_i = f_i - e_i,$$

$$\vartheta_i = -\log_{p_i}(\frac{-\lambda}{\mu}) / \log_{p_i}(\frac{\alpha}{\beta}).$$

By Lemma 4.1 the  $p_i$ -adic logarithms of  $\alpha/\beta$  and  $-\lambda/\mu$  exist. Note that  $\log_{p_i}(\alpha/\beta) \neq 0$ , since the sequence  $\{G_n\}$  is not degenerate. Note that for conjugated  $\xi, \xi'$  also  $\log_p \xi$  and  $\log_p \xi'$  are conjugates, hence  $\log(\xi/\xi') \in \sqrt{\Delta} \cdot \mathbb{Q}_p$ . Hence both numerator and denominator of  $\vartheta_i$  are in  $\sqrt{\Delta} \cdot \mathbb{Q}_{p_i}$ , so  $\vartheta_i \in \mathbb{Q}_{p_i}$ . Hence, if  $\vartheta_i \neq 0$ , we can write

$$\vartheta_i = \sum_{\ell=k_i}^{\infty} u_{i,\ell} \cdot p_i^{\ell},$$

where  $k_i = \text{ord}_{p_i}(\vartheta_i)$  and  $u_{i,\ell} \in \{0, 1, \dots, p_i-1\}$  for all  $\ell$ . The following lemma localizes the elements of  $\{G_n\}$  with many factors  $p_i$ , in terms of the  $p_i$ -adic expansion of  $\vartheta_i$ .

LEMMA 4.11. *Let  $n \in \mathbb{N}_0$ . If  $\text{ord}_{p_i}(G_n) + e_i > 1/(p_i-1)$  then*

$$\text{ord}_{p_i}(G_n) = g_i + \text{ord}_{p_i}(n-\vartheta_i).$$

Proof. By Lemma 4.1 we have

$$\text{ord}_{p_i}(G_n) + e_i = \text{ord}_{p_i} \left( \left( \frac{\alpha}{\beta} \right)^n - \left( \frac{-\mu}{\lambda} \right) \right) = \text{ord}_{p_i} \left( \left( \frac{-\lambda}{\mu} \right) \cdot \left( \frac{\alpha}{\beta} \right)^n - 1 \right).$$

With  $\xi = (-\lambda/\mu) \cdot (\alpha/\beta)^n - 1$  we have  $\text{ord}_{p_i}(\xi) > 1/(p_i-1)$ . Hence  $\text{ord}_{p_i}(\xi) = \text{ord}_{p_i}(\log_{p_i}(1+\xi))$ , and it follows that

$$\begin{aligned} \text{ord}_{p_i}(G_n) + e_i &= \text{ord}_{p_i} \left[ n \cdot \log_{p_i} \left( \frac{\alpha}{\beta} \right) + \log_{p_i} \left( \frac{-\lambda}{\mu} \right) \right] \\ &= \text{ord}_{p_i}(n-\vartheta_i) + f_i. \end{aligned} \quad \square$$

We have to exclude some trivial cases first. The case where all  $p_i$ -adic digits of  $\vartheta_i$  from a certain point on are all zero has been dealt with in the previous section. But this case can also be dealt with as follows. Note that  $\vartheta_i = r$  holds for all  $i = 1, \dots, s$  with the same  $r$ , which is the  $r$  from Lemma 4.10. Thus, by Lemma 4.11,

$$m_i \leq \max \left[ g_i + \text{ord}_{p_i}(n-r), 1 - e_i \right] \leq g_i + 1 + \text{ord}_{p_i}(n-r). \quad (4.12)$$

Then we have, if  $\Delta > 0$ , by Corollary 4.3,

$$n \cdot \log|\alpha| < \sum_{i=1}^s (g_i+1) \cdot \log p_i - \log(\gamma/|w|) + \log|n-r|,$$

from which a good upper bound for  $n$  can be derived. And if  $\Delta < 0$ , the proof of Lemma 4.10 yields  $\vartheta_i = 0$ , whence, by (4.12),

$$|G_n| = |w| \cdot \prod_{i=1}^s p_i^{m_i} \leq v_0 \cdot n$$

for some constant  $v_0$ . Only minor changes in the results and algorithms of

Section 4.3 suffice to deal with this inequality instead of (4.7).

Another trivial case is that of  $\text{ord}_{p_i}(\vartheta_i) < 0$ . Then the solutions of (4.1) satisfy  $m_i \leq 1/(p_i-1) - e_i$ , so, by Lemma 4.11,

$$m_i = f_i - e_i + \text{ord}_{p_i}(n - \vartheta_i).$$

Since  $n \in \mathbb{Z}$  and  $\text{ord}_{p_i}(\vartheta_i) < 0$  we have  $\text{ord}_{p_i}(n - \vartheta_i) = \text{ord}_{p_i}(\vartheta_i)$ . Hence

$$m_i \leq \max \{ f_i + \text{ord}_{p_i}(\vartheta_i), 1/(p_i-1) \} - e_i.$$

Thus we may assume without loss of generality that  $\text{ord}_{p_i}(\vartheta_i) \geq 0$  for all  $i = 1, \dots, s$ , and that infinitely many  $p_i$ -adic digits  $u_{i,\ell}$  of  $\vartheta_i$  are nonzero.

#### 4.7. The reduction algorithm in the hyperbolic case.

First we give the reduction algorithm for the case  $\Delta > 0$ . It is based on Lemma 4.11 and Corollary 4.3 only. Let  $N$  be an upper bound for  $n$  for the solutions  $n, m_1, \dots, m_s$  of (4.1). For example,  $N = C_5 \cdot C_6$  as in Theorem 4.9.

ALGORITHM P. (reduces given upper bounds for (4.1) if  $\Delta > 0$ ).

Input:  $\alpha, \beta, \lambda, \mu, w, p_1, \dots, p_s, N$ .

Output: new, reduced upper bounds  $M_i$  for  $m_i$  for  $i = 1, \dots, s$ , and  $N^*$  for  $n$ .

(i) (initialization) Choose an  $n_0 \geq 0$  such that  $n_0 > \log|\mu/\lambda|/\log|\alpha/\beta|$ ;

$$\gamma := |\lambda| - |\mu| \cdot |\alpha/\beta|^{-n_0};$$

$$\left. \begin{aligned} g_i &:= \text{ord}_{p_i}(\lambda) + \text{ord}_{p_i}(\log_{p_i}(\alpha/\beta)) \\ h_i &:= \text{ord}_{p_i}(\lambda) + \begin{cases} 3/2 & \text{if } p_i = 2 \\ 1 & \text{if } p_i = 3 \\ 1/2 & \text{if } p_i \geq 5 \end{cases} \end{aligned} \right\} \text{ for } i = 1, \dots, s;$$

$$g := \gamma / |w| \cdot \prod_{i=1}^s p_i^{g_i}; \quad N_0 := N;$$

(ii) (computation of the  $\vartheta_i$ 's) Compute for  $i = 1, \dots, s$  the first  $r_i$   $p_i$ -adic digits  $u_{i,\ell}$  of

$$\vartheta_i = -\log_{p_i} \left( \frac{-\lambda}{\mu} \right) / \log_{p_i} \left( \frac{\alpha}{\beta} \right) = \sum_{\ell=0}^{\infty} u_{i,\ell} \cdot p_i^{-\ell},$$

where  $r_i$  is so large that  $p_i^{r_i} \geq N_0$  and  $u_{i,r_i} \neq 0$  ;

(iii) (further initialization, start outer loop)  $s_{i,0} := r_i + 1$  for  $i = 1, \dots, s$  ;  $j := 1$  ;

(iv) (start inner loop)  $i := 1$  ;  $K_j := \underline{\text{false}}$  ;

(v) (computation of the new bounds for  $m_i$  , terminate inner loop)

$$s_{i,j} := \min \{ s \in \mathbb{N}_0 \mid p_i^s \geq N_{j-1} \text{ and } u_{i,s} \neq 0 \} ;$$

if  $s_{i,j} < s_{i,j-1}$   
then  $K_j := \underline{\text{true}}$  ;

if  $i < s$

then  $i := i + 1$  ; goto (v) ;

(vi) computation of the new bound for  $n$  , terminate outer loop)

$$N_j := \min \left\{ N_{j-1}, \left( \sum_{i=1}^s s_{i,j} \cdot \log p_i - \log g \right) / \log |\alpha| \right\} ;$$

if  $N_j \geq n_0$  and  $K_j$

then  $j := j + 1$  ; goto (iv) ;

else  $N^* := \max ( N_j, n_0 )$  ;

$M_i := \max ( h_i, g_i + s_{i,j} )$  for  $i = 1, \dots, s$  ; stop.

THEOREM 4.12. *With all the above assumptions, Algorithm P terminates. Equation (4.1) with  $\Delta > 0$  has no solutions with  $N^* \leq n < N$  ,  $m_i > M_i$  for  $i = 1, \dots, s$  .*

Proof. Since the  $p_i$ -adic expansion of  $\vartheta_i$  is assumed to be infinite, there exist  $r_i$  with the required properties. It is clear that  $s_{i,1} \leq r_i < s_{i,0}$  , and that  $N_j \leq N_{j-1}$  . So  $s_{i,j} \leq s_{i,j-1}$  holds for all  $j \geq 1$  . Since  $s_{i,j} \geq 0$  , there is a  $j$  such that  $N_j \leq n_0$  or  $s_{i,j} = s_{i,j-1}$  for all  $i = 1, \dots, s$  . In the latter case,  $K_j$  remains false ; in both cases the algorithm terminates.

We prove by induction on  $j$  that  $m_i \leq g_i + s_{i,j}$  for  $i = 1, \dots, s$  , and  $n < N_j$  hold for all  $j$  . For  $j = 0$  , it is clear that  $n < N_0$  . Suppose  $n < N_{j-1}$  for some  $j \geq 1$  . Suppose there exists an  $i$  such that  $m_i > g_i + s_{i,j}$  . From Lemma 4.11 we have

$$\text{ord}_{p_i}(n - \vartheta_i) = m_i - g_i \geq s_{i,j} + 1 ,$$

hence, by  $u_{i,s_{i,j}} \neq 0$  ,

$$n \geq \sum_{\ell=0}^{s_{i,j}} u_{i,\ell} \cdot p_i^\ell \geq p_i^{s_{i,j}} \geq N_{j-1} ,$$

which contradicts our assumption. Thus,  $m_i \leq g_i + s_{i,j}$  for  $i = 1, \dots, s$  .  
Then from Corollary 4.3 it follows that

$$n < \left[ \sum_{i=1}^s (g_i + s_{i,j}) \cdot \log p_i - \log(\gamma/|w|) \right] / \log|\alpha| ,$$

hence  $n < N_j$  . □

Remark 1. In general, one expects that  $p_i^{s_{i,j}}$  will not be much larger than  $N_j$  , i.e. not too many consecutive  $p_i$ -adic digits of  $\vartheta_i$  will be zero. Then  $N_j$  is about as large as  $\log N_{j-1}$  . In practice, the algorithm will often terminate in three or four steps, near to the largest solution. The computation time is polynomial in  $s$  , the bottleneck of the algorithm is the computation of the  $p_i$ -adic logarithms.

Remark 2. Pethő [1985] gives for  $s = 1$  a different reduction algorithm. For a prime  $p_i$  he computes the function  $g(u)$  , defined for  $u \in \mathbb{N}$  as the smallest index  $n \geq 0$  such that  $G_n \neq 0$  and  $p_i^u \mid G_n$  . Note that if the  $p_i$ -adic limit  $\lim_{u \rightarrow \infty} g(u)$  exists, then by Lemma 4.11 it is equal to  $\vartheta_i$  .

Remark 3. If  $B = \pm 1$  (hence  $\Delta > 0$  ) , we can extend the sequence  $\{G_n\}_{n=0}^{\infty}$  to negative indices by the recursion formula

$$G_{n-1} = A \cdot B \cdot G_n - B \cdot G_{n+1} \quad \text{for } n = 0, -1, -2, \dots$$

(cf. (4.3)). Then (4.5) is true for  $n < 0$  also. We can solve equation (4.1) with  $n \in \mathbb{Z}$  not necessarily nonnegative, by applying Algorithm P twice: once for  $\{G_n\}_{n=0}^{\infty}$  , and once for the sequence  $\{G'_n\}_{n=0}^{\infty}$  , defined by  $G'_n = G_{-n}$  . Note that  $G'_n = B^n \cdot (\mu \cdot \alpha^n + \lambda \cdot \beta^n)$  , and

$$\vartheta'_i = - \frac{\log_{p_i}(-\mu/\lambda)}{\log_{p_i}(\alpha/\beta)} = + \frac{\log_{p_i}(-\lambda/\mu)}{\log_{p_i}(\alpha/\beta)} = -\vartheta_i \quad \text{for } i = 1, \dots, s .$$

Now, instead of applying Algorithm P twice, we can modify it, so that it works for all  $n \in \mathbb{Z}$ , as follows. Lemmas 4.8 and 4.11 remain correct if we replace  $n$  by  $|n|$ . In Theorem 4.9 the lower bound for  $n_0$  must be replaced by

$$n_0 > \max \left\{ 2, \left| \log \frac{\mu/\lambda}{|\alpha/\beta|} \right|, \left| \log \frac{\lambda/\mu}{|\alpha/\beta|} \right| \right\},$$

and  $\gamma$  has to be replaced by

$$\gamma = \min \left\{ |\lambda| - |\mu| \cdot |\alpha/\beta|^{-n_0}, |\mu| - |\lambda| \cdot |\alpha/\beta|^{-n_0} \right\}.$$

Similar modifications should be made in step (i) of Algorithm P. Further, in step (ii),  $r_i$  should be chosen so large that

$$\begin{aligned} \text{if } p_i \neq 2 \text{ then } p_i^{r_i} \geq N_0 \text{ and } u_{i,r_i} \neq 0, u_{i,r_i} \neq p-1; \\ \text{else } p_i^{r_i-1} \geq N_0 \text{ and } u_{i,r_i} \neq u_{i,r_i-1}; \end{aligned}$$

and similar modifications have to be made in step (v) for  $s_{i,j}$ . With these changes, Theorem 4.12 remains true with  $n$  replaced by  $|n|$ .

We conclude this section with an example.

Example. Let  $A = 6, B = 1, G_0 = 1, G_1 = 4, w = 1, p_1 = 2, p_2 = 11$ . Then  $\alpha = 3 + 2\sqrt{2}, \beta = 3 - 2\sqrt{2}, \lambda = (1 + 2\sqrt{2})/4\sqrt{2}, \mu = (-1 + 2\sqrt{2})/4\sqrt{2}$ , and  $\Delta = 32$ . With  $n_0 = e^{60} = 1.142 \times 10^{26}$  we find  $C_4 < 2.49 \times 10^{20}$ . With the modifications of Remark 3 above we have  $\gamma > 0.323, C_5 < 1.76, C_6 < 2.62 \times 10^{26}, C_5 \cdot C_6 < 4.62 \times 10^{26}$ . Hence all solutions of  $G_n = 2^{m_1} \cdot 11^{m_2}$  satisfy  $|n| < 4.62 \times 10^{26}, \max(m_1, m_2) < 2.62 \times 10^{26}$ . We perform the reduction Algorithm P step by step. (We write the  $p$ -adic number  $\sum_{t=0}^{\infty} u_t \cdot p^t$  as  $0.u_0u_1u_2\dots$ , and if  $p > 10$  we denote the digits larger than 9 by the symbols A, B, C, ...).

$$(i) \quad n_0 = 2, \gamma > 0.303, g_1 = 0, g_1 = 1, g > 0.0275,$$

$$h_1 = -1, h_2 = \frac{1}{2}, N_0 = 4.62 \times 10^{26}.$$

$$(ii) \quad \vartheta_1 = 0.10111 \ 10111 \ 01000 \ 11100 \ 10100 \ 01001 \ 10001 \ 10010 \\ 00001 \ 11101 \ 01000 \ 10000 \ 01001 \ 10011 \ 10101 \ 01101 \\ 11100 \ 01011 \ 00001 \ 11010 \ 00011 \ 01001 \ 01010 \ 00101 \\ 10001 \ 01011 \ 00000 \ 11001 \ 01011 \ 11101 \ 10100 \ 01011 \\ 001\dots,$$

$$\vartheta_2 = 0.A9359\ 05530\ 7330A\ 1A223\ 96230\ 3A006\ A3366\ 83368$$

$$8270\dots ,$$

$$\text{so } r_1 = 90 \text{ (since } u_{1,89} = 1, u_{1,90} = 0, 2^{89} > N_0 \text{),}$$

$$r_2 = 29 \text{ (since } u_{2,29} = 6, 11^{29} > N_0 \text{).}$$

$$(iii) \quad s_{1,0} = 91, s_{2,0} = 30 ;$$

$$(v)-(vi) \quad s_{1,1} = 90, s_{2,1} = 29, K_1 = \underline{\text{.true.}}, N_1 < 76.9 ;$$

$$(v)-(vi) \quad s_{1,2} = 10, s_{2,2} = 2, K_2 = \underline{\text{.true.}}, N_2 < 8.7 ;$$

$$(v)-(vi) \quad s_{1,3} = 6, s_{2,3} = 1, K_3 = \underline{\text{.true.}}, N_3 < 5.8 ;$$

$$(v)-(vi) \quad s_{1,4} = 6, s_{2,4} = 1, K_4 = \underline{\text{.false.}}, N_4 < 5.8 .$$

Hence  $|n| \leq 5, m_1 \leq 6, m_2 \leq 2$  . We have

$n$	-5	-4	-3	-2	-1	0	1	2	3	4	5
$G_n$	2174	373	64	11	2	1	4	23	134	781	4552

So there are 5 solutions: with  $n = -3, -2, -1, 0, 1$  .

#### 4.8. The reduction algorithm in the elliptic case.

We now present an algorithm to reduce upper bounds for the solutions of (4.1) in the case  $\Delta < 0$  . The idea is to apply alternatingly Algorithms P and one of H and I. Let  $N$  be an upper bound for  $n$  , for example  $n = C_7$  as in Theorem 4.9.

ALGORITHM C. (reduces upper bounds for (4.1) in the case  $\Delta < 0$  ).

Input:  $\alpha, \beta, \lambda, \mu, w, p_1, \dots, p_s, N$  .

Output: new, reduced upper bounds  $N^*$  for  $n$  , and  $M_i$  for  $m_i$  for  $i = 1, \dots, s$  .

(i) (initialization)  $N_0 := [N]$  ;  $j := 1$  ;

$$\left. \begin{aligned} g_i &:= \text{ord}_{p_i}(\lambda) + \text{ord}_{p_i}(\log_{p_i}(\alpha/\beta)) \\ h_i &:= \text{ord}_{p_i}(\lambda) + \begin{cases} 3/2 & \text{if } p_i = 2 \\ 1 & \text{if } p_i = 3 \\ 1/2 & \text{if } p_i \geq 5 \end{cases} \end{aligned} \right\} \text{ for } i = 1, \dots, s ;$$

(ii) (computation of the  $\vartheta_i$ 's,  $\varphi, \psi$ ) Compute for  $i = 1, \dots, s$  the first  $r_i$   $p_i$ -adic digits  $u_{i,\ell}$  of

$$\vartheta_i = -\log_{p_i} \left( \frac{-\lambda}{\mu} \right) / \log_{p_i} \left( \frac{\alpha}{\beta} \right) = \sum_{\ell=0}^{\infty} u_{i,\ell} \cdot p_i^{\ell},$$

where  $r_i$  is so large that  $p_i^{r_i} \geq N_0$  and  $u_{i,r_i} \neq 0$ ; compute  $\psi = \text{Log}(-\lambda/\mu)/2\pi i$ , and the continued fraction

$$|\varphi| = \left| \frac{1}{2\pi i} \cdot \text{Log}(\alpha/\beta) \right| = [ 0, a_1, \dots, a_{\ell_0}, \dots ]$$

with the convergents  $p_i/q_i$  for  $i = 1, \dots, \ell_0$ , where  $\ell_0$  is so large that  $q_{\ell_0-1} \leq N_0 < q_{\ell_0}$  if  $\psi = 0$ ;  $q_{\ell_0} > 4 \cdot N_0$  and

$\|q_{\ell_0}\| > 2 \cdot N_0/q_{\ell_0}$  if  $\psi \neq 0$  and such  $\ell_0$  can be found in a reasonable amount of time,  $q_{\ell_0} > 4 \cdot N_0$  otherwise;

(iii) (one step of Algorithm P) For  $i = 1, \dots, s$  put

$$M_{i,j} := \max \left[ h_i, g_i + \min \{ s \in \mathbb{N}_0 \mid p_i^s \geq N_{j-1} \text{ and } u_{i,s} \neq 0 \} \right];$$

(iv) (one step of Algorithm H or I)

if  $\psi = 0$

$$\text{then } A := \max(a_1, \dots, a_{\ell_{j-1}}); \quad v := |w| \cdot \prod_{i=1}^s p_i^{M_{i,j}};$$

choose  $n_0 \geq 2/\log B$  such that  $B^{n_0/2}/n_0 \geq v/2 \cdot |\mu|$ ;

compute the largest integer  $N_j$  such that

$$B^{N_j/2}/N_j \leq (A+2) \cdot v/4 \cdot |\mu|;$$

$$N_j := \max(n_0, N_j);$$

if  $N_j < N_{j-1}$  then compute  $\ell_j$  with  $q_{\ell_{j-1}} \leq N_j < q_{\ell_j}$ ;

$j := j + 1$ ; goto (iii);

else if  $\|q_{\ell_{j-1}} \cdot \psi\| > 2 \cdot N_{j-1}/q_{\ell_{j-1}}$

$$\text{then } N_j := \lceil 2 \cdot \log(q_{\ell_{j-1}}^2 \cdot v/4 \cdot |\mu| \cdot N_{j-1}) / \log B \rceil;$$

else compute  $K \in \mathbb{Z}$  with  $|K - q_{\ell_{j-1}} \cdot \psi| \leq \frac{1}{2}$ ;

compute  $n_0 \in \mathbb{Z}$ ,  $0 \leq n_0 < q_{\ell_{j-1}}$ , with

$$K + n_0 \cdot p_{\ell_{j-1}} \equiv 0 \pmod{q_{\ell_{j-1}}};$$

if  $n = n_0$  is a solution of (4.1)

then print an appropriate message;

$$N_j := \lceil 2 \cdot \log(q_{\ell_{j-1}} \cdot v/|\mu|) / \log B \rceil;$$

if  $N_j < N_{j-1}$

then compute the minimal  $\ell_j < \ell_{j-1}$  such that



$q_{\ell_j} > 4 \cdot N_j$  and  $\|q_{\ell_j} \cdot \psi\| > 2 \cdot N_j / q_{\ell_j}$  (if such  $\ell_j$   
 does not exist, choose the minimal  $\ell_j$  such that  
 $q_{\ell_j} > 4 \cdot N_j$  ) ;  $j := j + 1$  ; goto (iii) ;  
 (v) (termination)  $N^* := N_{j-1}$  ;  $M_i := M_{i,j}$  for  $i = 1, \dots, s$  ; stop.

The following theorem now follows at once from the proofs of Lemmas 4.6, 4.7 and Theorem 4.12.

THEOREM 4.13. *Algorithm C terminates. Equation (4.1) with  $\Delta < 0$  has no solutions with  $N^* < n < N$  and  $m_i > M_i$  for  $i = 1, \dots, s$ , apart from those spotted by the algorithm.*

We conclude this section with an example.

Example. Let  $A = 1, B = 2, G_0 = 2, G_1 = 3$ , then  $\Delta = -7, \alpha = (1 + \sqrt{-7})/2$  and  $\lambda = (2 + \sqrt{-7})/\sqrt{-7}$ . Let  $w = \pm 1, p_1 = 3, p_2 = 7$ . We have with  $n_0 = 2$  the following results:  $C_4 < 6.40 \times 10^{16}, C_3 < 9.14 \times 10^{29}, C_7 < 7.42 \times 10^{30}, \max(C_{8,1}, C_{8,2}) < 2.30 \times 10^{22}$ . Further,  $g_1 = 1, g_2 = 0, h_1 = 1, h_2 = 0$ . By Theorem 4.9 we may choose  $N_0 = 7.42 \times 10^{30}$ . We have

$$\varphi = ( \pi - \arctan(\sqrt{7}/3) ) / 2\pi$$

$$= [ 0, 2, 1, 1, 2, 16, 6, 1, 2, 2, 13, \\ 1, 1, 3, 1, 1, 2, 1, 2, 1, 1, \\ 1, 1, 1, 9, 2, 1, 2, 1, 7, 1, \\ 6,269, 4, 3, 1, 1, 50, 2, 1, 6, \\ 1, 1, 2, 1, 1, 7, 1, 61, 1, 12, \\ 3, 7, 4, 7, 3,121, 1, 21, 2, 1, 7, \dots ] ,$$

$$\psi = ( \pi - \arctan(4 \cdot \sqrt{7}/3) ) / 2\pi$$

$$= 0.29396 28336 99645 40267 89566 60520 01908 06203\dots ,$$

$$\theta_1 = 0.20010 12210 00011 02102 00211 00222 02220 12021 \\ 10020 20202 21102 00121 01000 01002 11100 20122 \\ 11111 22202 21021 02212 2200\dots ,$$

$$\theta_2 = 0.32542 12042 43561 34020 61561 13452 10116 33152 \\ 25336 45044 11254 55033\dots .$$

Now we choose  $\ell_0 = 61$ , since

$$q_{61} = 142\ 51183\ 31142\ 44361\ 19375\ 51238\ 81743 > 4 \cdot N_0 ,$$

and  $\|q_{61} \cdot \psi\| = 0.24487\dots > 2 \cdot N_0 / q_{61} = 0.104\dots$  . We have  $M_{1,1} = 67$ ,  $M_{2,1} = 37$ , and we find  $N_1 = 637$  . Next we choose  $\ell_1 = 9$  , since  $q_9 = 10102 > 4 \times 637$  and  $\|q_9 \cdot \psi\| = 0.38745\dots > 2 \times 637 / 10102$  . We have  $M_{1,2} = 7$ ,  $M_{2,2} = 4$  , and we find  $N_2 = 74$  . Next we choose  $\ell_2 = 6$  , since  $q_6 = 1291 > 4 \times 74$  , and  $\|q_6 \cdot \psi\| = 0.49398 > 2 \times 74 / 1291$  . We have  $M_{1,3} = 6$  ,  $M_{2,3} = 3$  , and we find  $N_3 = 60$  . In the next step we find no improvement. Hence  $n \leq 60$ ,  $m_1 \leq 6$ ,  $m_2 \leq 3$  . It is a matter of straightforward computation to check that there are only the following 6 solutions of  $G_n = \pm 3^{m_1} \cdot 7^{m_2}$  :  $G_1 = 3$ ,  $G_2 = -1$ ,  $G_3 = -7$ ,  $G_5 = 3^2$ ,  $G_7 = 1$ ,  $G_{17} = 3^2 \cdot 7^2$  .

#### 4.9. The generalized Ramanujan-Nagell equation.

The most interesting application of the reduction algorithms of the preceding section seems to be the solution of the generalized Ramanujan-Nagell equation (4.2). Let  $k$  be a nonzero integer, and let  $p_1, \dots, p_s$  be distinct prime numbers. Then we ask for all nonnegative integers  $x, z_1, \dots, z_s$  with

$$x^2 + k = \prod_{i=1}^s p_i^{z_i} .$$

First we note that  $z_i = 0$  whenever  $-k$  is a quadratic nonresidue (mod  $p_i$ ) . Thus we assume that this is not the case for all  $i$  . Let  $p_i \mid k$  for  $i = 1, \dots, t$  and  $p_i \nmid k$  for  $i = t+1, \dots, s$  . Let  $\text{ord}_{p_i}(k)$  be odd for  $i = 1, \dots, r$  and even for  $i = r+1, \dots, t$  . Dividing by large enough powers of  $p_i$  for  $i = 1, \dots, t$  , (4.2) reduces to a finite number of equations

$$D_0 \cdot x_1^2 + k_1 = \prod_{i=r+1}^s p_i^{z'_i} \tag{4.13}$$

with  $p_i \nmid k_1$  for  $i = 1, \dots, s$  , and  $D_0$  composed of  $p_1, \dots, p_r$  only, and squarefree. We distinguish between the  $2^{s-r}$  combinations of  $z'_i$  odd or even for  $i = r+1, \dots, s$  . Suppose that  $z'_i$  is odd for  $i = r+1, \dots, u$  and even for  $i = u+1, \dots, s$  . Put

$$y = \prod_{i=r+1}^u p_i^{(z'_i-1)/2} \cdot \prod_{i=u+1}^s p_i^{z'_i/2} . \quad (4.14)$$

Then, from (4.13),

$$D_0 \cdot x_1^2 - \left( \prod_{i=r+1}^u p_i \right) \cdot y^2 = -k_1 . \quad (4.15)$$

Put  $D = D_0 \cdot \prod_{i=r+1}^u p_i$ . Then (4.14) and (4.15) lead to

$$\begin{cases} v^2 - D \cdot w^2 = k_2 \\ v = \prod_{i=r+1}^s p_i^{m_i} \end{cases} , \quad (4.16)$$

with  $v = y \cdot \prod_{i=r+1}^u p_i$ ,  $w = x_1$ ,  $k_2 = k_1 \cdot \prod_{i=r+1}^u p_i$ , and also to

$$\begin{cases} v^2 - D \cdot w^2 = k_2 \\ w = \prod_{i=r+1}^s p_i^{m_i} \end{cases} , \quad (4.17)$$

with  $v = D_0 \cdot x_1$ ,  $w = y$ ,  $k_2 = -k_1 \cdot D_0$ . We proceed with either (4.16) or (4.17), whichever is the most convenient (e.g. the one with the smaller  $|k_2|$ ).

If  $D = 1$ , then (4.16) and (4.17) are trivial. So assume  $D > 1$ . Let  $\epsilon$  be the smallest unit in  $\mathbb{Z} + \sqrt{D} \cdot \mathbb{Z}$  with  $\epsilon > 1$  and  $N(\epsilon) = \pm 1$ . It is well known that the solutions  $v, w$  of  $v^2 - D \cdot w^2 = k_2$  fall apart into a finite number of classes of associated solutions. Let there be  $T$  such classes, and choose for  $\tau = 1, \dots, T$  in the  $\tau$ th class the solution  $v_{\tau,0}, w_{\tau,0}$  such that  $\gamma_{\tau} = v_{\tau,0} + w_{\tau,0} \cdot \sqrt{D} > 1$  is minimal. Then all solutions of  $v^2 - D \cdot w^2 = k_2$  are given by  $v = \pm v_{\tau,n}, w = \pm w_{\tau,n}$ , with

$$\begin{cases} v_{\tau,n} = [ \gamma_{\tau} \cdot \epsilon^n + \gamma'_{\tau} \cdot \epsilon^{-n} ] / 2 \\ w_{\tau,n} = [ \gamma_{\tau} \cdot \epsilon^n - \gamma'_{\tau} \cdot \epsilon^{-n} ] / 2 \cdot \sqrt{D} \end{cases} \quad (4.18)$$

for  $n \in \mathbb{Z}$ , where  $\gamma'_{\tau} = v_{\tau,0} - w_{\tau,0} \cdot \sqrt{D}$ . That is,  $\{v_{\tau,n}\}_{n=-\infty}^{\infty}$  and  $\{w_{\tau,n}\}_{n=-\infty}^{\infty}$  are linear binary recurrence sequences. Now, (4.16) and (4.17) reduce to  $T$  equations of type (4.1). If  $k_2 = 1$ , then  $T = 1$ ,  $\gamma_1 = \epsilon$ ,  $\gamma'_1 = \epsilon^{-1}$ . If  $k_2 \mid 2 \cdot D$ ,  $k_2 \neq 1$ , then it is easy to prove that  $\gamma_{\tau}^2 = |k_2| \cdot \epsilon$ ,  $\gamma'_{\tau}^2 = |k_2| \cdot \epsilon^{-1}$ , so that

$$v_{\tau,n} = \sqrt{|k_2|} \cdot \left[ (\gamma_{\tau}/\sqrt{|k_2|})^{2n+1} + (\gamma'_{\tau}/\sqrt{|k_2|})^{2n+1} \right] / 2 ,$$

$$w_{\tau,n} = \sqrt{|k_2|} \cdot \left[ (\gamma_{\tau}/\sqrt{|k_2|})^{2n+1} - (\gamma'_{\tau}/\sqrt{|k_2|})^{2n+1} \right] / 2 \cdot \sqrt{D} .$$

In both cases, (4.16) and (4.17) can be solved by elementary means (see Section 4.5, of related interest are Størmer [1897], Mahler [1935], Lehmer [1964], Rumsey and Posner [1964] and Mignotte [1985]). If  $k_2 \nmid 2 \cdot D$ , then we apply the reduction algorithm to one of the equations  $v_{\tau,n} = \prod_{i=r+1}^s p_i^{m_i}$ ,

$w_{\tau,n} = \prod_{i=r+1}^s p_i^{m_i}$ . Note that  $n$  is allowed to be negative, since  $B = \pm 1$ , so we can use the modified algorithm of Remark 3, Section 4.7.

Thus we have a procedure for solving (4.2) completely. It is well known how the unit  $\epsilon$  and the minimal solutions  $v_{\tau,0}, w_{\tau,0}$  for  $\tau = 1, \dots, T$  can be computed by the continued fraction algorithm for  $\sqrt{D}$ . We conclude this section with an example. It extends the result of Nagell [1948] (also proved by many others) on the original Ramanujan-Nagell equation  $x^2 + 7 = 2^z$ .

**THEOREM 4.14.** *The only nonnegative integers  $x$  such that  $x^2 + 7$  has no prime divisors larger than 20 are the 16 in the following table.*

$x$	$x^2 + 7$	$x$	$x^2 + 7$	$x$	$x^2 + 7$
0	7	7	$56 = 2^3 \cdot 7$	31	$968 = 2^3 \cdot 11^2$
1	$8 = 2^3$	9	$88 = 2^3 \cdot 11$	35	$1232 = 2^4 \cdot 7 \cdot 11$
2	11	11	$128 = 2^7$	53	$2816 = 2^8 \cdot 11$
3	$16 = 2^4$	13	$176 = 2^4 \cdot 11$	75	$5632 = 2^9 \cdot 11$
5	$32 = 2^5$	21	$448 = 2^6 \cdot 7$	181	$32768 = 2^{15}$
				273	$74536 = 2^3 \cdot 7 \cdot 11^3$

**Proof.** Since  $-7$  is a quadratic nonresidue modulo 3, 5, 13, 17 and 19, we have only the primes 2, 7 and 11 left, Only one factor 7 can occur in  $x^2 + 7$ , thus we have to solve the two equations

$$x^2 + 7 = 2^{z_1} \cdot 11^{z_2} , \tag{4.19}$$

$$x^2 + 7 = 7 \cdot 2^{z_1} \cdot 11^{z_2} . \tag{4.20}$$

Equation (4.20) can be solved in an elementary way. We distinguish four cases, each leading to an equation of the type

$$y^2 - D \cdot z^2 = c$$

with  $c \mid 2 \cdot D$ , and either  $y$  or  $z$  composed of factors 2 and 11 only. We have:

- (i)  $z_1$  even,  $z_2$  even,  $y = 2^{z_1/2} \cdot 11^{z_2/2}$ ,  $z = x/7$ ,  $c = 1$ ,  $D = 7$  ;
- (ii)  $z_1$  odd,  $z_2$  even,  $y = 2^{(z_1+1)/2} \cdot 11^{z_2/2}$ ,  $z = x/7$ ,  $c = 2$ ,  $D = 14$  ;
- (iii)  $z_1$  even,  $z_2$  odd,  $y = x$ ,  $z = 2^{z_1/2} \cdot 11^{(z_2-1)/2}$ ,  $c = -7$ ,  $D = 77$  ;
- (iv)  $z_1$  odd,  $z_2$  odd,  $y = x$ ,  $z = 2^{(z_1-1)/2} \cdot 11^{(z_2-1)/2}$ ,  $c = -7$ ,  $D = 154$  .

In the first example of Section 4.5 we have worked out case (i). We leave the other cases to the reader.

Equation (4.19) can be solved by the reduction algorithm. Again we have four cases, each leading to an equation of the type

$$y^2 - D \cdot z^2 = c$$

with either  $y$  or  $z$  composed of factors 2 and 11 only. We have

- (i)  $z_1$  even,  $z_2$  even,  $y = x$ ,  $z = 2^{z_1/2} \cdot 11^{z_2/2}$ ,  $c = -7$ ,  $D = 1$  ;
- (ii)  $z_1$  odd,  $z_2$  even,  $y = x$ ,  $z = 2^{(z_1-1)/2} \cdot 11^{z_2/2}$ ,  $c = -7$ ,  $D = 2$  ;
- (iii)  $z_1$  even,  $z_2$  odd,  $y = x$ ,  $z = 2^{z_1/2} \cdot 11^{(z_2-1)/2}$ ,  $c = -7$ ,  $D = 11$  ;
- (iv)  $z_1$  odd,  $z_2$  odd,  $y = x$ ,  $z = 2^{(z_1-1)/2} \cdot 11^{(z_2-1)/2}$ ,  $c = -7$ ,  $D = 22$  .

Case (i) is trivial. The other three cases each lead to one equation of type (4.1). In the example in Section 4.7 we have worked out case (ii). With the following data the reader should be able to perform Algorithm P by hand for the cases (iii) and (iv), thus completing the proof. In these cases  $N < 10^{30}$  is a correct upper bound.

Case (iii):  $\alpha = 10 + 3 \cdot \sqrt{11}$ ,  $\lambda = (2 + \sqrt{11})/2 \cdot \sqrt{11}$ ,

```

 $\vartheta_1 = 0.10011\ 01000\ 00110\ 10100\ 00110\ 10110\ 01001\ 11110$ 
      11011\ 10010\ 00001\ 10110\ 10111\ 10100\ 00110\ 01101
      01010\ 10010\ 11101\ 11001\ 10000\ 10010\ 01010\ 11011
      00010\ 00111\ 01110\ 00101\ 01101\ 01111\ 10101\ 11110
      10.... ,

```

```

 $\vartheta_2 = 0.23075\ 76425\ 39004\ 26090\ A92A1\ 03757\ 07314\ 58414$ 
      7A238.... .

```

Case (iv):  $\alpha = 197 + 42 \cdot \sqrt{22}$ ,  $\lambda = (9 + 2 \cdot \sqrt{22})/2 \cdot \sqrt{22}$ ,

$\vartheta_1 = 0.11101\ 01101\ 01110\ 01010\ 10111\ 10001\ 00100\ 00011$   
 $10000\ 00110\ 10101\ 01100\ 01101\ 01111\ 01101\ 10101$   
 $01011\ 10100\ 01100\ 11101\ 10011\ 00011\ 00010\ 11110$   
 $10101\ 01100\ 10011\ 11111\ 01001\ 01110\ 00000\ 01110$   
 $011\dots ,$

$\vartheta_2 = 0.6A001\ 68184\ 22921\ 902A0\ 724A4\ 16769\ 45650\ 16482$   
 $5A6AA\dots .$  □

Remarks. 1. The computation time for the above proof was less than 2 sec.

2. Let  $\Phi(X,Y) = a \cdot X^2 + b \cdot X \cdot Y + c \cdot Y^2$  be a quadratic form with integral coefficients, and  $\Delta = b^2 - 4 \cdot a \cdot c$  positive or negative. Let  $k$  be a nonzero integer, and  $p_1, \dots, p_s$  distinct prime numbers. Then we note that

$$4 \cdot a \cdot \Phi(X,Y) = (2 \cdot a \cdot X + b \cdot Y)^2 - \Delta \cdot Y^2 ,$$

so that the diophantine equations

$$\Phi(X,k) = \prod_{i=1}^s p_i^{z_i} , \quad \Phi(X, \prod_{i=1}^s p_i^{z_i}) = k$$

in integers  $X \neq 0$  and  $z_1, \dots, z_s \in \mathbb{N}_0$ , can be solved by our method.

#### 4.10. A mixed quadratic-exponential equation.

In this section we give an application of Algorithm C to the following diophantine equation. Let

$$\Phi(X,Y) = a \cdot X^2 + b \cdot X \cdot Y + c \cdot Y^2$$

be a quadratic form with integral coefficients, such that  $D = b^2 - 4 \cdot a \cdot c$  is negative. Let  $q, v, w$  be nonzero integers, and  $p_1, \dots, p_s$  distinct prime numbers. Consider the equation

$$\Phi(X, w \cdot \prod_{i=1}^s p_i^{m_i}) = v \cdot q^n \tag{4.21}$$

in integers  $X$ , and  $n, m_1, \dots, m_s \in \mathbb{N}_0$ .

Let  $\beta, \bar{\beta}$  be the roots of  $\Phi(x,1) = 0$ . Let  $h$  be the class number of  $\mathbb{Q}(\sqrt{D})$ . There exists a  $\pi \in \mathbb{Q}(\sqrt{D})$  such that we have the principal ideal equation  $(\pi) \cdot (\bar{\pi}) = (q^h)$ . Put  $n = n_1 + h \cdot n_2$ , with  $0 \leq n_1 < h$ . Then

$\Phi(X,Y) = v \cdot q^n$  is equivalent to finitely many ideal equations

$$(a \cdot X - a \cdot \beta \cdot Y) \cdot (a \cdot X - a \cdot \bar{\beta} \cdot Y) = (\sigma) \cdot (\bar{\sigma}) \cdot (\pi)^{n_2} \cdot (\bar{\pi})^{n_2},$$

with  $(\sigma) \cdot (\bar{\sigma}) = (a \cdot v \cdot q^{n_1})$ . Hence we have the equations in algebraic numbers

$$\begin{cases} a \cdot X - a \cdot \beta \cdot Y = \gamma \cdot \pi^{n_2} \\ a \cdot X - a \cdot \bar{\beta} \cdot Y = \bar{\gamma} \cdot \bar{\pi}^{n_2} \end{cases}, \quad \begin{cases} a \cdot X - a \cdot \beta \cdot Y = \gamma \cdot \bar{\pi}^{n_2} \\ a \cdot X - a \cdot \bar{\beta} \cdot Y = \bar{\gamma} \cdot \pi^{n_2} \end{cases},$$

where  $\gamma$  is composed of  $\sigma$ , units, and common divisors of  $a \cdot X - a \cdot \beta \cdot Y$  and  $a \cdot X - a \cdot \bar{\beta} \cdot Y$ . Note that there are only finitely many choices for  $\gamma$  possible. Thus, (4.21) is equivalent to a finite number of equations

$$a \cdot (\bar{\beta} - \beta) \cdot w \cdot \prod_{i=1}^s p_i^{m_i} = \gamma \cdot \pi^{n_2} - \bar{\gamma} \cdot \bar{\pi}^{n_2},$$

or, if we put  $\lambda = \gamma/a \cdot (\bar{\beta} - \beta)$  and  $G_{n_2} = \lambda \cdot \pi^{n_2} + \bar{\lambda} \cdot \bar{\pi}^{n_2}$ ,

$$G_{n_2} = w \cdot \prod_{i=1}^s p_i^{m_i}. \tag{4.22}$$

Here,  $\{G_{n_2}\}_{n_2=\infty}^{\infty}$  is a recurrence sequence with negative discriminant. So (4.22) is of type (4.1), and can thus be solved by the reduction algorithm of Section 4.8.

Before giving an example we remark that (4.21) with  $D > 0$  is not solvable with the methods of this chapter. This is due to the fact that in  $\mathbb{Q}(\sqrt{D})$  with  $D > 0$  there are infinitely many units, hence infinitely many possibilities for  $\gamma$ . Another generalization of equation (4.21) is to replace  $q^n$  by  $\prod_{i=1}^t q_i^{n_i}$ . This problem is also not solvable by the method of this chapter, since it does not lead to a binary recurrence sequence if  $t \geq 2$ . These problems can however be dealt with using multi-dimensional approximation techniques, that are presented in other chapters of this thesis. See Chapter 7.

We finally present an example.

THEOREM 4.15. The equation

$$X^2 - 3^{m_1 \cdot 7^{m_2}} \cdot X + 2 \cdot (3^{m_1 \cdot 7^{m_2}})^2 = 11 \cdot 2^n$$

in  $X \in \mathbb{Z}$ ,  $n, m_1, m_2 \in \mathbb{N}_0$  has only the following 24 solutions:

n	m <sub>1</sub>	m <sub>2</sub>	X	n	m <sub>1</sub>	m <sub>2</sub>	X
1	1	0	-1, 4	5	2	0	-10, 19
1	0	0	-4, 5	6	0	0	-26, 27
2	0	0	-6, 7	7	0	0	-37, 38
3	0	1	2, 5	7	3	0	2, 25
3	1	0	-7, 10	11	1	1	-137, 158
4	0	1	-6, 13	17	2	2	-829, 1270

Proof. Put  $\beta = (1 + \sqrt{-7})/2$ . Then

$$X^2 - X \cdot Y + 2 \cdot Y^2 = (X - \beta \cdot Y) \cdot (X - \bar{\beta} \cdot Y).$$

Note that  $\mathbb{Q}(\sqrt{-7})$  has class number 1, and that

$$2 = \frac{1 + \sqrt{-7}}{2} \cdot \frac{1 - \sqrt{-7}}{2}, \quad 11 = (2 + \sqrt{-7}) \cdot (2 - \sqrt{-7}).$$

Suppose  $\gamma \mid X - \beta \cdot Y$  and  $\gamma \mid X - \bar{\beta} \cdot Y$ . Then  $\gamma \mid (\bar{\beta} - \beta) \cdot Y = -\sqrt{-7} \cdot 3^{m_1 \cdot 7^{m_2}}$ . On the other hand,  $\gamma \mid 11 \cdot 2^n$ . It follows that  $\gamma = \pm 1$ , hence  $X - \beta \cdot Y$  and  $X - \bar{\beta} \cdot Y$  are coprime. Thus we have two possibilities:

$$X - \beta \cdot Y = \pm (2 \pm \sqrt{-7}) \cdot \left( \frac{1 \pm \sqrt{-7}}{2} \right)^n,$$

$$X - \beta \cdot Y = \pm (2 \mp \sqrt{-7}) \cdot \left( \frac{1 \pm \sqrt{-7}}{2} \right)^n,$$

in each equation the 2nd and 3rd  $\pm$  being independent. Hence we have to solve

$$G_n^{(j)} = \lambda^{(j)} \cdot \beta^n + \bar{\lambda}^{(j)} \cdot \bar{\beta}^n = 3^{m_1 \cdot 7^{m_2}} \quad \text{for } j = 1, 2,$$

with  $G_{n+1}^{(j)} = G_n^{(j)} - 2 \cdot G_{n-1}^{(j)}$  for  $j = 1, 2$ , and  $\lambda^{(1)} = \bar{\lambda}^{(2)} = (2 + \sqrt{-7})/\sqrt{-7}$ , so that  $G_0^{(1)} = G_0^{(2)} = 1$ ,  $G_1^{(1)} = 3$ ,  $G_1^{(2)} = -1$ . Note that  $\vartheta_i^{(1)} = -\vartheta_i^{(2)}$  for  $i = 1, 2$ , and  $\psi^{(1)} = -\psi^{(2)}$ . For  $j = 1$  we have solved (4.22) in the example of Section 4.8. It is left to the reader to solve (4.22) for  $j = 2$ . This can be done with the numerical data given for the case  $j = 1$ .  $\square$

Remark. The computation time for the above proof was less than 3 sec.



## CHAPTER 5. THE INEQUALITY $0 < x - y < y^\delta$ IN $S$ -INTEGERS.

The results of this chapter have been published in de Weger [1987<sup>a</sup>].

### 5.1. Introduction.

Let  $S$  be the set of all positive integers composed of primes from a fixed finite set  $\{p_1, \dots, p_s\}$ , where  $s \geq 2$ , and let  $\delta \in (0, 1)$ . In this chapter we study the diophantine inequality

$$0 < x - y < y^\delta \tag{5.1}$$

in  $x, y \in S$ . We give explicit upper bounds for the solutions, and we show how the algorithms for homogeneous, one- and multi-dimensional diophantine approximation in the real case, that were presented in Chapter 3, can be used for finding all solutions of (5.1) for any set of parameters  $p_1, \dots, p_s, \delta$ . For  $s = 2$  the continued fraction method (cf. Section 3.2) is used. For  $s \geq 3$  we use the  $L^3$ -algorithm for reducing upper bounds (cf. Section 3.7).

Tijdeman [1973] (see also Shorey and Tijdeman [1986], Theorem 1.1) showed that there exists a computable number  $c$ , depending on  $\max(p_i)$  only, such that for all  $x, y \in S$  with  $x > y \geq 3$ ,

$$x - y > y/(\log y)^c.$$

Thus, for any solution of (5.1) a bound for  $x, y$  follows. Størmer [1897] showed how to solve the equation  $x - y = k$  with  $k = 1, 2$  with an elementary method (see also Mahler [1935], Lehmer [1964]). Our method can solve this equation for arbitrary  $k \in \mathbb{Z}$ . For the one-dimensional case  $s = 2$ , Ellison [1971<sup>b</sup>] has proved the following result: for all but finitely many explicitly given exceptions,  $|2^x - 3^y| > \exp\{x \cdot (\log 2 - 1/10)\}$  for all  $x, y \in \mathbb{N}$ . Cijsouw, Korlaar and Tijdeman (appendix to Stroeker and Tijdeman [1982]) have found all the solutions  $x, y \in \mathbb{N}$  of the inequality

$$|p^x - q^y| < p^{\delta \cdot x}$$

for all primes  $p, q$  with  $p < q < 20$ , and with  $\delta = \frac{1}{2}$ . We shall extend

these results for many more values of  $p, q$  and with  $\delta = 0.9$ . Further, we determine all the solutions of (5.1) for the multi-dimensional case  $t = 6$ ,  $(p_1, \dots, p_6) = (2, 3, 5, 7, 11, 13)$  with  $\delta = \frac{1}{2}$ .

In Section 5.2 we derive upper bounds for the solutions of (5.1). In Sections 5.3 and 5.4 we give a method for reducing such upper bounds in the one- and multi-dimensional cases respectively, and work them out explicitly for some examples. Section 5.5 contains tables with numerical data.

## 5.2. Upper bounds for the solutions.

We assume that the primes are ordered as  $p_1 < \dots < p_s$ . For a solution  $x, y$  of (5.1), the finitely many  $z \in \mathbb{N}$  for which  $z \cdot x, z \cdot y$  is also a solution of (5.1) can be found without any difficulty. Therefore we may assume that  $(x, y) = 1$ . Put

$$X = \max_{1 \leq i \leq s} \text{ord}_{p_i}(x \cdot y).$$

Put

$$C_1 = 2^{9 \cdot s + 26} \cdot s^{s+4} \cdot \max(1, \frac{1}{\log p_1}) \cdot \left( \prod_{i=2}^s \log p_i \right) \cdot \log(e \cdot \log p_{s-1}) / (1-\delta),$$

$$C_2 = 2 \cdot \log 2 / \log p_1 + 2 \cdot C_1 \cdot \log(e \cdot C_1 \cdot \log p_s).$$

**THEOREM 5.1.** *The solutions of (5.1) satisfy  $X < C_2$ .*

Proof. If  $y \leq \frac{1}{2} \cdot x$ , then  $y^\delta > x - y \geq y$ , which contradicts  $y \geq 1$ . So  $y > \frac{1}{2} \cdot x$ . Put  $\Lambda = \log(x/y)$ . Then

$$0 < \Lambda < x/y - 1 < y^{-(1-\delta)} < \left(\frac{1}{2} \cdot x\right)^{-(1-\delta)}. \quad (5.2)$$

By  $x = \max(x, y) \geq p_1^X$ , we obtain

$$0 < \Lambda < 2^{1-\delta} \cdot p_1^{-(1-\delta) \cdot X}. \quad (5.3)$$

We apply Waldschmidt's result, Lemma 2.4, to  $\Lambda$ , with  $n = s, q = 2$ . Note that the 'independence condition'  $[\mathbb{Q}(\sqrt{p_1}, \dots, \sqrt{p_n}) : \mathbb{Q}] = 2^n$  holds. Since  $p_i \geq 3$  we have  $v_i = \log p_i$  for  $i \geq 2$ . Thus

$$\Lambda > \exp \left[ -(\log X + \log(e \cdot \log p_s)) \cdot C_1 \cdot (1-\delta) \cdot \log p_1 \right] .$$

Combining this with (5.3) we find

$$X < C_1 \cdot \log(e \cdot \log p_s) + \log 2 / \log p_1 + C_1 \cdot \log X .$$

The result now follows from Lemma 2.1, since  $C_1 > e^2$  . □

Examples. With  $s = 2$ ,  $2 \leq p_i \leq 199$ ,  $\delta = 0.9$  we have  $C_1 < 2.30 \times 10^{17}$ ,  $C_2 < 1.97 \times 10^{19}$  .

With  $s = 6$ ,  $2 \leq p_i \leq 13$ ,  $\delta = \frac{1}{2}$  we find  $C_1 < 8.37 \times 10^{33}$ ,  $C_2 < 1.35 \times 10^{36}$  .

### 5.3. Reducing the upper bounds in the one-dimensional case.

In this section we work out the examples  $s = 2$ ,  $\delta = 0.9$  , and  $p_1, p_2$  run through either the set of primes below 200, or the set of non-powers below 50. We note that for any other set of parameters  $p_1, p_2, \delta$  the method works similarly. We prove the following result.

THEOREM 5.2. (a) *The diophantine inequality*

$$\left| p_1^{x_1} - p_2^{x_2} \right| < \min \left[ p_1^{x_1}, p_2^{x_2} \right]^\delta \tag{5.4}$$

with  $p_1, p_2$  primes such that  $p_1 < p_2 < 200$  , and

$$\begin{aligned} x_1, x_2 \in \mathbb{Z}, x_1 \geq 2, x_2 \geq 2, \text{ and either } \delta = \frac{1}{2} \\ \text{or } \delta = 0.9, \min \left[ p_1^{x_1}, p_2^{x_2} \right] > 10^{15} \end{aligned} \tag{5.5}$$

has only the 77 solutions listed in Table I.

(b) *The diophantine inequality (5.4) with  $p_1, p_2$  non-powers such that  $2 \leq p_1 < p_2 \leq 50$  and conditions (5.5), has only the 74 solutions listed in Table II.*

Remarks. The Tables are given in Section 5.5. In Tables I, II the column "delta" gives the real number with

$$\left| p_1^{x_1} - p_2^{x_2} \right| = \min \left[ p_1^{x_1}, p_2^{x_2} \right]^\delta .$$

Note that in Theorem 5.2 we do not demand  $(x_1, x_2) = 1$  , and in Theorem

5.2(b) we do not demand  $p_1, p_2$  to be primes. The conditions (5.5) are chosen, since the numerous solutions of (5.4) with  $\delta = 0.9$  and  $\min ( p_1^{x_1}, p_2^{x_2} ) \leq 10^{15}$  can be found without much effort.

Proof. Write

$$\Lambda = | x_1 \cdot \log p_1 - x_2 \cdot \log p_2 | , \quad X = \max(x_1, x_2) .$$

We assume that

$$p_1^X > 10^{25} , \tag{5.6}$$

since it is easy to find the remaining solutions. Let  $\log p_1 / \log p_2$  have the simple continued fraction expansion (cf. Section 3.2)

$$\log p_1 / \log p_2 = [ 0, a_1, a_2, \dots ] ,$$

and let the convergents be  $r_n / q_n$  for  $n = 1, 2, \dots$ . We may assume that  $(x_1, x_2) = 1$ . First we show that  $x_1 \geq x_2$ , hence  $X = x_1$ . For if  $x_1 < x_2$ , then

$$\Lambda = x_2 \cdot \log p_2 - x_1 \cdot \log p_1 > X \cdot ( \log p_2 - \log p_1 ) \geq X \cdot \log \frac{199}{197} ,$$

and from (5.3) and (5.6) we then infer

$$0.0101 \leq 0.0101 \cdot X < X \cdot \log \frac{199}{197} < \Lambda < 2^{0.1} \cdot 10^{-5/2} < 0.0034 ,$$

which is contradictory. Next we prove that

$$p_1^{X/10} > 3.1 \cdot X . \tag{5.7}$$

Namely, suppose the contrary. Then  $2^{X/10} \leq 3.1 \cdot X$ , and it follows that  $X \leq 80$ . This contradicts  $3.1 \cdot X \geq p_1^{X/10} > 10^{5/2}$ . From (5.3) we infer

$$\left| \frac{x_2}{X} - \frac{\log p_1}{\log p_2} \right| < \frac{2^{0.1}}{\log p_2} \cdot p_1^{-X/10} \cdot \frac{1}{X} . \tag{5.8}$$

It follows from (5.7) that

$$\left| \frac{x_2}{X} - \frac{\log p_1}{\log p_2} \right| < \frac{2^{0.1}}{\log 2} \cdot \frac{1}{3.1 \cdot X^2} < \frac{1}{2 \cdot X^2} .$$

Hence  $x_2 / X$  is, by Lemma 3.1, a convergent of  $\log p_1 / \log p_2$ , say  $r_k / q_k$ . From the example at the end of Section 5.2 we see that  $X \leq X_0 < 1.97 \times 10^{19}$ .

We find from (3.7) that  $k \leq 92.996$ , hence  $k \leq 92$ . Lemma 3.1 further yields: if (5.3) holds then

$$a_{k+1} > -2 + p_1^{\frac{q_k/10}{q_k}} \cdot \frac{1}{2^{0.1}} \cdot \frac{\log p_2}{2^{0.1}}, \quad (5.9)$$

and if

$$a_{k+1} > p_1^{\frac{q_k/10}{q_k}} \cdot \frac{1}{2^{0.1}} \cdot \frac{\log p_2}{2^{0.1}} \quad (5.10)$$

then (5.3) holds for  $(x_1, x_2) = (q_k, r_k)$ . We computed the continued fraction expansions and the convergents of all numbers  $\log p_1 / \log p_2$  in the mentioned ranges for  $p_1, p_2$  exactly up to the index  $n$  such that  $q_{n-1} \leq 1.97 \times 10^{19} < q_n$  (cf. Section 2.5 for details of the computational method). Note that  $n \leq 93$ . We checked all convergents for (5.9), and subsequently for (5.10). It is possible, though unlikely, that there is a convergent that satisfies (5.9) but fails (5.10). We met only one such a case:  $p_1 = 15, p_2 = 23$ , with  $\log 15 / \log 23 = [0, 1, 6, 2, 1, 51, \dots]$ , so that  $a_5 = 51, r_4 = 19, q_4 = 22$ . Now, (5.9) holds but (5.10) fails, since

$$15^{2.2} \cdot \frac{1}{22} \cdot (\log 19) / 2^{0.1} = 51.4\dots \in [51, 53].$$

We have in this case  $\Lambda = 0.002714\dots < 0.002771\dots = 2^{0.1} \cdot 15^{-2.2}$ , so (5.3) is true. But  $\log(15^{22} \cdot 23^{19}) / \log(23^{19}) = 0.9008\dots > \delta$ , so (5.1) is not true. This example illustrates that (5.3) is weaker than (5.1). Therefore all found solutions of (5.3) have been checked for (5.1) as well. The proof is now completed by the details of the computations, which we do not give here.  $\square$

The computations for the proof of Theorem 5.2 took 35 sec.

#### 5.4. Reducing the upper bounds in the multi-dimensional case.

Now let  $s \geq 3$ . Put  $x_i = \text{ord}_{p_i}(x/y)$  for  $i = 1, \dots, s$ . Then  $X = \max |x_i|$ , and

$$\Lambda = \sum_{i=1}^s x_i \cdot \log p_i.$$

Note that (5.3) is of the form (3.1). Hence by Theorem 5.1 we can use the method described in Section 3.7 for solving (5.3). We shall do so for the example  $t = 6, (p_1, \dots, p_6) = \{2, 3, 5, 7, 11, 13\}$  (the first six

primes), and  $\delta = \frac{1}{2}$ .

We use small refinements of Lemmas 3.7 and 3.8, devised specially for this application, as follows. Let notation be as in Section 3.7.

LEMMA 5.3. Let  $X_1$  be a positive number such that

$$t(\Gamma) \geq \sqrt{(4 \cdot n^2 + (n-1) \cdot \gamma^2)} \cdot X_1. \quad (5.11)$$

Then (5.3) has no solutions with for  $i = 1, \dots, s$

$$\log(\gamma \cdot C \cdot \sqrt{2/s} \cdot X_1) / \frac{1}{2} \cdot \log p_i \leq |x_i| \leq X \leq X_1. \quad (5.12)$$

LEMMA 5.4. Suppose that

$$|\tilde{\Lambda}| > \sum_{i=1}^s |x_i|. \quad (5.13)$$

Then

$$|x_i| < \log \left[ \gamma \cdot C \cdot \sqrt{2} / (|\lambda| - \sum_{i=1}^s |x_i|) \right] / (1-\delta) \cdot \log p_i. \quad (5.14)$$

Remark. Lemmas 5.3 and 5.4 are refinements of Lemma 3.8, in that they differentiate between the different  $x_i$ . Moreover, Lemma 5.3 has slightly sharper condition and conclusion than Lemma 3.7.

Proofs (of Lemmas 5.3 and 5.4). Analogous to the proofs of Lemmas 3.7 and 3.8, using (5.2) and

$$\frac{|x_i|}{p_i} \leq \max(x, y) = x < 2 \cdot |\Lambda|^{-1/2}. \quad \square$$

THEOREM 5.5. The diophantine inequality

$$0 < x - y < \sqrt{y}$$

in  $x, y \in S = \{ 2^{x_1} \dots 13^{x_6} \mid x_i \in \mathbb{N}_0 \text{ for } i = 1, \dots, 6 \}$  with  $(x, y) = 1$  has exactly 605 solutions. Among those, 571 satisfy

$$\text{ord}_2(x \cdot y) \leq 19, \quad \text{ord}_3(x \cdot y) \leq 12, \quad \text{ord}_5(x \cdot y) \leq 8,$$

$$\text{ord}_7(x \cdot y) \leq 7, \quad \text{ord}_{11}(x \cdot y) \leq 5, \quad \text{ord}_{13}(x \cdot y) \leq 5.$$

The remaining 34 solutions are listed in Table III.

Remark. The upper bounds for  $\text{ord}_{p_i}(xy)$  given for the 571 solutions not listed in Table III are chosen such that it takes a reasonable amount of computer time to find them all by a brute force method. The list of all 605 solutions is too extensive to be reproduced here.

Proof. By the example at the end of Section 5.2 we know that  $X < X_0$  for  $X_0 = 1.35 \times 10^{36}$ . We apply the method described in Section 3.7. Take  $C = 10^{240}$  (which is chosen so that it is somewhat larger than  $X_0^6$ ), and  $\gamma = 1$ . We applied the  $L^3$ -algorithm to the corresponding lattice  $\Gamma_1$ , and found a reduced basis  $\underline{c}_1, \dots, \underline{c}_6$  with  $|\underline{c}_1| > 9.40 \times 10^{39}$ . So Lemma 3.4 yields

$$\ell(\Gamma_1) > 2^{-5/2} \cdot 9.40 \times 10^{39} > 1.66 \times 10^{39}.$$

This is larger than  $\sqrt{(4 \cdot 6^2 + 5 \cdot 1^2)} \cdot X_0 = 1.64 \dots \times 10^{37}$ , so (5.11) holds with  $X_1 = X_0$ . By Lemma 5.3 we find

$$X < \log[10^{240} \cdot \sqrt{2/6} \cdot 1.35 \times 10^{36}] / \frac{1}{2} \cdot \log 2 < 1350.4,$$

so  $X \leq 1350$ . Next we choose  $C = 10^{32}$ ,  $\gamma = 1$ , and  $X_0 = 1350$ . The reduced basis of the corresponding lattice  $\Gamma_2$  was computed, and we found  $|\underline{c}_1| > 2.71 \times 10^5$ . Hence  $\ell(\Gamma_2) > 4.79 \times 10^4$ , which is larger than  $\sqrt{149} \cdot 1350 = 1.64 \dots \times 10^4$ . Hence Lemma 5.3 yields for all  $i = 1, \dots, 6$

$$|x_i| < \log[10^{32} \cdot \sqrt{2/6} \cdot 1350] / \frac{1}{2} \cdot \log p_i,$$

and it follows that

$$|x_1| \leq 187, \quad |x_2| \leq 118, \quad |x_3| \leq 80, \tag{5.15}$$

$$|x_4| \leq 66, \quad |x_5| \leq 54, \quad |x_6| \leq 50.$$

Next we choose  $C = 10^{12}$ ,  $\gamma = 10^4$ . We use Lemma 5.4 as follows. If  $|\lambda| > 10^6$  then (5.13) holds by (5.15), and Lemma 5.4 yields

$$|x_1| \leq 67, \quad |x_2| \leq 42, \quad |x_3| \leq 29, \tag{5.16}$$

$$|x_4| \leq 24, \quad |x_5| \leq 19, \quad |x_6| \leq 18.$$

All vectors in the corresponding lattice  $\Gamma_3$  satisfying (5.15) and  $|\lambda| < 10^6$  have been computed with the Fincke and Pohst algorithm, cf. Section 3.6. We omit details. We found that there exist only two such vectors, but they do not correspond to solutions of (5.1). Hence all solutions of (5.1) satisfy (5.16). Next, we choose  $C = 10^8$ ,  $\gamma = 10^4$ . If  $|\lambda| > 5 \times 10^5$  then Lemma 5.4 yields

$$\begin{aligned} |x_1| \leq 42, \quad |x_2| \leq 27, \quad |x_3| \leq 18, \\ |x_4| \leq 15, \quad |x_5| \leq 12, \quad |x_6| \leq 11. \end{aligned} \tag{5.17}$$

There are 143 vectors in the corresponding lattice  $\Gamma_4$  satisfying (5.16) and  $|\lambda| \leq 5 \times 10^5$ . Of them, 2 correspond to solutions of (4.1), namely those with

$$\begin{aligned} (x_1, \dots, x_6) &= (7, -5, 3, -9, -3, 8), \quad \lambda = 257674, \\ (x_1, \dots, x_6) &= (-10, 10, -6, 5, -6, 4), \quad \lambda = 144817. \end{aligned}$$

Both also satisfy (5.17). Hence all solutions of (5.1) satisfy (5.17). At this point it seems inefficient to choose appropriate parameters  $C$ ,  $\gamma$ , and a bound for  $|\lambda|$  to repeat the procedure with. But the bounds of (5.17) are small enough to admit enumeration. Doing so, we found the result.  $\square$

Remark. Theorems 5.2 and 5.5 find applications in solving other exponential diophantine equations, see Stroeker and Tijdeman [1982], Alex [1985<sup>a</sup>], [1985<sup>b</sup>], Tijdeman and Wang [1987], and Section 6.4 of this thesis.

Remark. The computation of the reduced basis of  $\Gamma_1$  took 113 sec, where we applied the  $L^3$ -algorithm as we described it in Section 3.5, in 12 steps. The direct search for the solutions of (5.17) took 228 sec. The remaining computations (computation of the  $\log p_i$  up to 250 decimal digits, of the reduced basis of  $\Gamma_2$ , and of the short vectors in  $\Gamma_3$  and  $\Gamma_4$ ) took 8 sec. Hence in total we used 349 sec.

## 5.5. Tables.



Table I. (Theorem 5.2(a)) : see p. 114-115.

Table II. (Theorem 5.2(b)) : see p. 116-117.

Table III. (Theorem 5.5).

$x_1$	$x_2$	$x_3$	$x_4$	$x_5$	$x_6$	$x$	$y$	$x-y$
-1	11	-1	0	6	0	17 71561	17 71470	91
0	4	5	1	-6	0	17 71875	17 71561	314
21	-2	-2	-1	-3	0	20 97152	20 96325	827
1	13	-1	-3	-1	-2	31 88646	31 88185	461
19	0	0	-8	1	0	57 67168	57 64801	2367
6	2	-1	1	6	3	88 58304	88 57805	499
-2	15	-1	-2	-4	0	143 48907	143 48180	727
11	-15	0	2	1	1	143 50336	143 48907	1429
1	8	-1	-8	0	3	288 29034	288 24005	5029
-22	5	1	-1	1	3	293 62905	293 60128	2777
13	1	3	-1	1	-6	337 92000	337 87663	4337
1	2	9	-4	-4	0	351 56250	351 53041	3209
3	3	0	4	2	7	627 52536	627 48517	4019
-26	1	0	5	3	0	671 10351	671 08864	1487
3	-13	10	-2	0	0	781 25000	781 21827	3173
8	-2	-10	4	1	1	878 95808	878 90625	5183
25	1	-4	0	5	0	1006 63296	1006 56875	6421
-6	1	-2	-6	0	7	1882 45551	1882 38400	7151
8	-13	0	3	-2	3	1929 14176	1929 13083	1093
1	-13	-3	7	2	0	1992 97406	1992 90375	7031
-4	-1	-4	1	-4	7	4392 39619	4392 30000	9619
-4	2	-11	2	6	0	7812 58401	7812 50000	8401
16	-3	5	1	-1	-6	14336 00000	14335 62273	37727
-8	8	0	-8	3	2	14758 24779	14757 89056	35723
-5	-2	-5	11	0	-3	19773 26743	19773 00000	26743
-25	7	1	0	-2	5	40600 88955	40600 86272	2683
2	0	13	-9	-2	0	48828 12500	48827 86447	26053
-14	19	-2	-4	1	-1	1 27848 76137	1 27848 44800	31337
-24	-1	-2	12	-1	0	1 38412 87201	1 38412 03200	84001
-5	5	10	0	1	-8	2 61035 15625	2 61033 83072	1 32553
2	-4	-9	3	7	-2	2 67363 98612	2 67363 28125	70487
18	7	0	-13	0	2	9 68892 08832	9 68890 10407	1 98425
7	-5	3	-9	-3	8	1305 16915 36000	1305 16881 72831	33 63169
-10	10	-6	5	-6	4	2834 49801 04623	2834 49760 00000	41 04623

Table I<sub>a</sub> (Theorem 5.2(a)).

$P_1$	$x_1$	$P_1^1$	$P_2$	$x_2$	$P_2^1$	$P_2^2$	delta
2	3	8	3	2		9	0.00000
3	3	27	5	2		25	0.21534
2	5	32	3	3		27	0.48832
5	3	125	11	2		121	0.28906
2	7	128	11	2		121	0.40575
2	7	128	5	3		125	0.22754
2	8	256	3	5		243	0.46694
7	3	343	19	2		361	0.49512
2	9	512	23	2		529	0.45416
3	7	2187	13	3		2197	0.29941
3	7	2187	47	2		2209	0.40194
13	3	2197	47	2		2209	0.32293
19	3	6859	83	2		6889	0.38504
31	3	29791	173	2		29929	0.47828
2	15	32768	181	2		32761	0.18716
13	7	627 48517	89	4		42241	0.48703
2	50	1 12589 99068 42624	47	9		0.85259	
7	18	1 62841 35979 10449	149	7	1 11913 04731 02767	0.80898	
19	12	2 21331 49190 66161	83	8	1 63043 64614 03549	0.88568	
2	51	2 25179 98136 85248	19	12	2 25229 22321 39041	0.88552	
2	51	2 25179 98136 85248	83	8	2 21331 49190 66161		
5	22	2 38418 57910 15625	157	7	2 25229 22321 39041	0.76159	
13	14	3 93737 63856 99289	89	8	2 35124 32775 37493	0.87942	
17	13	9 90457 80329 05937	193	7	3 93658 88057 02081	0.76282	
7	19	11 39889 51853 73143	197	7	9 97473 03260 05057	0.86560	
61	9	11 69414 60928 34141	197	7	11 51499 04768 98413	0.87594	
5	23	11 92092 89550 78125	61	9	11 51499 04768 98413	0.88743	
5	23	11 92092 89550 78125	29	11	11 69414 60928 34141	0.89343	
29	11	12 20050 97657 05829	199	7	12 20050 97657 05829	0.89862	
23	12	21 91462 44320 20321	43	10	12 35866 42791 61399	0.88268	
11	16	45 94972 98635 72161	71	9	21 61148 23132 84249	0.88656	
5	24	59 60464 47753 90625	73	9	45 84850 07184 49031	0.84059	
37	11	177 91762 17794 60413	53	10	58 87158 67082 67913	0.88642	
29	12	353 81478 32054 69041	89	9	174 88747 03655 13049	0.89785	
23	13	504 03636 19364 67383	163	8	350 35640 37074 85209	0.88568	
23	13	504 03636 19364 67383	59	10	498 31141 43181 21121	0.89040	
11	17	505 44702 84992 93771	163	8	511 11675 33006 41401	0.89536	
					498 31141 43181 21121	0.89580	

11	17	505	44702	84992	93771	23	13	504	03636	19364	67383	0.85578		
11	17	505	44702	84992	93771	59	10	511	11675	33006	41401	0.88985		
7	21	558	54586	40832	84007	41	11	550	32903	17162	48441	0.89708		
19	14	799	00668	57828	84121	31	12	787	66278	37885	49761	0.89710		
19	14	799	00668	57828	84121	173	8	802	35917	84760	91681	0.86722		
2	60	1152	92150	46068	46976	181	8	1151	93665	78235	00641	0.83013		
67	10	1822	83780	45517	61449	107	9	1838	45921	24201	54507	0.88680		
47	11	2472	15921	50840	12303	199	8	2459	37419	15531	18401	0.87580		
13	17	8650	41591	93813	37933	127	9	8594	75474	86093	97887	0.88441		
2	63	9223	37203	68547	75808	53	11	9269	03592	93721	91597	0.87844		
3	41	36472	99637	71707	86403	149	9	36197	31987	96201	91349	0.89170		
2	65	36893	48814	74191	03232	5	28	37252	90298	46191	40625	0.89721		
2	66	73786	97629	48382	06464	97	10	73742	41268	94928	26049	0.83799		
3	42	1	09418	98913	15123	59209	101	1	10462	21254	11204	51001	0.89916	
2	68	2	95147	90517	93528	25856	29	2	97558	23267	57994	63481	0.89800	
113	10	3	39456	73899	22223	14849	191	3	38298	68155	95733	17311	0.87990	
53	12	4	91258	90425	67261	54641	199	4	89415	46411	90705	61799	0.88284	
5	30	9	31322	57461	54785	15625	41	9	25103	10231	50136	29321	0.89638	
19	17	54	80386	85778	48021	85939	47	54	60999	70612	05831	77327	0.88730	
16	17	61	32610	41568	09986	48961	151	61	62677	95033	67185	14001	0.89400	
23	16	94	44732	96573	92904	27392	7	93	87480	33764	77543	05649	0.89920	
2	73	377	78931	86295	71617	09568	181	377	38596	84695	57044	99801	0.86840	
2	75	377	78931	86295	71617	09568	41	379	29227	19491	55588	02161	0.89368	
41	14	379	29227	19491	55588	02161	181	377	38596	84695	57044	99801	0.89828	
3	49	2392	99329	23061	75295	90083	17	2390	72435	68515	13248	47153	0.87071	
13	21	2470	64529	07345	03927	04413	89	2469	90403	56526	21403	03521	0.84941	
103	12	14257	60886	84617	89454	47841	157	14285	52404	46318	60195	25093	0.88788	
3	51	21536	93963	07555	77663	10747	163	21580	60662	62396	00904	07387	0.88933	
7	29	32199	05755	81317	97268	37607	13	32118	38877	95485	51051	57369	0.89390	
11	24	98497	32675	80761	10947	11841	61	98768	32533	36131	80951	12441	0.89755	
37	16	1	23375	11914	21716	63622	74241	1	23414	74201	97479	41888	22591	0.86078
2	84	1	93428	13113	83406	67952	98816	1	93813	41794	57931	33178	02199	0.89319
2	84	1	93428	13113	83406	67952	98816	1	93832	45667	68001	98967	96723	0.89402
3	53	1	93832	45667	68001	98967	96723	1	93813	41794	57931	33178	02199	0.84151
7	30	2	25393	40290	69225	80878	63249	2	25501	16774	16274	31786	82911	0.86903
2	90	123	79400	39285	38027	48991	24224	123	63541	71303	11583	51179	80561	0.89326
43	17	587	44031	06360	42001	88795	53643	587	32059	59385	49335	38673	30551	0.86709
2	99	63382	53001	14114	70074	83516	02688	63325	11891	36789	38604	32759	54593	0.89791
2	102	5	07060	24009	12917	60598	68128	5	07282	02989	53863	75247	83563	0.89060
13	28	15	50293	28026	62396	21526	95351	15	49673	14251	78936	43509	93277	0.89106

Table II. (Theorem 5.2(b)).

$P_1$	$x_1$	$P_1^N$	$P_2$	$x_2$	$P_2^N$	$P_3$	delta
2	3	8	3	2	9	9	.00000
3	3	27	5	2	25	25	0.21534
2	5	32	3	3	27	27	0.48832
2	5	32	6	2	36	36	0.40000
5	3	125	11	2	121	121	0.28906
2	7	128	11	2	121	121	0.40575
2	7	128	5	3	125	125	0.22754
6	3	216	15	2	225	225	0.40876
2	8	256	3	5	243	243	0.46694
7	3	343	19	2	361	361	0.49512
2	9	512	23	2	529	529	0.45416
2	10	1024	10	3	1000	1000	0.46007
6	4	1296	11	3	1331	1331	0.49607
12	3	1728	42	2	1764	1764	0.48070
2	11	2048	45	2	2025	2025	0.41184
3	7	2187	13	3	2197	2197	0.29941
3	7	2187	47	2	2209	2209	0.40194
13	3	2197	47	2	2209	2209	0.32293
15	4	50625	37	3	50653	50653	0.30762
6	7	2 79936	23	4	2 79841	2 79841	0.36309
2	50	1 12589 99068 42624	47	9	1 11913 04731 02767	1 11913 04731 02767	0.85259
2	50	1 12589 99068 42624	18	12	1 15683 13814 26176	1 15683 13814 26176	0.89628
24	11	1 52168 11431 69024	33	10	1 53157 89852 64449	1 53157 89852 64449	0.85597
15	13	1 94619 50683 59375	50	9	1 95312 50000 00000	1 95312 50000 00000	0.83986
2	51	2 25179 98136 85248	19	12	2 21331 49190 66161	2 21331 49190 66161	0.88532
6	20	3 65615 84400 62976	26	11	3 67034 44869 87776	3 67034 44869 87776	0.84507
11	15	4 17724 81694 15651	20	12	4 09600 00000 00000	4 09600 00000 00000	0.89095
28	11	8 29350 94674 71872	39	10	8 14040 60851 91601	8 14040 60851 91601	0.89154
10	16	10 00000 00000 00000	17	13	9 90457 80329 05937	9 90457 80329 05937	0.87396
5	23	11 92092 89550 78125	29	11	12 20050 97657 05829	12 20050 97657 05829	0.89862
2	54	18 01439 85094 81984	30	11	17 71470 00000 00000	17 71470 00000 00000	0.89096
23	12	21 91462 44320 20321	43	10	21 61148 23132 84249	21 61148 23132 84249	0.88656
6	21	21 93695 06403 77856	23	12	21 91462 44320 20321	21 91462 44320 20321	0.81690
6	21	21 93695 06403 77856	43	10	21 61148 23132 84249	21 61148 23132 84249	0.88845
2	55	36 02879 70189 63968	24	12	36 52034 74360 56576	36 52034 74360 56576	0.88735

19	13	42	05298	34622	57059	46	10	42	42074	74827	76576	0.87619				
3	35	50	03154	50989	99707	33	11	50	54210	65137	26817	0.88076				
15	15	51	18589	30140	90757	33	11	50	54210	65137	26817	0.88656				
26	12	95	42895	66616	82176	35	11	96	54915	73730	46875	0.88631				
35	11	96	54915	73730	46875	50	10	97	65625	00000	00000	0.88575				
14	15	155	56809	55578	12224	21	13	154	47237	77391	19461	0.87497				
11	17	505	44702	84992	93771	23	13	504	03636	19364	67383	0.85578				
7	21	558	54586	40832	84007	41	11	550	32903	17162	48441	0.89708				
6	23	789	73022	30536	02816	31	12	787	66278	37885	49761	0.85579				
9	23	789	73022	30536	02816	19	14	799	00668	57828	84121	0.89216				
19	14	799	00668	57828	84121	31	12	787	66278	37885	49761	0.89710				
26	13	2481	15287	32037	36576	47	11	2472	15921	50840	12303	0.86739				
13	13	6502	11142	24979	47648	37	12	6582	95200	58400	35281	0.89872				
28	13	6568	40835	57128	90625	28	12	6502	11142	24979	47648	0.89414				
15	16	6568	40835	57128	90625	37	12	6582	95200	58400	35281	0.85892				
2	65	36893	48814	74191	03232	5	28	37252	90298	46191	40625	0.89721				
37	13	2	43569	22421	60813	05397	50	2	44140	62500	00000	00000	0.87101			
68	2	2	95147	90517	93528	25856	29	2	97558	23267	57994	63481	0.89800			
11	20	6	72749	99493	25600	09201	40	13	6	71088	64000	00000	00000	0.87486		
5	30	9	31322	57461	54785	15625	41	13	9	25103	10231	50136	29321	0.89638		
35	14	41	39545	12236	93847	65625	46	13	41	29065	87698	35408	01536	0.87993		
19	17	54	80386	85778	48021	85939	47	13	54	60990	70612	05831	77327	0.88730		
6	28	61	40942	21446	48154	97216	23	16	61	32610	41568	09986	48961	0.86842		
2	73	94	44732	96573	92904	27392	7	26	93	87480	33764	77543	05649	0.89920		
20	17	131	07200	00000	00000	00000	38	14	130	90925	53986	67734	38464	0.86863		
2	74	188	89465	93147	85808	54784	39	14	188	32349	19413	17426	09041	0.88695		
2	75	377	78931	86295	71617	09568	41	14	379	29227	19491	55588	02161	0.89368		
3	49	2392	99329	23061	75295	90083	19	19	2390	72435	68515	13248	47153	0.87071		
15	20	3325	25673	00796	50878	90625	37	15	3334	46267	95181	53070	88493	0.89126		
19	19	19784	19655	66031	35891	23979	33	16	19779	85201	46255	88779	34081	0.84943		
7	29	32199	05755	81317	97268	37607	13	22	32118	38877	95485	51051	57369	0.89390		
2	84	1	93428	13113	83406	67952	98816	3	1	93832	45667	68001	98967	96723	0.89402	
7	30	2	25393	40290	69225	80878	63249	31	2	25501	16774	16274	31786	82911	0.86903	
11	25	10	83470	59433	88372	20418	30251	34	10	84280	35605	96593	23542	07744	0.87991	
14	23	22	95856	92886	98149	54822	20544	18	22	94682	51895	12940	71398	72768	0.87516	
23	20	171	61558	31334	58634	29238	95201	40	171	79869	18400	00000	00000	00000	0.89088	
6	35	171	90707	99748	42259	10286	58176	23	171	61558	31334	58634	29238	95201	0.89829	
6	35	171	90707	99748	42259	10286	58176	40	171	79869	18400	00000	00000	00000	0.88250	
15	25	25251	16829	40423	48861	69433	59375	43	18	25259	93335	73498	06081	18208	06649	0.88234

## CHAPTER 6. THE EQUATION $x + y = z$ IN $S$ -INTEGERS.

The results of this chapter have been published in de Weger [1987<sup>a</sup>].

### 6.1. Introduction.

Let  $S$  be the set of all positive integers composed of primes from a fixed finite set  $\{p_1, \dots, p_s\}$ , where  $s \geq 3$ . This chapter is devoted to the diophantine equation

$$x + y = z \tag{6.1}$$

in  $x, y, z \in S$ . Without loss of generality we may assume that  $x, y, z$  are relatively prime. For any  $a \in S$  we define

$$m(a) = \max_{1 \leq i \leq s} \text{ord}_{p_i}(a).$$

It was proved by Mahler [1933] that (6.1) has only finitely many solutions, but his proof is ineffective. An effective version, i.e. an effectively computable upper bound for  $m(x \cdot y \cdot z)$  for the solutions  $x, y, z$  of (6.1), can be derived from the results of Coates [1969], [1970] and Sprindžuk [1969], since (6.1) can be reduced to a finite number of Thue equations. See also Chapter 1 of Shorey and Tijdeman [1986].

We derive an explicit upper bound in Section 6.2. Section 6.3 is devoted to some details of the  $p$ -adic approximation lattices on which the reduction method of Sections 6.4 and 6.5 are based. In Section 6.4 we give a method of solving (6.1) in the one-dimensional case  $s = 3$ . This method is based on the reduction procedure given in Section 3.10. As an example we find all the solutions of the slightly more general equation  $x \pm y = w \cdot z$ , where  $x, y, z$  are powers of 2, 3 or 5, and  $w \in \mathbb{Z}$ ,  $|w| \leq 1000000$ ,  $(w, z) = 1$ . In Section 6.5 we give a procedure for solving (6.1) in the multi-dimensional case  $s \geq 4$ , based on the reduction procedure described in Section 3.11. We work out the example  $\{p_1, \dots, p_6\} = \{2, 3, 5, 7, 11, 13\}$ , and actually determine all the solutions. This generalizes the result of Alex [1976], who

gave a complete solution of (6.1) for the case  $\{ p_1, \dots, p_4 \} = \{ 2, 3, 5, 7 \}$  by elementary arguments. See also Rumsey and Posner [1964] and Brenner and Foster [1982]. We conclude in Section 6.6 with some remarks on the Oesterlé-Masser conjecture, also known as the 'abc'-conjecture, which is related to equation (6.1). In particular, our method of solving (6.1) leads to a method of finding examples that are of interest with respect to the abc-conjecture. Finally, we give tables in Section 6.7.

## 6.2. Upper bounds.

We give in this section an upper bound for the solutions of (6.1), based on lemma 2.6 (cf. Yu [1987<sup>a</sup>]). Note that in our paper de Weger [1987<sup>a</sup>] we used the result of van der Poorten [1977] instead of Yu's.

We introduce a lot of notation. Assume that  $p_1 < \dots < p_s$ . Let  $q_i$  be the smallest prime with  $q_i \nmid p_i \cdot (p_i - 1)$  for  $i = 1, \dots, s$ . Put

$$t = [2 \cdot s / 3], \quad P = \prod_{i=1}^s p_i, \quad q = \max_i q_i,$$

$$C_1(2, t) \text{ and } a_1 \text{ as in lemma 2.6 with } n = t,$$

$$U = C_1(2, t) \cdot a_1^t \cdot t^{t+5/2} \cdot q^{2 \cdot t} \cdot (q-1) \cdot \log^2(t \cdot q) \cdot \max_i \frac{(p_i-1) \cdot \left(2 + \frac{1}{p_i-1}\right)^t}{(\log p_i)^{t+2}} \cdot (\log p_s)^t \cdot \left[ \log(4 \cdot \log p_s) + \frac{\log p_s}{8 \cdot t} \right],$$

$$C_1 = U / 6 \cdot t, \quad C_2 = U \cdot \log 4,$$

$$V_i = \max(1, \log p_i) \text{ for } i = s-t+1, \dots, s, \quad \Omega = \prod_{i=s-t+1}^s V_i,$$

$$C_3 = 2^{9 \cdot t + 26} \cdot t^{t+4} \cdot \Omega \cdot \log(e \cdot V_{s-1}),$$

$$C_4 = \max \left[ 7.4, (C_1 \cdot \log(P/p_1) + C_3) / \log p_1 \right],$$

$$C_5 = (C_2 \cdot \log(P/p_1) + C_3 \cdot \log(e \cdot V_s) + 0.327) / \log p_1,$$

$$C_6 = \max \left[ C_5, (C_2 \cdot \log(P/p_1) + \log 2) / \log p_1 \right],$$

$$C_7 = 2 \cdot (C_6 + C_4 \cdot \log C_4),$$

$$C_8 = \max \left[ p_s, \log \left[ 2 \cdot (P/p_1)^{p_s} \right] / \log p_1, C_2 + C_1 \cdot \log C_7, C_7 \right] .$$

Now we state the main result.

**THEOREM 6.1.** *The solutions of (6.1) satisfy  $m(x \cdot y \cdot z) \leq C_8$ .*

Proof. If we consider instead of (6.1) the equivalent equation

$$x \pm y = z \tag{6.2}$$

then we may assume that  $x \cdot y$  has at most  $t$  prime divisors,  $p_{i_1}, \dots, p_{i_t}$  say. Suppose first that  $m(x \cdot y) \leq p_s$ . Then

$$p_1^{m(z)} \leq z \leq 2 \cdot \max(x, y) < 2 \cdot (P/p_1)^{p_s} ,$$

hence

$$m(x \cdot y \cdot z) < \max \left[ p_s, \log \left[ 2 \cdot (P/p_1)^{p_s} \right] / \log p_1 \right] \leq C_8 .$$

Next suppose that  $m(x \cdot y) \geq p_s$  and  $m(z) \geq 2$ . Then for some  $p = p_{i_1}$ ,

$$m(z) = \text{ord}_p(z) = \text{ord}_p \left( \frac{x}{y} - 1 \right) = \text{ord}_p \left( \log_p \left( \frac{x}{y} \right) \right) .$$

Put  $x/y = \prod_{j=1}^t p_{i_j}^{x_{i_j}}$ . Then  $m(x \cdot y) = \max_{1 \leq j \leq t} |x_{i_j}|$ . We apply Lemma 2.6 (Yu's lemma) with  $n = t$ ,  $B_0 = B_n = B' = B = m(x \cdot y)$ . Since  $m(x \cdot y) \geq p_s$  and  $t \geq 2$  we have

$$W = \max \left( \log \left( 1 + \frac{3}{4 \cdot t} \cdot B \right), \log B, \log p \right) = \log B .$$

Note that  $C_1(p, n)$  is maximal for  $p = 2$ . We obtain

$$m(z) < C_1 \cdot \log m(x \cdot y) + C_2 . \tag{6.3}$$

Obviously (6.3) is also true if  $m(z) < 2$ . If in (6.2) the plus sign holds, then

$$(P/p_1)^{m(z)} \geq z > \max(x, y) \geq p_1^{m(x \cdot y)} .$$

By (6.3) and  $C_3 > 0$  it then follows that



$$m(x \cdot y) < C_4 \cdot \log m(x \cdot y) + C_6 . \quad (6.4)$$

Next suppose that in (6.2) the minus sign holds. Then we apply Lemma 2.4 to prove (6.4) for this case, as follows. Suppose (6.4) is false. Then

$$\left| \frac{y}{x} - 1 \right| = \frac{z}{x} = \frac{z}{\max(x, y)} \leq \frac{(P/p_1)^{m(z)}}{p_1^{m(x \cdot y)}} < \frac{(P/p_1)^{C_1 \cdot \log m(x \cdot y) + C_2}}{p_1^{C_4 \cdot \log m(x \cdot y) + C_6}} ,$$

which is less than  $\frac{1}{2}$ , by the definition of  $C_4$  and  $C_6$ . Hence

$$\left| \log \frac{y}{x} \right| < (2 \cdot \log 2) \cdot \left| \frac{y}{x} - 1 \right| < (2 \cdot \log 2) \cdot \frac{(P/p_1)^{C_1 \cdot \log m(x \cdot y) + C_2}}{p_1^{m(x \cdot y)}} .$$

On the other hand, Lemma 2.4 yields

$$\left| \log \frac{y}{x} \right| > \exp \left[ -C_3 \cdot (\log m(x \cdot y) + \log(e \cdot V_s)) \right] .$$

Thus we obtain

$$\begin{aligned} m(x \cdot y) \cdot \log p_1 &< \log(2 \cdot \log 2) + (C_1 \cdot \log m(x \cdot y) + C_2) \cdot \log(P/p_1) \\ &+ C_3 \cdot (\log m(x \cdot y) + \log(e \cdot V_s)) \leq (\log p_1) \cdot (C_4 \cdot \log m(x \cdot y) + C_6) . \end{aligned}$$

This contradicts our assumption that (6.4) is false. Consequently (6.4) is true in all cases. Now, by  $C_4 > e^2$ , Lemma 2.1 yields  $m(x \cdot y) < C_7$ , and (6.3) then yields  $m(x \cdot y \cdot z) < C_8$ .  $\square$

Examples. If  $s = 3$ ,  $\{ p_1, p_2, p_3 \} = \{ 2, 3, 5 \}$  then  $C_8 < 3.98 \times 10^{17}$ .  
If  $s = 6$ ,  $\{ p_1, \dots, p_6 \} = \{ 2, 3, 5, 7, 11, 13 \}$  then  $C_8 < 5.60 \times 10^{27}$ .

### 6.3. The $p$ -adic approximation lattices.

As in the proof of Theorem 6.1 we consider (6.2) instead of (6.1). Let  $p$  be any of the primes  $p_1, \dots, p_s$ . We may assume that  $p \nmid x \cdot y$ . Rename the other primes as  $p_0, \dots, p_{s-2}$ , such that  $\text{ord}_p(\log_p(p_0))$  is minimal. For  $i = 1, \dots, s-2$  put (cf. Section 3.11)

$$\vartheta_i = -\log_p(p_i) / \log_p(p_0) = \sum_{\ell=0}^{\infty} u_{i,\ell} \cdot p^\ell ,$$

where  $u_{i,\ell} \in \{0, 1, \dots, p-1\}$ . The  $\vartheta_i$  take the place of the  $\vartheta'_i$  of Section 3.11. Then it is clear from Section 3.11 how to define the p-adic approximation lattices  $\Gamma_\mu$  for  $\mu \in \mathbb{N}_0$ . Put

$$\Lambda = \sum_{i=1}^{s-2} x_i \cdot \vartheta_i - x_0 .$$

Then Lemma 3.13 yields

$$\begin{aligned} \Gamma_\mu &= \{ (x_1, \dots, x_{s-2}, x_0) \mid |\Lambda|_p \leq p^{-\mu} \} \\ &= \{ (x_1, \dots, x_{s-2}, x_0) \mid \left| \log_p \left( \prod_{i=0}^{s-2} p_i^{x_i} \right) \right|_p \leq p^{-(\mu+\mu_0)} \} , \end{aligned}$$

where  $\mu_0 = \text{ord}_p(\log_p(p_0))$ . In Section 3.13 we studied the set

$$\Gamma_\mu^* = \{ (x_1, \dots, x_{s-2}, x_0) \mid \left| \prod_{i=0}^{s-2} p_i^{x_i} \pm 1 \right|_p \leq p^{-(\mu+\mu_0)} \} ,$$

which is a sublattice of  $\Gamma_\mu$ . In Lemma 3.17 we showed how a basis of  $\Gamma_\mu^*$  can be found from a basis of  $\Gamma_\mu$ . In practice this is very easy, especially if for  $p \geq 5$  it happens to be possible to choose  $p_0$  such that not only  $\text{ord}_p(\log_p(p_0))$  is minimal, but also  $p_0$  is a primitive root (mod  $p$ ). Then, using the notation of Lemma 3.17 (with  $\underline{b}_0$  as the last element of the basis), choose  $\zeta \equiv p_0 \pmod{p}$ . Then  $k(\underline{b}_i) = 1$ , and it follows that  $\underline{b}'_i = \underline{b}_i$  for  $i = 1, \dots, s-2$ . By  $\underline{b}_i = (0, \dots, 1, \dots, 0, \vartheta_i^{(\mu)})^T$  we have

$$p_i \cdot p_0^{\vartheta_i^{(\mu)}} \equiv \zeta^{k(\underline{b}_i)} \pmod{p^{\mu+\mu_0}} .$$

If  $p_i \equiv p_0^{\alpha_i} \pmod{p}$ , then it follows that

$$\begin{aligned} \gamma_i^* &= \alpha_i + \vartheta_i^{(\mu)} \equiv \alpha_i + \sum_{\ell=0}^{\mu-1} u_{i,\ell} \pmod{(p-1)/2} \quad \text{for } i = 1, \dots, s-2 , \\ \gamma_0^* &= (p-1)/2 . \end{aligned}$$

Lemma 3.14 (with  $c_1 = 0, c_2 = 1$ ) now yields: if

$$\ell(\Gamma_\mu^*) > \surd(s-1) \cdot X_1 \tag{6.5}$$

then (6.2) has no solutions with

$$\mu + \mu_0 \leq \text{ord}_p(z) \leq m(x \cdot y \cdot z) \leq X_1 . \tag{6.6}$$

6.4. Reducing the upper bounds in the one-dimensional case.

In Section 3.10 we have described how an upper bound for the solutions of (6.1) in the case  $s = 3$  can be reduced. We shall apply that method in this section to the following problem.

THEOREM 6.2. *The diophantine equation*

$$x \pm y = w \cdot z, \tag{6.7}$$

where  $x = p_0^{x_0}$ ,  $y = p_1^{x_1}$ ,  $z = p^u$ ,  $(p, p_0, p_1) = (2, 3, 5), (3, 2, 5)$  or  $(5, 2, 3)$ ,  $x_0, x_1, u \in \mathbb{N}_0$ ,  $w \in \mathbb{Z}$ ,  $|w| \leq 10^6$ , and  $p \nmid w$ , has exactly 291 solutions for  $p = 2$ , 412 solutions for  $p = 3$ , and 570 solutions for  $p = 5$ . In Table I all solutions with  $u \geq 3$  are given. The solutions with  $u \leq 2$  satisfy  $x_0 \leq 14, x_1 \leq 9$  for  $p = 2$ ,  $x_0 \leq 23, x_1 \leq 10$  for  $p = 3$ , and  $x_0 \leq 25, x_1 \leq 15$  for  $p = 5$ .

Remark. It is easy to find all solutions of (6.7) with  $u \leq 2$ . The Tables are presented in Section 6.7.

Proof. Put  $X = \max_{p=2,3,5} \text{ord}_p(x \cdot y \cdot z)$ . The example at the end of Section 6.2 shows that in the case  $|w| = 1$  we have  $X < 3.98 \times 10^{17}$ . It can be checked without difficulties that the effect of the  $w$  with  $|w| \leq 10^6$  in the proof of Theorem 6.1 can be neglected (it disappears in the rounding off), so that for the solutions of (6.7) also  $X < X_0 = 3.98 \times 10^{17}$  holds. Put

$$x/y = p_0^{y_0} \cdot p_1^{y_1}, \quad \vartheta = -\log_p(p_1)/\log_p(p_0).$$

Note that  $\vartheta$  is a  $p$ -adic integer. Define the lattices  $\Gamma_\mu, \Gamma_\mu^*$  as in Section 6.3, so  $\Gamma_\mu$  is generated by

$$\underline{b}_1 = \begin{bmatrix} 1 \\ \vartheta^{(\mu)} \end{bmatrix}, \quad \underline{b}_0 = \begin{bmatrix} 0 \\ p^\mu \end{bmatrix}.$$

For  $p = 2, 3$  we have  $\Gamma_\mu^* = \Gamma_\mu$ , and for  $p = 5$  a basis of  $\Gamma_\mu^*$  is

$$\underline{b}_1^* = \underline{b}_1 - \gamma \cdot \underline{b}_0, \quad \underline{b}_0^* = 2 \cdot \underline{b}_0,$$

where  $\gamma = 0$  if  $\vartheta^{(\mu)}$  is odd,  $\gamma = 1$  if  $\vartheta^{(\mu)}$  is even. Using the algorithm given in Section 3.10, Fig. 3, we can compute a basis  $\underline{c}_1, \underline{c}_2$  of  $\Gamma_\mu^*$  that is reduced in the sense that  $|\underline{c}_1| = \ell(\Gamma_\mu^*)$ . We did so, with  $\mu$  as

in the following table.

P	P <sub>0</sub>	P <sub>1</sub>	μ <sub>0</sub>	μ	γ	ε <sub>1</sub>   >	u ≤	W	y <sub>0</sub>   ≤	y <sub>1</sub>   ≤
2	3	5	2	143		2.68×10 <sup>21</sup>	144	10 <sup>6</sup> ·2 <sup>144</sup>	114	78
3	2	5	1	91		2.32×10 <sup>21</sup>	91	10 <sup>6</sup> ·3 <sup>91</sup>	182	78
5	2	3	1	65	0	5.28×10 <sup>22</sup>	65	10 <sup>6</sup> ·5 <sup>65</sup>	189	119

The values of  $\vartheta(\mu)$  can be found in Table III. Making an exception to our policy, we give the reduced bases of the  $\Gamma_{\mu}^*$  below.

$$p = 2 : \quad \varepsilon_1 = \begin{pmatrix} 10 & 00000 & 00100 & 10001 & 10110 & 01110 & 01101 \\ 00001 & 11101 & 00101 & 00100 & 11100 & 01111 & 11010 & 00011 \\ -1 & 00010 & 00110 & 01000 & 01011 & 01110 & 00010 \\ 00101 & 11000 & 00000 & 11100 & 01111 & 01011 & 10111 & 00001 \end{pmatrix},$$

$$\varepsilon_2 = \begin{pmatrix} 10 & 11011 & 10000 & 01011 & 01101 & 11000 & 00111 \\ 11001 & 10100 & 11011 & 00000 & 11111 & 10110 & 10110 & 00001 \\ 10 & 01110 & 11101 & 10111 & 11000 & 00100 & 10101 \\ 00111 & 00001 & 10101 & 00110 & 10011 & 00111 & 00101 & 10101 \end{pmatrix},$$

$$p = 3 : \quad \varepsilon_1 = \begin{pmatrix} -102 & 01121 & 02221 & 00210 & 12120 & 20020 & 22222 & 10212 & 20222 \\ 21002 & 00122 & 21100 & 11102 & 22102 & 20001 & 11222 & 02212 & 21011 \end{pmatrix},$$

$$\varepsilon_2 = \begin{pmatrix} -10 & 12210 & 12111 & 01102 & 02010 & 12112 & 12210 & 21122 & 21011 & 20102 \\ -2 & 22021 & 11012 & 01000 & 12021 & 00211 & 12221 & 22121 & 21220 & 12122 \end{pmatrix},$$

$$p = 5 : \quad \varepsilon_1 = \begin{pmatrix} -211 & 32230 & 21042 & 22023 & 30141 & 33034 & 21420 \\ -22104 & 43102 & 43111 & 03114 & 30134 & 23410 \end{pmatrix},$$

$$\varepsilon_2 = \begin{pmatrix} 340 & 34003 & 02404 & 12120 & 03412 & 22030 & 32211 \\ -414 & 20001 & 42202 & 42210 & 34043 & 20120 & 00432 \end{pmatrix}.$$

From this we found the lower bounds for  $|\varepsilon_1|$  given above. They are all larger than  $\sqrt{2} \cdot 3.98 \times 10^{17}$ . Hence (6.5) holds for  $X_1 = X_0$ , and then we infer from (6.6) that  $u \leq \mu + \mu_0 - 1$ , and  $|w| \cdot z \leq W$  as shown in the table above. We now find the new upper bounds for  $|y_0|$ ,  $|y_1|$  as follows. If in (6.7) the minus sign holds, then, on supposing that  $\min(x,y) > W^{10/9}$ , we infer

$$|x - y| = |w| \cdot z \leq W < \min(x, y)^{0.9}.$$

By Theorem 5.2(a), the inequality  $|x - y| < \min(x, y)^{0.9}$  has no solutions with  $\min(x, y) > W$ , since  $W > 10^{49}$ . Hence  $\min(x, y) \leq W^{10/9}$ , and we infer

$$\max(x, y) \leq \min(x, y) + |w| \cdot z \leq W^{10/9} + W.$$

If in (6.7) the plussign holds, then this inequality follows at once. So now the bounds given in the above table for  $|y_0|, |y_1|$  follow from

$$|y_1| \cdot \log p_i \leq \log \max(x, y) \leq \log(W^{10/9} + W).$$

We repeat the procedure with  $\mu$  as in the following table.

p	$\mu$	$\gamma$	$ \underline{c}_1  >$	$\sqrt{2} \cdot X_0 <$	$u \leq$	$W$	$ y_0  \leq$	$ y_1  \leq$
2	16		167.7	161.3	17	$10^6 \cdot 2^{17}$	31	21
3	13		535.8	257.4	13	$10^6 \cdot 3^{13}$	49	21
5	7	1	276.1	267.3	7	$10^6 \cdot 5^7$	49	31

The numbers are now so small that the computations can be performed by hand. For example, for  $p = 5$ , the lattice  $\Gamma_7^*$  is generated by

$$\underline{b}_1^* = \begin{bmatrix} 1 \\ -45607 \end{bmatrix}, \quad \underline{b}_0^* = \begin{bmatrix} 0 \\ 156250 \end{bmatrix},$$

and a reduced basis is

$$\underline{c}_1 = \begin{bmatrix} 185 \\ 205 \end{bmatrix}, \quad \underline{c}_0 = \begin{bmatrix} -394 \\ 408 \end{bmatrix}.$$

We find upper bounds for  $u$  and  $W$  as given in the above table. In all three cases,  $W^{10/9} < 10^{15}$ . On supposing  $\min(x, y) > 10^{15}$  we infer

$$|x - y| = |w| \cdot z \leq W < 10^{15 \cdot 0.9} \leq \min(x, y)^{0.9}.$$

By Theorem 5.2(a) we see that the inequality  $|x - y| < \min(x, y)^{0.9}$  has only two solutions:  $(x, y) = (2^{65}, 5^{28}), (2^{84}, 3^{53})$ . However, both have  $|x - y| > 10^{15 \cdot 0.9}$ . So we infer  $\min(x, y) \leq 10^{15}$ , hence by  $\max(x, y) \leq 10^{15} + W$  we obtain the bounds for  $|y_0|, |y_1|$  as given above. These bounds are small enough to admit enumeration of the remaining cases.  $\square$

Remark. The computer calculations for the above proof took less than 1 sec.

6.5. Reducing the upper bounds in the multi-dimensional case.

In Section 3.11 we have described how an upper bound for the solutions of (6.1) in the case  $s \geq 3$  can be reduced. We shall apply that method in this section to the following problem.

THEOREM 6.3. *The diophantine equation*

$$x + y = z \tag{6.8}$$

in  $x, y, z \in S = \{ 2^{x_1} \dots 13^{x_6} \mid x_i \in \mathbb{N}_0 \text{ for } i = 1, \dots, 6 \}$  with  $(x, y) = 1$  and  $x \leq y$  has exactly 545 solutions. Of them, 514 satisfy

$$\text{ord}_2(x \cdot y \cdot z) \leq 12, \quad \text{ord}_3(x \cdot y \cdot z) \leq 7, \quad \text{ord}_5(x \cdot y \cdot z) \leq 5,$$

$$\text{ord}_7(x \cdot y \cdot z) \leq 4, \quad \text{ord}_{11}(x \cdot y \cdot z) \leq 3, \quad \text{ord}_{13}(x \cdot y \cdot z) \leq 4.$$

The remaining 31 solutions are given in Table II.

Remark. From Theorem 6.3 it is not much effort to find all 545 solutions of (6.8).

Proof. In the example at the end of Section 6.2 we have seen that  $m(x \cdot y \cdot z) < X_0 = 5.60 \times 10^{27}$ . With the notation of Section 6.3 we choose the following parameters.

p	p <sub>0</sub>	p <sub>1</sub>	p <sub>2</sub>	p <sub>3</sub>	p <sub>4</sub>	μ <sub>0</sub>	μ	γ <sub>0</sub> *	γ <sub>1</sub> *	γ <sub>2</sub> *	γ <sub>3</sub> *	γ <sub>4</sub> *
2	3	5	7	11	13	2	605					
3	2	5	7	11	13	1	385					
5	2	3	7	11	13	1	275	2	0	1	1	1
7	3	2	5	11	13	1	220	3	0	1	1	0
11	2	3	5	7	13	1	165	5	-2	0	1	1
13	2	3	5	7	11	1	165	6	2	1	2	3

We computed the six values of the  $\vartheta_i^{(\mu)}$  for  $i = 1, 2, 3, 4$  (and give them in Table III), and the reduced bases of the six lattices  $\Gamma_\mu^*$ , by the  $L^3$ -algorithm. Thus we obtained:

p	$\ell(\Gamma_\mu^*) \geq  \underline{\varepsilon}_1 /4 >$	$\text{ord}_p(x \cdot y \cdot z) \leq$
2	$4.70 \times 10^{35}$	606
3	$1.15 \times 10^{36}$	385
5	$6.27 \times 10^{37}$	275
7	$3.17 \times 10^{36}$	220
11	$5.74 \times 10^{33}$	165
13	$1.73 \times 10^{36}$	165

These lower bounds for  $\ell(\Gamma_\mu^*)$  are all larger than  $\sqrt{5} \cdot 5.60 \times 10^{27}$  (note that we have a very large margin here, we could have taken the  $\mu$ 's probably about 20% smaller). So we apply Lemma 3.14 for  $X_1 = X_0 = 5.60 \times 10^{27}$ . For every p we thus find  $\text{ord}_p(z) \leq \mu + \mu_0$ . Since equation (6.2) is invariant under permutations of x, y, z, we even have  $\text{ord}_p(x \cdot y \cdot z) \leq \mu + \mu_0$ , as shown in the above table. Hence  $m(x \cdot y \cdot z) \leq 606$ .

We repeated the procedure with  $X_0 = 606$  and  $\mu$  as in the following table. After computing the reduced bases of the six lattices  $\Gamma_\mu^*$  we found the following data. Note that in all cases  $\ell(\Gamma_\mu^*) \geq \sqrt{5} \cdot 606$ .

p	$\mu$	$\gamma_0^*$	$\gamma_1^*$	$\gamma_2^*$	$\gamma_3^*$	$\gamma_4^*$	$\ell(\Gamma_\mu^*) >$	$\text{ord}_p(x \cdot y \cdot z) \leq$
2	66						1909	67
3	42						2304	42
5	30	2	0	0	1	1	3417	30
7	24	3	1	0	-1	1	2391	24
11	18	5	0	2	-2	1	1443	18
13	18	6	0	-1	-1	2	3196	18

Hence  $m(x \cdot y \cdot z) \leq 67$ . Next, we repeated the procedure with  $X_0 = 67$ , and  $\mu$  as in the following table. We found

p	$\mu$	$\gamma_0^*$	$\gamma_1^*$	$\gamma_2^*$	$\gamma_3^*$	$\gamma_4^*$	$\ell(\Gamma_\mu^*) >$	$\text{ord}_p(x \cdot y \cdot z) \leq$
2	55						364	56
3	35						301	35
5	25	2	1	1	1	0	622	25
7	20	3	1	-1	1	0	693	20
11	15	5	1	2	-2	-2	192	15
13	15	6	1	0	3	2	658	15

Hence  $m(x \cdot y \cdot z) \leq 56$ .

To find the solutions of (6.2) with  $\text{ord}_p(x \cdot y \cdot z)$  below the bounds given in the above table, we followed the following procedure. Suppose that we are at a certain moment interested in finding the solutions with  $\text{ord}_p(x \cdot y \cdot z) \leq f(p)$  where  $f(p)$  is given for  $p = 2, \dots, 13$ . Choose  $p$ , and  $\mu < f(p) - \mu_0$ , and consider the lattice  $\Gamma_\mu^*$  for these values of  $p, \mu$ . If a solution  $x, y, z$  of (6.2) exists with  $\text{ord}_p(z) \geq \mu + \mu_0$ , then the vector  $(x_1, \dots, x_4, x_0)^T$  with  $x_i = \text{ord}_{p_i}(x/y)$  for  $i = 0, \dots, 4$ , is in the lattice. Its length is bounded by  $\sqrt{f(p_0)^2 + \dots + f(p_4)^2}$ . All vectors in  $\Gamma_\mu^*$  with length below this bound can be computed by the algorithm of Fincke and Pohst, as given in Section 3.6. Then all solutions of (6.2) corresponding to lattice points can be selected. Then we replace  $f(p)$  by  $\mu + \mu_0 - 1$ , and we repeat the procedure for newly chosen  $p, \mu$ .

We performed this procedure, starting with the bounds for  $\text{ord}_p(x \cdot y \cdot z)$  given in the above table for  $f(p)$ , and with  $p, m$  as in the table on the next page. Here, # stands for the number of solutions of (5.2) found at that stage. At the end we have  $f(2) = 4$ ,  $f(p) = 1$  for  $p = 3, \dots, 13$ . The remaining solutions can be found by hand.  $\square$

Remark. Theorems 6.2 and 6.3 have applications in group theory (cf. Alex [1976]). We use Theorem 6.3 in Section 7.2.

Remark. The computer calculations for the proof of Theorem 6.3 took 438 sec., of which 412 were used for the first reduction step. In this first step we applied the  $L^3$ -algorithm in 11 steps (cf. Section 3.5), which cost on average about 60 sec. per lattice. The remaining 50 sec. were mainly used for the computation of the 24  $\vartheta_i^{(\mu)}$ 's.

### 6.6. Examples related to the abc-conjecture.

Let  $x, y, z$  be positive integers. Put

$$G = \prod_{\substack{p|xyz \\ p \text{ prime}}} p.$$

For all  $x, y, z$  with  $(x, y) = 1$  and  $x + y = z$  we define

$$c(x, y, z) = \log z / \log G.$$

Recently, Oesterlé posed the problem to decide whether there exists an



p	m	#	p	m	#	p	m	#
2	44	-	2	13	1	2	10	2
3	28	-	2	12	2	2	9	3
5	20	-	2	11	2	2	8	6
7	16	-	3	13	-	2	7	15
11	12	-	3	12	-	2	6	16
13	12	-	3	11	-	2	5	26
2	33	-	3	10	1	2	4	31
3	21	-	3	9	1	2	3	44
5	15	-	3	8	1	3	6	5
7	12	-	3	7	6	3	5	8
11	9	-	5	9	-	3	4	16
13	9	-	5	8	-	3	3	35
2	22	-	5	7	-	3	2	54
3	14	-	5	6	-	3	1	87
5	10	-	5	5	6	5	4	1
7	8	-	7	7	-	5	3	5
11	6	-	7	6	-	5	2	18
13	6	-	7	5	1	5	1	36
2	21	-	7	4	4	7	3	-
2	20	-	11	5	-	7	2	6
2	19	-	11	4	1	7	1	18
2	18	-	11	3	4	11	2	1
2	17	-	13	5	-	11	1	8
2	16	-	13	4	-	13	2	-
2	15	-	13	3	1	13	1	4
2	14	-						

absolute constant  $C$  such that  $c(x,y,z) < C$  for all  $x, y, z$ . Masser conjectured the stronger assertion that  $c(x,y,z) < 1 + \epsilon$ , when  $z$  exceeds some bound depending on  $\epsilon$  only, for all  $\epsilon > 0$ . For a survey of related results and conjectures, see Stewart and Tijdeman [1986] and Vojta [1987].

It might be interesting to have some empirical results on  $c(x,y,z)$ , and to search for  $x, y, z$  for which it is large. From the preceding sections it may be clear that such  $x, y, z$  correspond to relatively short vectors in appropriate  $p$ -adic approximation lattices.

As a byproduct of the proofs of Theorems 5.5 and 6.3 we computed the value of  $c(x,y,z)$  , corresponding to many short vectors that we came across in performing the algorithm of Fincke and Pohst. All examples that we found with  $c(x,y,z) \geq 1.4$  are listed below. Our search was rather unsystematic, so we do not guarantee that this list is complete in any sense. The largest value for  $c(x,y,z)$  that occurred is 1.626 , which was reached by

$$x = 11^2 = 121, y = 3^2 \cdot 5^6 \cdot 7^3 = 48234375, z = 2^{21} \cdot 23 = 48234496 .$$

This example was found on September 20, 1985, and has not yet been beaten, to the author's knowledge.

x	y	z	$c(x,y,z)$
$11^2$	$3^2 \cdot 5^6 \cdot 7^3$	$2^{21} \cdot 23$	1.62599
1	$2 \cdot 3^7$	$5^4 \cdot 7$	1.56789
$7^3$	$3^{10}$	$2^{11} \cdot 29$	1.54708
$5^2 \cdot 7937$	$7^{13}$	$2^{18} \cdot 3^7 \cdot 13^2$	1.49762
$11^2$	$3^9 \cdot 13$	$2^{11} \cdot 5^3$	1.48887
37	$2^{15}$	$3^8 \cdot 5$	1.48291
$2^7 \cdot 5^2$	$7^6 \cdot 41$	$13^6$	1.46192
1	$2^5 \cdot 3 \cdot 5^2$	$7^4$	1.45567
$2^{19} \cdot 13 \cdot 103$	$7^{11}$	$3^{11} \cdot 5^3 \cdot 11^2$	1.45261
1	$2^{12} \cdot 5^3$	$3^5 \cdot 7^2 \cdot 43$	1.44331
1	$2^4 \cdot 3^7 \cdot 547$	$5^8 \cdot 7^2$	1.43906
$2^{10} \cdot 7$	$5^7$	$3^8 \cdot 13$	1.43501
3	$5^3$	$2^7$	1.42657
5	$3^{11}$	$2^{10} \cdot 173$	1.41268

These results do not seem to yield any heuristical evidence for the truth or falsity of the abc-conjecture.

**6.7. Tables.**

Table I. (Theorem 6.2.)

$$p = 2, p_0 = 3, p_1 = 5$$

$N_0$	$p_0^{N_0}$	$N_1$	$p_1^{N_1}$	sign	$u$	$w$
2	9	10	9765625	-1	4	-610351
10	59049	10	9765625	-1	4	-606661
4	81	12	244140625	-1	9	-476837
6	729	10	9765625	-1	5	-305153
2	9	8	390625	-1	3	-48827
6	729	8	390625	-1	3	-48737
10	59049	8	390625	-1	3	-41447
14	4782969	10	9765625	-1	7	-38927
4	81	8	390625	-1	4	-24409
0	1	8	390625	-1	5	-12207
8	6561	8	390625	-1	6	-6001
0	1	6	15625	-1	3	-1953
4	81	6	15625	-1	3	-1943
8	6561	6	15625	-1	3	-1133
6	729	6	15625	-1	4	-931
2	9	4	625	-1	3	-77
2	9	6	15625	-1	8	-61
0	1	4	625	-1	4	-39
4	81	4	625	-1	5	-17
0	1	2	25	-1	3	-3
2	9	2	25	-1	4	-1
1	3	1	5	1	3	1
1	3	3	125	1	7	1
2	9	0	1	-1	3	1
3	27	1	5	1	5	1
4	81	0	1	-1	4	5
4	81	2	25	-1	3	7
6	729	2	25	-1	6	11
6	729	4	625	-1	3	13
3	27	3	125	1	3	19
5	243	3	125	1	4	23
5	243	1	5	1	3	31
7	2187	5	3125	1	6	83
6	729	0	1	-1	3	91
7	2187	1	5	1	4	137
11	177147	1	5	1	10	173
3	27	5	3125	1	4	197
8	6561	0	1	-1	5	205
7	2187	3	125	1	3	289
8	6561	4	625	-1	4	371

Table continued

Table I. (cont.)

$x_0$	$p_0^{x_0}$	$x_1$	$p_1^{x_1}$	sign	$u$	$w$
1	3	5	3125	1	3	391
5	243	5	3125	1	3	421
9	19683	3	125	1	5	619
8	6561	2	25	-1	3	817
10	59049	6	15625	-1	5	1357
5	243	7	78125	1	5	2449
9	19683	1	5	1	3	2461
9	19683	5	3125	1	3	2851
10	59049	2	25	-1	4	3689
12	531441	4	625	-1	7	4147
1	3	7	78125	1	4	4883
9	19683	7	78125	1	4	6113
13	1594323	7	78125	1	8	6533
10	59049	4	625	-1	3	7303
10	59049	0	1	-1	3	7381
12	531441	8	390625	-1	4	8801
3	27	7	78125	1	3	9769
7	2187	7	78125	1	3	10039
11	177147	5	3125	1	4	11267
3	27	9	1953125	1	7	15259
11	177147	3	125	1	3	22159
11	177147	7	78125	1	3	31909
12	531441	0	1	-1	4	33215
12	531441	6	15625	-1	3	64477
12	531441	2	25	-1	3	66427
11	177147	9	1953125	1	5	66571
13	1594323	3	125	1	4	99653
7	2187	9	1953125	1	4	122207
14	4782969	2	25	-1	5	149467
13	1594323	1	5	1	3	199291
13	1594323	5	3125	1	3	199681
1	3	9	1953125	1	3	244141
5	243	9	1953125	1	3	244171
9	19683	9	1953125	1	3	246601
14	4782969	6	15625	-1	4	297959
13	1594323	9	1953125	1	3	443431
15	14348907	5	3125	1	5	448501
14	4782969	8	390625	-1	3	549043
14	4782969	4	625	-1	3	597793
14	4782969	0	1	-1	3	597871
16	43046721	0	1	-1	6	672605
9	19683	11	48828125	1	6	763247
15	14348907	1	5	1	4	896807

*Table continued*

**Table I.** (cont.)

$p = 3, p_0 = 2, p_1 = 5$

$x_0$	$p_0^{x_0}$	$x_1$	$p_1^{x_1}$	sign	$u$	$w$
14	16384	10	9765625	-1	4	-120361
9	512	9	1953125	-1	3	-72319
4	16	8	390625	-1	3	-14467
12	4096	6	15625	-1	3	-427
7	128	5	3125	-1	4	-37
2	4	4	625	-1	3	-23
1	2	2	25	1	3	1
5	32	1	5	-1	3	1
6	64	3	125	1	3	7
11	2048	4	625	1	5	11
9	512	0	1	1	3	19
10	1024	2	25	-1	3	37
3	8	6	15625	1	4	193
15	32768	3	125	-1	4	403
14	16384	1	5	1	3	607
17	131072	7	78125	-1	3	1961
16	65536	5	3125	1	3	2543
8	256	7	78125	1	3	2903
19	524288	2	25	1	4	6473
18	262144	0	1	-1	3	9709
23	8388608	1	5	-1	6	11507
13	8192	8	390625	1	3	14771
22	4194304	8	390625	-1	5	15653
10	1024	11	48828125	1	7	22327
18	262144	9	1953125	1	4	27349
20	1048576	4	625	-1	3	38813
0	1	9	1953125	1	3	72338
21	2097152	6	15625	1	3	78251
5	32	10	9765625	1	3	361691
24	16777216	3	125	1	3	621383
23	8388608	10	9765625	1	3	672379
26	67108864	7	78125	1	4	829469

$p = 5, p_0 = 2, p_1 = 3$

$x_0$	$p_0^{x_0}$	$x_1$	$p_1^{x_1}$	sign	$u$	$w$
12	4096	16	43046721	-1	3	-344341
5	32	15	14348907	-1	3	-114791
7	128	1	3	-1	3	1
6	64	8	6561	1	3	53
14	16384	2	9	-1	3	131
13	8192	9	19683	1	3	223
20	1048576	10	59049	1	3	8861
21	2097152	3	27	-1	3	16777

Table II. (Theorem 6.3.)

X	Y	z	ord <sub>p</sub> (x)							ord <sub>p</sub> (y)							ord <sub>p</sub> (z)						
			p=2	3	5	7	11	13	p=2	3	5	7	11	13	p=2	3	5	7	11	13			
2401	4160	6561	0	0	0	4	0	0	6	0	1	0	0	1	0	8	0	0	0	0			
875	6561	7436	0	0	3	1	0	0	0	8	0	0	0	0	2	0	0	0	1	2			
1183	6561	7744	0	0	0	1	0	2	0	8	0	0	0	0	6	0	0	0	2	0			
1125	8192	9317	0	2	3	0	0	0	13	0	0	0	0	0	0	0	0	1	3	0			
1183	8192	9375	0	0	0	1	0	2	13	0	0	0	0	0	0	1	5	0	0	0			
16	14625	14641	4	0	0	0	0	0	0	2	3	0	0	1	0	0	0	0	4	0			
81	14560	14641	0	4	0	0	0	0	5	0	1	1	0	1	0	0	0	0	4	0			
1936	13689	15625	4	0	0	0	2	0	4	0	0	0	2	0	0	0	6	0	0	0			
3718	11907	15625	1	0	0	0	1	2	0	5	0	2	0	0	0	0	6	0	0	0			
5824	9801	15625	6	0	0	1	0	1	0	4	0	0	2	0	0	0	6	0	0	0			
49	16335	16384	0	0	0	2	0	0	0	3	1	0	2	0	14	0	0	0	0	0			
2695	13689	16384	0	0	1	2	1	0	0	4	0	0	0	2	14	0	0	0	0	0			
8019	8788	16807	0	6	0	0	1	0	2	0	0	0	0	3	0	0	0	5	0	0			
3584	14641	18225	9	0	0	1	0	0	0	0	0	0	4	0	0	6	2	0	0	0			
1625	16807	18432	0	0	3	0	0	1	0	0	0	0	5	0	0	2	0	0	0	0			
3993	16807	20800	0	1	0	0	3	0	0	0	0	0	5	0	0	6	0	2	0	0			
49	28512	28561	0	0	0	2	0	0	5	4	0	0	1	0	0	0	0	0	0	4			
12936	15625	28561	3	1	0	2	1	0	0	0	6	0	0	0	0	0	0	0	0	4			
22000	6561	28561	4	0	3	0	1	0	0	8	0	0	0	0	0	0	0	0	0	4			
15625	17303	32928	0	0	6	0	0	0	0	0	0	0	3	1	5	1	0	3	0	0			
507	32768	33275	0	1	0	0	0	2	15	0	0	0	0	0	0	0	2	0	3	0			
10985	41503	52488	0	0	1	0	0	3	0	0	0	3	2	0	3	8	0	0	0	0			
10000	49049	59049	4	0	4	0	0	0	0	0	0	3	1	1	0	10	0	0	0	0			
14641	46875	61516	0	0	0	0	4	0	0	1	6	0	0	0	2	0	0	1	0	3			
7168	78125	85293	10	0	0	1	0	0	0	0	7	0	0	0	0	8	0	0	0	1			
20449	97200	117649	0	0	0	0	2	2	4	5	2	0	0	0	0	0	0	6	0	0			
13	151250	151263	0	0	0	0	0	1	1	0	4	0	2	0	0	2	0	5	0	0			
12005	161051	173056	0	0	1	4	0	0	0	0	0	0	5	0	10	0	0	0	2	0			
121	255879	256000	0	0	0	0	2	0	0	9	0	0	0	1	11	0	3	0	0	0			
2197	583443	585640	0	0	0	0	0	3	0	5	0	4	0	0	3	0	1	0	4	0			
91	1771470	1771561	0	0	0	1	0	1	1	11	1	0	0	0	0	0	0	0	6	0			

Table III.

- log <sub>2</sub> 5 / log <sub>2</sub> 3 =	0.10101 11101 00001 11110 11000 10101 00000 01001 11101 00010 10000 10011 11100 00001 11010 00000 00001
	00010 11100 11100 10111 01001 01110 11000 01010 01110 01110 00000 00101 00110 00100 00011 01111 01110 01010 11101
	10010 01001 00001 10100 00111 00001 11111 01111 00001 10110 00000 00101 01101 01100 00010 11100 00001 11011 01011
	10111 00100 11111 00100 00100 10001 10010 01011 10010 01011 00000 01100 01110 00110 00000 01100 11010 00001 11010
	00001 00111 11011 11001 11001 10000 10000 00110 11011 11001 00010 11000 00110 00000 00110 01100 11101 11110 11110
	00000 11101 10101 11100 10010 11101 01011 10001 01100 00110 01001 01000 01001 10000 01101 11000 01101 10100
	00110 00011 01111....
- log <sub>2</sub> 7 / log <sub>2</sub> 3 =	0.01001 01011 01111 11100 11010 01111 11111 10010 01000 11100 00011 00100 01011 11010 10001 00000 01110
	01011 00101 10010 00111 10111 01001 10001 11000 11011 01011 01000 01000 01110 11010 10000 10111 01100 00001 01110
	11000 01001 01110 10000 01101 11000 11001 00011 00011 01100 11010 00000 00110 11010 10000 10110 00001 01000 10011
	10010 01000 00011 01011 10010 11001 10000 01101 10111 01001 01000 01101 00011 11001 10000 00011 00101 00000 10011
	11100 01110 11110 10101 00101 01110 11100 10000 01011 00100 01100 11100 00100 01110 10001 00001 10000 00111 00011
	10111 01100 10110 00111 00101 10011 00101 01011 00101 01011 11001 11011 01011 10110 10000 10001 11011 01100 01001
	10001 11110 1010....
- log <sub>2</sub> 11 / log <sub>2</sub> 3 =	0.10011 01110 00001 01001 00110 01110 00110 00110 00110 00110 00000 10000 01001 10110 00001 01110 00001 11101
	10100 01101 01101 10111 10110 01100 10110 00110 00110 00110 00000 10000 01001 10110 00001 01110 00001 11100
	01100 10100 01000 10101 00010 01011 10111 10000 00001 01000 11010 10110 00001 10110 00001 01111 11110 10010
	11101 11100 10010 00100 10000 00000 01110 10100 00101 10000 00000 01110 10100 00101 10000 01001 10111 01000
	10001 11001 10011 11110 10000 11001 10110 10000 11001 10110 10000 11001 10110 10000 10110 11000 10110 10010
	10101 01100 11011 00111 11000 00111 11000 00111 11000 00111 11000 00111 11000 00111 11000 00111 11000 00111 10010
	10101 11001 1111....
- log <sub>2</sub> 13 / log <sub>2</sub> 3 =	0.11011 10110 10100 10001 01100 01111 10001 00110 00001 01110 00001 10000 01000 11001 00001 01110 00011 11101
	00011 11110 01000 10010 11011 11101 01000 11101 11001 00000 01110 10100 00011 10000 00001 10110 00001 11100
	01011 11100 10001 01000 10101 10110 00000 01110 10100 00011 11011 00001 01000 11111 01001 01000 01111 00001
	10011 00000 01011 10101 10111 11100 01011 01011 11000 10001 11110 11100 01011 01011 10000 01011 10110 01000
	01000 00111 00000 00000 00011 10111 10100 01111 10010 01100 10010 10010 01111 10010 01100 01111 10000 01101
	00000 01100 10011 00011....

Table III. (cont.)

$-\log_3 5 / \log_3 2 =$	0.11022	12121	22001	12010	21102	10210	10022	20212	20010	10112	22201	21021	21022	10000	22620	12012	02022	21001	00012	02020
	21210	12202	12200	00039	10120	00211	12021	10120	02109	10222	22122	01201	21111	11121	11001	20222	10000	20121	22221	01002
	20220	12211	22211	00100	20202	00012	11112	10122	21001	21200	12201	12220	11100	01102	20010	11102	10222	00020	21202	21112
	20201	21100	11212	22222	21120	02020	12121	02122	11111	10001	10220	21622	10012	11212	20001	10211	02120	02122	1....	
$-\log_3 7 / \log_3 2 =$	0.20101	10202	20011	12121	01102	11100	01210	20120	02122	02012	20202	00121	21200	01201	11120	11211	11212	22100	00100	22201
	20021	11112	00122	00011	22130	00000	22011	11100	12010	22110	12122	00222	10220	21102	20001	02101	00121	11002	11012	12201
	21011	20100	01110	02000	21222	12010	02201	22612	01022	02021	00210	10221	00221	20202	02222	22122	00100	12021	21220	02220
	20000	00002	00111	11221	11002	20102	12212	12012	22122	00211	01210	01102	21010	20121	01020	11111	20002	10122	2....	
$-\log_3 11 / \log_3 2 =$	0.21112	20101	00222	20222	01212	01100	12100	01201	01111	01212	01210	20121	20051	12021	01122	21202	12020	00212	11102	11002
	01001	10200	22202	02001	20022	10221	00010	10011	22220	01021	02121	00211	22210	21101	22012	11111	02010	00221	00102	20111
	20202	01201	01220	22022	11221	10121	10202	10011	11002	10220	22110	21121	00112	02122	21200	01021	21002	21002	10010	00110
	00101	12202	12000	21012	11010	11020	00222	00012	11201	11010	00122	01120	22200	20112	12122	10202	01211	00210	2....	
$-\log_3 13 / \log_3 2 =$	0.10221	02211	12122	22010	10002	01221	00121	02020	11201	02021	02112	21010	20122	02001	02112	21012	10222	01002	01200	01211
	10111	21100	12121	11010	02000	02212	11111	21220	22020	02000	01222	12112	02100	10110	20002	10222	02112	20112	11100	00211
	20012	11102	22220	00112	00001	11110	11102	22201	01122	22211	22201	11011	22201	01200	22121	02101	22222	22002	01010	01021
	12020	20111	12102	00011	02002	02000	10211	00222	12202	02202	20212	22012	01222	20220	11211	20021	11111	00000	2....	
$-\log_5 3 / \log_5 2 =$	0.33002	02003	04011	23120	04012	01011	00004	43204	30330	00023	14333	12413	43420	40302	10202	44104	32433	26432	03021	12311
	33044	40231	04112	33230	00242	14232	14400	31104	42112	44033	11014	44344	12114	44211	32120	43131	34041	00411	34233	41410
	24120	42032	43014	21421	40044	01142	21004	42021	14011	10404	00214	51110	04441	42431	24423	02433	....			
$-\log_5 7 / \log_5 2 =$	0.03044	34433	10114	43203	12033	14002	12341	31312	03421	00343	41423	00040	24241	22103	14260	32214	11401	42230	13040	33404
	04310	43034	13233	23241	43062	44411	41124	22443	42612	30420	11223	43101	01000	42112	10643	34210	03410	14414	02220	24443
	13332	33123	23331	20323	44440	13210	14403	32122	03040	31123	04212	22443	44223	23133	02003	12440	....			



Table III. (cont.)

- log <sub>5</sub> 11 / log <sub>2</sub> 2 =	21041	12112	42420	00220	41143	12040	32144	21100	01304	24013	43401	23313	12022	34404
	0.44032	21012	13124	21134	03320	33622	21041	12112	42420	00220	41143	12040	32144	21100
	12413	10214	30123	11014	24110	42444	42030	02413	20241	22304	23423	13414	03234	30000
	51022	33142	14441	44113	21413	23132	31413	32032	01221	40210	24101	30133	13110	13400
														22110
														23334.....
- log <sub>5</sub> 13 / log <sub>2</sub> 2 =	14114	41224	04403	11334	43213	33303	03130	32244	11153	43543	23422	11320	41041	31134
	0.12423	02224	01323	24314	23021	32420	14114	41224	04403	11334	43213	33303	03130	32244
	61320	34110	03024	40012	23213	10014	41441	04420	40114	00021	33224	30103	03243	32031
	22012	30332	22422	43110	13302	34431	13241	13230	44204	14432	33210	24121	13144	03230
														14301
														3040.....
- log <sub>7</sub> 2 / log <sub>3</sub> 2 =	45764	60036	13315	13044	46363	40432	02366	04135	21304	53356	32205	44546	66301	00123
	0.20603	14521	11264	52354	45764	60036	13315	13044	46363	40432	02366	04135	21304	53356
	40631	53556	64053	50031	20136	46625	03465	02235	11551	46123	25164	52364	25520	12240
	41326	32413	65633	52502	526.....									64220
														00164
														43634
														02066
														41264
														61233
- log <sub>7</sub> 5 / log <sub>3</sub> 2 =	01041	43506	34535	04453	26545	45453	33261	65353	53330	22443	10105	55005	62520	33063
	0.62250	35002	24045	66544	01041	43506	34535	04453	26545	45453	33261	65353	53330	22443
	42366	51054	13301	06465	43020	41555	41121	64255	11350	55053	64515	44465	36222	25605
	21343	24510	62633	00155	361.....									66346
														16142
														31340
														45522
														31033
														14255
- log <sub>7</sub> 11 / log <sub>3</sub> 2 =	32143	50543	02561	42352	23430	26326	53466	23462	31210	02416	02335	13066	54240	13006
	0.25035	56505	33552	02331	10224	32143	50543	02561	42352	23430	26326	53466	23462	31210
	00441	56630	66142	56540	44042	52255	15314	10131	40626	10543	02504	04254	45066	50232
	41366	64215	64014	56645	550.....									65102
														41254
														54156
														34054
- log <sub>7</sub> 13 / log <sub>3</sub> 2 =	13150	66465	56420	46445	21450	16426	11613	41010	66512	26566	50652	02005	40431	56566
	0.21305	11055	56501	22565	55610	32506	13150	66465	56420	46445	21450	16426	11613	41010
	43655	56120	34610	53642	61544	36122	61225	42410	23035	15004	26220	14444	23632	33426
	24255	36603	45452	66563	536.....									15605
														51104
														04520
														65502
														65542

Table III. (cont.)

- log <sub>11</sub> 3 / log <sub>11</sub> 2 =																			
0.08248	A4245	06166	43468	58202	44A56	73171	16753	A203A	8A543	28431	86731	11411	4A296	993A7	31A79	00421	95444	80670	57433
59439	78064	34745	1A710	64682	08044	81761	27049	03452	3661A	40979	29601	898A4	50.....						
- log <sub>11</sub> 5 / log <sub>11</sub> 2 =																			
0.351A9	7223A	31378	09193	42445	306A3	96588	11862	48667	AA6A2	39A03	77139	01693	21678	33652	12687	95AA8	24190	78276	28711
08399	68022	2A607	55A17	2231A	80798	76947	73936	21855	30A1A	95324	1A8A3	82999	67.....						
- log <sub>11</sub> 7 / log <sub>11</sub> 2 =																			
0.44804	92167	71327	83472	37453	00781	3256A	2A367	85671	88907	799A1	4AAAA	784A1	29329	A6950	17481	86846	17379	94130	77091
29354	33161	9A146	03746	52A14	20214	22541	58A91	50337	7954A	89A01	43809	A8152	52.....						
- log <sub>11</sub> 13 / log <sub>11</sub> 2 =																			
0.9011A	94962	52990	39096	3A68A	7556A	1A4A3	44758	57692	20188	42770	072A3	9A977	8819A	97518	14396	07360	899A2	99391	26176
84077	81181	54473	58532	58A01	91643	28056	63940	99265	27989	37450	85913	91289	56.....						
- log <sub>13</sub> 3 / log <sub>13</sub> 2 =																			
0.621B3	15581	0A077	3B5C8	49202	39A32	82105	848C7	70988	B863B	75151	52114	5C25A	04902	6B6C7	377B9	3122B	5CAC0	13945	A2471
9B4BA	A79C2	7A91C	5A989	C392A	CC16A	A20A1	75C6B	06BB6	8A3D9	C782B	AA70C	AB218	C9.....						
- log <sub>13</sub> 5 / log <sub>13</sub> 2 =																			
0.44570	79C51	73665	3796C	B7C61	335A0	79906	2B429	51211	4900B	481B1	621AB	2AC77	C2291	1662A	BB03A	8CD9C	77331	74992	11C07
BB101	10301	77310	B8B28	83AB2	57975	7C697	57928	23B72	297CB	0A414	32B3C	A67A8	48.....						
- log <sub>13</sub> 7 / log <sub>13</sub> 2 =																			
0.11C78	9C71A	63110	51424	42CA9	0AAA7	B225B	B0281	501B1	976C2	3C05B	09CA3	AB8E3	C3251	838AC	72502	A1844	03603	644A8	A8501
175BB	BBC1C	30466	223C6	C98B4	564C2	47140	28856	C8676	15C50	12892	A3317	163C8	CA.....						
- log <sub>13</sub> 11 / log <sub>13</sub> 2 =																			
0.1760A	A080C	20874	BB876	B2162	75989	CB19B	B7CC2	26RB7	87093	5A833	A9375	AB4BA	8C0BC	1A698	96C6B	A9411	34B75	4B718	63BC3
571A9	14566	8619B	A4B95	B4244	452A8	29623	49AA5	CB804	AC61A	CC513	08855	79185	43.....						

## CHAPTER 7. THE SUM OF TWO S-UNITS BEING A SQUARE.

### 7.1. Introduction.

Let  $p_1, \dots, p_s$  ( $s \geq 1$ ) be distinct primes, and let  $S$  be the set of positive rational integers which have no prime divisors different from the  $p_i$ . A rational number is called an  $S$ -unit if its absolute value is a quotient of elements of  $S$ . Thus the set of  $S$ -units is

$$\{ \pm p_1^{x_1} \cdots p_s^{x_s} \mid x_i \in \mathbb{Z} \text{ for } i = 1, \dots, s \}.$$

We study the diophantine equation

$$x + y = z^2$$

in  $x, y$   $S$ -units, and  $z \in \mathbb{Q}$ , where the set of primes  $p_1, \dots, p_s$  is given. We show how to find all solutions of this equation, using the theory of  $p$ -adic linear forms in logarithms, and a computational  $p$ -adic diophantine approximation method. We actually perform all the necessary computations for solving the equation completely for  $(p_1, \dots, p_s) = (2, 3, 5, 7)$ .

We start with getting rid of the denominators. Let  $x, y, z$  be a solution. There is a  $d \in S$  such that  $|d \cdot x|, |d \cdot y| \in S$ . Put  $d = d_1 \cdot d_2^2$ , where  $d_1, d_2 \in S$  and  $d_1$  squarefree. Then

$$d_1 \cdot d \cdot x + d_1 \cdot d \cdot y = (d_1 \cdot d_2 \cdot z)^2,$$

which has the same form as  $x + y = z^2$ , but now  $|d_1 \cdot d \cdot x|, |d_1 \cdot d \cdot y| \in S \subset \mathbb{Z}$  and  $d_1 \cdot d_2 \cdot z \in \mathbb{Z}$ . Without loss of generality we may therefore study

$$x + y = z^2, \tag{7.1}$$

where

$$\begin{cases} x \in S, \quad \pm y \in S, \quad z \in \mathbb{Z}, \\ x \geq y, \quad z > 0, \\ (x, y) \text{ is squarefree.} \end{cases} \tag{7.2}$$

We shall prove the following results.

THEOREM 7.1. Let  $p_1, \dots, p_s$  be given. There exists an effectively computable constant  $C$ , depending on  $p_1, \dots, p_s$  only, such that any solution  $x, y, z$  of equation (7.1) with conditions (7.2) satisfies  $\max(x, |y|, z) < C$ .

THEOREM 7.2. Let  $\{p_1, \dots, p_s\} = \{2, 3, 5, 7\}$ . Equation (7.1) with conditions (7.2) has exactly the 388 solutions given in Table I.

Remarks. 1. The Tables are given in Section 7.9. We stress that the aim of this chapter is not only to prove these theorems, but to show as well that for any given set of primes  $\{p_1, \dots, p_s\}$  a result similar to Theorem 7.2 can be proved along the same lines, in a more-or-less algorithmic way.  
 2. Equation (7.1) with conditions (7.2) can be seen as a further generalization of the generalized Ramanujan-Nagell equation

$$x^2 + k = p_1^{n_1} \cdots p_s^{n_s}, \quad (7.3)$$

(cf. Chapter 4), namely by taking  $|k| \in S$  arbitrary instead of  $k \in \mathbb{Z}$  fixed. The method of this chapter to solve (7.1) is also a generalization of the method of Chapter 4 to solve (7.3).

Equation (7.1) can be transformed into a number of Pell-like equations. Put

$$x = D \cdot u^2,$$

where  $D, u \in S$ , and  $D$  is squarefree. There are only  $2^s$  possibilities for  $D$ . Now, (7.1) is equivalent to a finite number of equations

$$z^2 - D \cdot u^2 = y \quad (7.4)$$

in  $u \in S$ ,  $\pm y \in S$ ,  $z \in \mathbb{Z}$ , with  $z > 0$  and  $(u, y) = 1$ . We treat equation (7.4) by factorizing its both sides in the field  $K = \mathbb{Q}(\sqrt{D})$ . When dealing with equation (7.4) we allow  $z$  and  $u$  to be negative.

## 7.2. The case $D = 1$ .

First we consider the special case  $D = 1$ . Then (7.4) is equivalent to

$$\begin{cases} z + u = y_1 \\ z - u = y_2 \end{cases},$$

where  $y = y_1 \cdot y_2$ , and  $y_1 \in S$ ,  $\pm y_2 \in S$ , and  $y_1 > |y_2|$ . Subtraction yields

$$2 \cdot u = y_1 - y_2, \tag{7.5}$$

where now all variables  $u, y_1, y_2$  (apart from the sign) are in  $S$ , hence in  $\mathbb{Z}$ . By  $(u, y_1) = (u, y_2) = 1$ , equation (7.5) is of the form  $a + b = c$ , or  $2 \cdot a + 2 \cdot b = 2 \cdot c$ , where  $a, b, c$  are composed of primes  $2, p_1, \dots, p_s$  only, and  $(a, b) = 1$ ,  $a \geq b > 0$ . In Chapter 6 it was shown how to solve such an equation  $a + b = c$ . For our  $\{p_1, \dots, p_s\} = \{2, 3, 5, 7\}$  we have the following result.

LEMMA 7.3. *Let  $\{p_1, \dots, p_s\} = \{2, 3, 5, 7\}$ . Equation (7.1) with conditions (7.2) and  $D = 1$  has exactly the 95 solutions given in Table I with  $D = 1$ .*

Proof. From Theorem 6.3 it follows that  $a + b = c$  with  $a, b, c \in S$ ,  $(a, b) = 1$ ,  $a \geq b$  has exactly 63 solutions, that are easy to compute. Each of these gives rise to three possibilities for (7.5):

$$\begin{aligned} \text{if } 2 \mid a \text{ then } (u, y_1, y_2) &= (\frac{1}{2}a, b, c), (b, 2c, 2a), (c, 2a, -2b), \\ \text{if } 2 \mid b \text{ then } (u, y_1, y_2) &= (a, 2b, 2c), (\frac{1}{2}b, c, a), (c, 2a, -2b), \\ \text{if } 2 \mid c \text{ then } (u, y_1, y_2) &= (a, 2b, 2c), (b, 2c, 2a), (\frac{1}{2}c, a, -b). \end{aligned}$$

Of the thus found 189 possibilities, the 95 ones given in Table I with  $D = 1$  satisfy  $x \geq y$  and  $z > 0$ , whereas the others don't.  $\square$

This completes our treatment of the case  $D = 1$ .

### 7.3. Towards generalized recurrences.

From now on, let  $D > 1$ . Put  $K = \mathbb{Q}(\sqrt{D})$ . Let  $\sigma : K \rightarrow K$  be the automorphism of  $K$  with  $\sigma(\sqrt{D}) = -\sqrt{D}$ . For any number or ideal  $X$  in  $K$  we write  $X'$  for  $\sigma(X)$ , for convenience.

Let  $\mathfrak{p}_i$  for  $i = 1, \dots, s$  be the prime ideal in  $K$  such that  $\text{ord}_{\mathfrak{p}_i}(\mathfrak{p}_i) > 0$ . If  $\mathfrak{p}_i$  splits in  $\mathcal{O}_K$ , this is well defined if a choice has been made from the two possibilities for  $\sqrt{D} \pmod{\mathfrak{p}_i}$ . Put for a solution

$z, u, y$  of (7.4)

$$\chi = z + u\sqrt{D} .$$

Then  $y = \chi \cdot \chi'$  , and by  $(u, y) = 1$  we have

$$\min \left[ \text{ord}_{p_i}(u), \text{ord}_{p_i}(y) \right] = 0 . \quad (7.6)$$

Equation (7.4) leads to the conjugated ideal equations

$$\left\{ \begin{array}{l} (\chi) = \prod_{i=1}^s p_i^{a_i} \cdot p_i'^{b_i} \\ (\chi') = \prod_{i=1}^s p_i'^{a_i} \cdot p_i^{b_i} \end{array} \right. \quad (7.7)$$

where  $a_i, b_i \in \mathbb{N}_0$  , and  $b_i = 0$  if  $p_i = p_i'$  . We need the following auxiliary lemma.

LEMMA 7.4. *If  $\xi \in K$  and  $\text{ord}_p(\xi) = \text{ord}_p(\xi')$  for a prime  $p$  , then*

$$\text{ord}_p(\xi) \leq \text{ord}_p(\xi - \xi') .$$

Moreover, if  $p = 2$  and  $D \equiv 1 \pmod{8}$  , then

$$\text{ord}_2(\xi) \leq \text{ord}_2((\xi - \xi')/2) ,$$

and, if  $p = 2$  and  $D \equiv 2, 3 \pmod{4}$  , then

$$\text{ord}_2(\xi) \leq \text{ord}_2((\xi - \xi')/2\sqrt{D}) + \frac{1}{2} .$$

Proof. This is an easy exercise, which we leave to the reader. □

We distinguish, as usual, three cases for the factorization of the prime  $p_i$  in  $K$  : it may split, ramify or remain prime. See Borevich and Shafarevich [1966], section III.8.

(i).  $p_i$  remains prime in  $K$  . Then  $p_i \nmid D$  , and if  $p_i = 2$  then  $D \equiv 5 \pmod{8}$  . We have  $(p_i) = p_i = p_i'$  , and from  $\text{ord}_{p_i}(\chi) = \text{ord}_{p_i}(\chi')$  and Lemma 7.4 we obtain

$$\text{ord}_{p_i}(y) = 2 \cdot \text{ord}_{p_i}(\chi) \leq 2 \cdot \text{ord}_{p_i}(\chi - \chi') = 2 \cdot \text{ord}_{p_i}(2 \cdot u \cdot \sqrt{D}) .$$

It follows, using (7.6), that

$$\text{if } p_i \neq 2 \text{ then } \text{ord}_{p_i}(y) = 2 \cdot a_i = 0 ,$$

$$\text{if } p_i = 2 \text{ then } \text{ord}_2(y) = 2 \cdot a_i = 0, 2 , \text{ and if } a_i = 1 \text{ then} \\ \text{ord}_2(u) = 0 .$$

(ii).  $p_i$  ramifies in  $K$ . Then  $p_i \mid D$  if  $p_i \neq 2$ , and  $D \equiv 2, 3 \pmod{4}$  if  $p_i = 2$ . We have  $(p_i) = p_i^2$ ,  $p_i = p'_i$ , and  $\text{ord}_{p_i}(\chi) = \text{ord}_{p_i}(\chi') = \frac{1}{2} \cdot a_i$ .

From Lemma 7.4 we find

$$\text{ord}_{p_i}(y) = 2 \cdot \text{ord}_{p_i}(\chi) \leq 1 + 2 \cdot \text{ord}_{p_i}((\chi - \chi')/2 \cdot \sqrt{D}) = 1 + 2 \cdot \text{ord}_{p_i}(u) .$$

By (7.6) we obtain

$$\text{ord}_{p_i}(y) = a_i = 0, 1 , \text{ and if } a_i = 1 \text{ then } \text{ord}_{p_i}(u) = 0 .$$

(iii).  $p_i$  splits in  $K$ . Then  $p_i \nmid D$ , and if  $p_i = 2$  then  $D \equiv 1 \pmod{8}$ . We have  $(p_i) = p_i \cdot p'_i$ ,  $p_i \neq p'_i$ . Further,  $\text{ord}_{p_i}(p_i) = 1$ ,  $\text{ord}_{p_i}(p'_i) = 0$ . Hence  $\text{ord}_{p_i}(\chi) = a_i$ ,  $\text{ord}_{p_i}(\chi') = b_i$ . If  $a_i = b_i$  then from

$$\text{ord}_{p_i}(y) = 2 \cdot \text{ord}_{p_i}(\chi) \leq 2 \cdot \text{ord}_{p_i}((\chi - \chi')/2) = 2 \cdot \text{ord}_{p_i}(u)$$

we obtain by (7.6) that

$$\text{ord}_{p_i}(y) = a_i = b_i = 0 .$$

If  $a_i \neq b_i$  then  $\text{ord}_{p_i}(y) = a_i + b_i > 0$ , hence  $\text{ord}_{p_i}(u) = 0$ , by (7.6).

We infer in this case

$$\text{ord}_{p_i}(y) = a_i + b_i \geq 1 + 2 \cdot \min(a_i, b_i) = 1 + 2 \cdot \text{ord}_{p_i}(\chi - \chi') \\ = 1 + 2 \cdot \text{ord}_{p_i}(2) .$$

It follows that

$$\text{ord}_{p_i}(y) = \max(a_i, b_i) , \quad \min(a_i, b_i) = 0 \quad \text{if } p_i \neq 2 ,$$

$$\text{ord}_{p_i}(y) = \max(a_i, b_i) + 1 , \quad \min(a_i, b_i) = 1 \quad \text{if } p_i = 2 .$$

Put  $b_0 = \min(a_i, b_i)$  if  $p_i = 2$  occurs, and  $b_0 = 0$  otherwise. (Note that  $\min(a_i, b_i) = 1$  may occur only if  $p_i \neq p'_i$ , hence only if  $p_i = 2$  splits). Let us assume that the splitting primes of  $p_1, \dots, p_s$  are  $p_1, \dots, p_t$  for some  $0 \leq t \leq s$ . Put

$$I = \{ i \mid 1 \leq i \leq t , \quad a_i > b_i \} ,$$

$$I' = \{ i \mid 1 \leq i \leq t , \quad a_i < b_i \} .$$

For  $i = 1, \dots, t$ , let  $h_i$  be the smallest positive integer such that  $p_i^{h_i}$  is a principal ideal, say

$$p_i^{h_i} = (\pi_i) .$$

If  $h$  denotes the class number of  $K$ , then  $h_i \mid h$ . Now,  $\pi_i \in K$  is determined up to multiplication by a unit. Thus we may choose  $\pi_i$  such that

$$|\pi_i| > |\pi'_i| \quad \text{if } i \in I ,$$

$$|\pi_i| < |\pi'_i| \quad \text{if } i \in I' .$$

For  $i = 1, \dots, t$ , put

$$|a_i - b_i| = c_i \cdot h_i + d_i ,$$

with  $c_i, d_i \in \mathbb{N}_0$ , and  $0 \leq d_i \leq h_i - 1$ . Consider the ideal

$$\alpha = (2)^{b_0} \cdot \prod_{i \in I} p_i^{d_i} \cdot \prod_{i \in I'} p_i'^{d_i} \cdot \prod_{i=t+1}^s p_i^{a_i} .$$

From the above considerations it follows that, for given  $K$ ,  $p_1, \dots, p_s$ , there are only finitely many possibilities for  $\alpha$ . By (7.7) it follows that

$$(\chi) = \alpha \cdot \prod_{i \in I} (\pi_i)^{c_i} \cdot \prod_{i \in I'} (\pi'_i)^{c_i}$$

(namely,  $|a_i - b_i| = \max(a_i, b_i)$  if  $p_i \neq 2$ , since then  $\min(a_i, b_i) = 0$ ; and



$|a_i - b_i| = \max(a_i, b_i) - 1$  if  $p_i = 2$  and  $b_0 = 1$ ). Hence  $\alpha$  is a principal ideal, say

$$\alpha = (\alpha)$$

for an  $\alpha \in \mathcal{O}_K$ . Up to multiplication by a unit, there are only finitely many possibilities for  $\alpha$ . Let  $\epsilon$  be the fundamental unit of  $K$  with  $\epsilon > 1$ . Now, (7.7) leads to the system of equations

$$\begin{cases} \chi = z + u\sqrt{D} = \pm\alpha \cdot \epsilon^n \cdot \prod_{i \in I} \pi_i^{c_i} \cdot \prod_{i \in I'} \pi'_i{}^{c_i} \\ \chi' = z - u\sqrt{D} = \pm\alpha' \cdot \epsilon'^n \cdot \prod_{i \in I} \pi_i^{c_i} \cdot \prod_{i \in I'} \pi'_i{}^{c_i} \end{cases}, \quad (7.8)$$

where  $n \in \mathbb{Z}$ . Put for  $n \in \mathbb{Z}$ ,  $m_1, \dots, m_t \in \mathbb{N}_0$ , and for each possible  $\alpha$

$$G_\alpha(n, m_1, \dots, m_t) = \frac{\alpha}{2\sqrt{D}} \cdot \epsilon^n \cdot \prod_{i \in I} \pi_i^{m_i} \cdot \prod_{i \in I'} \pi'_i{}^{m_i} - \frac{\alpha'}{2\sqrt{D}} \cdot \epsilon'^n \cdot \prod_{i \in I} \pi_i^{m_i} \cdot \prod_{i \in I'} \pi'_i{}^{m_i},$$

$$H_\alpha(n, m_1, \dots, m_t) = \frac{\alpha}{2} \cdot \epsilon^n \cdot \prod_{i \in I} \pi_i^{m_i} \cdot \prod_{i \in I'} \pi'_i{}^{m_i} + \frac{\alpha'}{2} \cdot \epsilon'^n \cdot \prod_{i \in I} \pi_i^{m_i} \cdot \prod_{i \in I'} \pi'_i{}^{m_i}.$$

Then (7.8) is equivalent to

$$\begin{cases} \pm u = G_\alpha(n, c_1, \dots, c_t) \\ \pm z = H_\alpha(n, c_1, \dots, c_t) \end{cases}. \quad (7.9)$$

The functions  $G_\alpha$  and  $H_\alpha$  are generalized recurrences in the sense that if all variables but one are fixed, then they become integral binary recurrence sequences.

#### 7.4. Towards linear forms in logarithms.

Let us write

$$u_i = \text{ord}_{p_i}(u)$$

for  $i = 1, \dots, s$ . Put for each  $\alpha$

$$I_U = \{ i \mid 1 \leq i \leq s, \text{ord}_{p_i}(G_\alpha(n, m_1, \dots, m_t)) > 0 \text{ occurs}$$

for at least one  $(n, m_1, \dots, m_t) \in \mathbb{Z} \times \mathbb{N}_0^t \}$ .

Note that since  $(u, y) = 1$  the sets  $I_U, I, I'$  are disjoint. We proceed with the first equation of system (7.9). Written out in full detail it reads

$$\frac{\alpha}{2\sqrt{D}} \cdot \epsilon^n \cdot \prod_{i \in I} \pi_i^{c_i} \cdot \prod_{i \in I'} \pi'_i{}^{c_i} - \frac{\alpha'}{2\sqrt{D}} \cdot \epsilon'^n \cdot \prod_{i \in I} \pi'_i{}^{c_i} \cdot \prod_{i \in I'} \pi_i^{c_i} = \pm \prod_{i \in I_U} p_i^{u_i} \quad (7.10)$$

Now,  $I, I', I_U$  depend on  $\alpha$ , which depends on the particular solution of equation (7.4) that we presupposed. However, we know that  $\alpha$  belongs to a finite set, which can be computed explicitly. So if we can solve (7.10) completely for each  $\alpha$  of this set, then we can find all solutions of (7.9), hence of (7.1).

The set of the  $\alpha$ 's may be reduced, without loss of generality, as follows. If  $D \equiv 1 \pmod{8}$  then  $b_0 = 0, 1$  may both occur, with  $\alpha = \alpha_0, 2 \cdot \alpha_0$  respectively. We only have to consider  $2 \cdot \alpha_0$ , because if  $u = u_0, z = z_0$  is a solution of (7.9) for  $\alpha = \alpha_0$ , then  $u = 2 \cdot u_0, z = 2 \cdot z_0$  is a solution of (7.9) for  $\alpha = 2 \cdot \alpha_0$ . Hence it is not necessary to consider  $\alpha = \alpha_0$  if also  $\alpha = 2 \cdot \alpha_0$  is already being considered. By the same argument, if  $D \equiv 5 \pmod{8}$  then with  $\alpha = \alpha_0$  such that  $\text{ord}_2(\alpha_0) = 0$  also  $\alpha = 2 \cdot \alpha_0$  may occur, so that we only have to consider the latter. Note that it may now occur that  $(u, y) = 2$ . The condition  $(u, y) = 1$  is used only to ensure that  $I_U$  and  $I \cup I'$  are disjoint. This remains true in the above cases with  $(u, y) = 2$ . Further, if  $(\alpha_0) \neq (\alpha'_0)$  for some  $\alpha_0$ , then we only have to consider one  $\alpha$  of the pair  $\alpha_0, \alpha'_0$ . Namely, by  $\epsilon \cdot \epsilon' = \pm 1$  we have (we denote the  $I, I'$  belonging to  $\alpha_0$  by  $I_0, I'_0$ , then the  $I, I'$  belonging to  $\alpha'_0$  are  $I'_0, I_0$ )

$$\begin{aligned} & G_{\alpha'_0}(n, m_1, \dots, m_t) \\ &= \frac{\alpha'_0}{2\sqrt{D}} \cdot \epsilon'^n \cdot \prod_{I'_0} \pi'_i{}^{c_i} \cdot \prod_{I_0} \pi_i^{c_i} - \frac{\alpha_0}{2\sqrt{D}} \cdot \epsilon^n \cdot \prod_{I'_0} \pi'_i{}^{c_i} \cdot \prod_{I_0} \pi_i^{c_i} \\ &= \pm \left[ \frac{\alpha'_0}{2\sqrt{D}} \cdot \epsilon'^{-n} \cdot \prod_{I_0} \pi'_i{}^{c_i} \cdot \prod_{I'_0} \pi_i^{c_i} - \frac{\alpha_0}{2\sqrt{D}} \cdot \epsilon^{-n} \cdot \prod_{I_0} \pi_i^{c_i} \cdot \prod_{I'_0} \pi'_i{}^{c_i} \right] \\ &= \mp G_{\alpha_0}(-n, m_1, \dots, m_t), \end{aligned}$$

and analogously

$$H_{\alpha'_0}(n, m_1, \dots, m_t) = \pm H_{\alpha_0}(-n, m_1, \dots, m_t) .$$

From equation (7.10) we now derive  $p_i$ -adic linear forms in logarithms, in three different ways, according to  $i \in I, I'$  or  $I_U$ . Put

$$\gamma_i = \frac{3}{2} \text{ if } p_i = 2, \quad \gamma_i = 1 \text{ if } p_i = 3, \quad \gamma_i = \frac{1}{2} \text{ if } p_i \geq 5 .$$

Then  $\gamma_i > 1/(p_i-1)$ , hence if  $\text{ord}_{p_i}(\xi) \geq \gamma_i$  for a  $\xi \in K$  then

$$\text{ord}_{p_i}(\log_{p_i}(1 \pm \xi)) = \text{ord}_{p_i}(\xi) . \quad (7.11)$$

We now have the following result.

LEMMA 7.5. Let  $n, c_i$  ( $i \in I \cup I'$ ),  $u_i$  ( $i \in I_U$ ) be a solution of (7.10).

(i). For  $i \in I_U$  put

$$\begin{aligned} \lambda_i &= \text{ord}_{p_i}(2\sqrt{D}/\alpha') , \\ \Lambda_i &= \log_{p_i}\left(\frac{\alpha}{\alpha'}\right) + n \cdot \log_{p_i}\left(\frac{\epsilon}{\epsilon'}\right) + \sum_{j \in I} c_j \cdot \log_{p_i}\left(\frac{\pi_j}{\pi'_j}\right) \\ &\quad - \sum_{j \in I'} c_j \cdot \log_{p_i}\left(\frac{\pi_j}{\pi'_j}\right) . \end{aligned}$$

If  $u_i + \lambda_i \geq \gamma_i$  then

$$u_i + \lambda_i = \text{ord}_{p_i}(\Lambda_i) .$$

(ii). For  $i \in I$  put

$$\begin{aligned} \kappa_i &= \text{ord}_{p_i}\left(\frac{\alpha}{\alpha'}\right) , \\ K_i &= \log_{p_i}\left(\frac{\alpha'}{2\sqrt{D}}\right) + n \cdot \log_{p_i}(\epsilon') - \sum_{j \in I_U} u_j \cdot \log_{p_i}(p_j) \\ &\quad + \sum_{j \in I} c_j \cdot \log_{p_i}(\pi'_j) + \sum_{j \in I'} c_j \cdot \log_{p_i}(\pi_j) . \end{aligned}$$

If  $h_i \cdot c_i + \kappa_i \geq \gamma_i$  then

$$h_i \cdot c_i + \kappa_i = \text{ord}_{p_i}(K_i) .$$

(ii'). For  $i \in I'$  put

$$\kappa'_i = \text{ord}_{p_i} \left( \frac{\alpha'}{\alpha} \right) ,$$

$$\begin{aligned} K'_i &= \log_{p_i} \left( \frac{\alpha}{2\sqrt{D}} \right) + n \cdot \log_{p_i} (\epsilon) - \sum_{j \in I_U} u_j \cdot \log_{p_i} (p_j) \\ &\quad + \sum_{j \in I} c_j \cdot \log_{p_i} (\pi_j) + \sum_{j \in I'} c_j \cdot \log_{p_i} (\pi'_j) . \end{aligned}$$

If  $h_i \cdot c_i + \kappa'_i \geq \gamma_i$  then

$$h_i \cdot c_i + \kappa'_i = \text{ord}_{p_i} (K'_i) .$$

Remark. Note that all the above  $p_i$ -adic logarithms are well-defined, since their arguments have  $p_i$ -adic order zero. This follows from the fact that  $I_U$ ,  $I$  and  $I'$  are disjoint, and if  $D \equiv 1 \pmod{8}$  from the choice  $\alpha = 2 \cdot \alpha_0$ .

Proof. For (i), divide (7.10) by its second term. For (ii), divide (7.10) by its second term, and add 1. For (ii'), divide (7.10) by its first term, and subtract 1. Then, in all three cases, take the  $p_i$ -adic order, and apply (7.11).  $\square$

The linear forms in logarithms  $\Lambda_i$ ,  $K_i$ ,  $K'_i$ , as they appear in Lemma 7.5, seem to be inhomogeneous, since the first term has coefficient 1. However, it can be made homogeneous by incorporating this first term in the other ones, as follows. Put

$$h^* = \text{lcm} ( 2, h_1, \dots, h_s ) .$$

Note that, by the definition of  $\alpha$ ,

$$\alpha^{h^*} = \pm \epsilon^{n_0} \cdot \prod_{i \in I} \pi_i^{n_i} \cdot \prod_{i \in I'} \pi'_i{}^{n_i} \cdot \prod_{i=t+1}^s p_i^{n_i} \cdot 2^{h^* \cdot b_0} , \quad (7.12)$$

where the exponents  $n_i$  for  $0 \leq i \leq s$  are integral. It follows that

$$\left( \frac{\alpha}{\alpha'} \right)^{h^*} = \pm \left( \frac{\epsilon}{\epsilon'} \right)^{n_0} \cdot \prod_{i \in I} \left( \frac{\pi}{\pi'} \right)^{n_i} \cdot \prod_{i \in I'} \left( \frac{\pi'}{\pi} \right)^{n_i} .$$

Put

$$\Lambda_i^* = h^* \cdot \Lambda_i, \quad n^* = h^* \cdot n + n_0, \quad c_j^* = h^* \cdot c_j + n_j.$$

Then it follows that

$$\Lambda_i^* = n^* \cdot \log_{p_i} \left( \frac{\epsilon'}{\epsilon'} \right) + \sum_{j \in I} c_j^* \cdot \log_{p_i} \left( \frac{\pi_j}{\pi_j'} \right) - \sum_{j \in I'} c_j^* \cdot \log_{p_i} \left( \frac{\pi_j}{\pi_j'} \right).$$

Further, note that the prime divisors of  $D$  are just the ramifying primes. So, by (7.12),

$$\left( \frac{\alpha}{2\sqrt{D}} \right)^{h^*} = \pm \epsilon^{n_0} \cdot \prod_{i \in I} \pi_i^{n_i} \cdot \prod_{i \in I'} \pi_i'^{n_i} \cdot \prod_{i=t+1}^s p_i^{n_i - \nu_i} \cdot 2^{h^* \cdot (b_0 - \nu_0)},$$

where  $\nu_i = \frac{1}{2} \cdot h^* \cdot \text{ord}_{p_i}(4D) \in \mathbb{Z}$  for  $i = t+1, \dots, s$ , and  $\nu_0 = 1$  if 2 splits,  $\nu_0 = 0$  otherwise. If  $p_i = 2$  splits we have assumed that  $b_0 = 1$ . Hence the last factor vanishes. So put

$$K_i^* = h^* \cdot K_i, \quad K_i'^* = h^* \cdot K_i', \quad u_j^* = h^* \cdot u_j - (n_j - \nu_j),$$

$$I_U^* = I_U \cup \{ i \mid t+1 \leq i \leq s, \nu_i \neq 0 \}.$$

Then it follows that

$$K_i^* = n^* \cdot \log_{p_i}(\epsilon') - \sum_{j \in I_U^*} u_j^* \cdot \log_{p_i}(p_j) + \sum_{j \in I} c_j^* \cdot \log_{p_i}(\pi_j') +$$

$$+ \sum_{j \in I'} c_j^* \cdot \log_{p_i}(\pi_j),$$

$$K_i'^* = n^* \cdot \log_{p_i}(\epsilon) - \sum_{j \in I_U^*} u_j^* \cdot \log_{p_i}(p_j) + \sum_{j \in I} c_j^* \cdot \log_{p_i}(\pi_j) +$$

$$+ \sum_{j \in I'} c_j^* \cdot \log_{p_i}(\pi_j').$$

This leads to the following reformulation of Lemma 7.5.

**LEMMA 7.6.** *Let  $n, c_i$  for  $i \in I \cup I'$ ,  $u_i$  for  $i \in I_U$  be a solution of (7.10), let  $\lambda_i, \kappa_i, \kappa_i'$  be as in Lemma 7.5, and let  $h^*, \Lambda_i^*, K_i^*, K_i'^*, n_i^*, c_i^*, u_i^*, I_U^*$  be as above.*

(i). *Let  $i \in I_U$ . If  $u_i + \lambda_i \geq \gamma_i$  then*

$$u_i + \lambda_i + \text{ord}_{p_i}(h^*) = \text{ord}_{p_i}(\Lambda_i^*).$$

(ii). Let  $i \in I$ . If  $h_i \cdot c_i + \kappa_i \geq \gamma_i$  then

$$h_i \cdot c_i + \kappa_i + \text{ord}_{p_i}(h^*) = \text{ord}_{p_i}(K_i^*) .$$

(ii'). Let  $i \in I'$ . If  $h_i \cdot c_i + \kappa'_i \geq \gamma_i$  then

$$h_i \cdot c_i + \kappa'_i + \text{ord}_{p_i}(h^*) = \text{ord}_{p_i}(K_i'^*) .$$

Remark. We will study the linear forms in logarithms  $\Lambda_i^*, K_i^*, K_i'^*$  for arbitrary integral values of the variables  $n^*, c_i^*, u_i^*$ . Notice that the parameter  $\alpha$  has disappeared completely from these linear forms. This means that we have to consider the linear forms for each  $D$  only, instead of for each  $\alpha$ .

### 7.5. Upper bounds for the solutions: outline.

Let us first give a global explanation of our application of the theory of p-adic linear forms in logarithms, that gives explicit upper bounds for the variables occurring in the linear forms  $\Lambda_i^*, K_i^*, K_i'^*$ . Then we give arguments why we choose this way to apply the theory, and not other possible ways. In the next section we give full details of the derivation of the upper bounds. In the sequel, by the 'constants'  $C_1, \dots, C_{12}$  we mean numbers that depend only on the parameters of (7.10), not on the unknowns  $n, c_i, u_i$ .

Put

$$M = \max_{i \in I \cup I'} (c_i), \quad U = \max_{i \in I \cup U} (u_i), \quad B = \max (M, U, |n|),$$

$$M^* = \max_{i \in I \cup I'} (c_i^*), \quad U^* = \max_{i \in I \cup U} (u_i^*), \quad B^* = \max (M^*, U^*, |n^*|),$$

$$N = \max ( |n_0|, \dots, |n_t|, |n_{t+1}^{-\nu_{t+1}}|, \dots, |n_s^{-\nu_s}| ).$$

Then it follows that

$$X^* \leq h^* \cdot X + N, \quad X \leq \frac{X^* + N}{h^*} \tag{7.13}$$

for  $X = M, U, B$ . We apply Lemma 2.6 to the p-adic linear forms in logarithms. For  $\Lambda_i^*$  we find, in view of Lemma 7.6(i),

$$U < C_1 + C_2 \cdot \log(B^*) , \quad (7.14)$$

and for  $K_i^*, K_i'^*$  we find, in view of Lemma 7.6(ii),(ii'),

$$M < C_3 + C_4 \cdot \log(B^*) . \quad (7.15)$$

Here,  $C_1, C_2, C_3, C_4$  are constants that can be written down explicitly. In order to find an upper bound for  $B$  we try to find constants  $C_{10}, C_{11}$  such that

$$B < C_{10} + C_{11} \cdot \log(B^*) . \quad (7.16)$$

In view of (7.13) we may insert and delete asterisks any time we like, as long as we don't specify the constants. In order to prove (7.16) it remains, in view of (7.14) and (7.15), to bound  $|n|$  by a constant times  $\log B$ . We will introduce certain constants  $C_5, C_6, C_7$ , and distinguish three cases:

- (a).  $-(C_6 + C_7 \cdot M) \leq n \leq C_5$ ,
- (b).  $n > C_5$ ,
- (c).  $n < -(C_6 + C_7 \cdot M)$ .

In case (a) it is, by (7.15), obvious that (7.16) holds. In cases (b) and (c) one of the two terms of  $G_\alpha$  dominates. We shall show that there exist constants  $C_8, C_9$  such that

$$|n| < C_8 + C_9 \cdot U . \quad (7.18)$$

Then (7.16) follows from (7.14).

From (7.16) we derive immediately an explicit upper bound  $C_{12}$  for  $B$ , hence for all the variables involved. Since the constants  $C_1, \dots, C_4$  will be very large, also  $C_{12}$  will be very large. To find all solutions we proceed by reducing this upper bound, by applying the computational p-adic diophantine approximation technique described in Section 3.11, to the p-adic linear forms in logarithms  $\Lambda_i^*, K_i^*, K_i'^*$ . Crucial in that line of argument is that the constants  $C_5, \dots, C_9$  are very small compared to  $C_1, \dots, C_4$ . This method leads to reduced bounds for the p-adic orders of the linear forms. Then we can replace (7.14) and (7.15) by much sharper inequalities, and repeat the above argument, to find a much sharper inequality for (7.16). In general we expect that it is in this way possible to reduce in one step the upper bound  $C_{12}$  for  $B$  to a reduced bound of size  $\log C_{12}$ .

Before going into detail we explain briefly that it is possible to treat

(7.10) partly by the theory of real (instead of p-adic) linear forms in logarithms, and subsequently by a real computational diophantine approximation technique (cf. Section 3.7), and why we prefer not to do so. First, note that  $K_i$  and  $K'_i$  have generically more terms than  $\Lambda_i$ , and are therefore more complicated to handle. Since  $K_i, K'_i$  occur only in case (a), this is the most difficult case. Equation (7.10) consist of three terms, each of which is purely exponential, i.e. the bases are fixed and the exponents are variable. If one of these three terms is essentially smaller than the other two (more specifically, smaller than the other terms raised to the power  $\delta$ , for a fixed  $\delta \in (0,1)$ ), then we can apply the real method. There are two ways of doing this. Write (7.10) as

$$\chi - \chi' = 2 \cdot u \cdot \sqrt{D} .$$

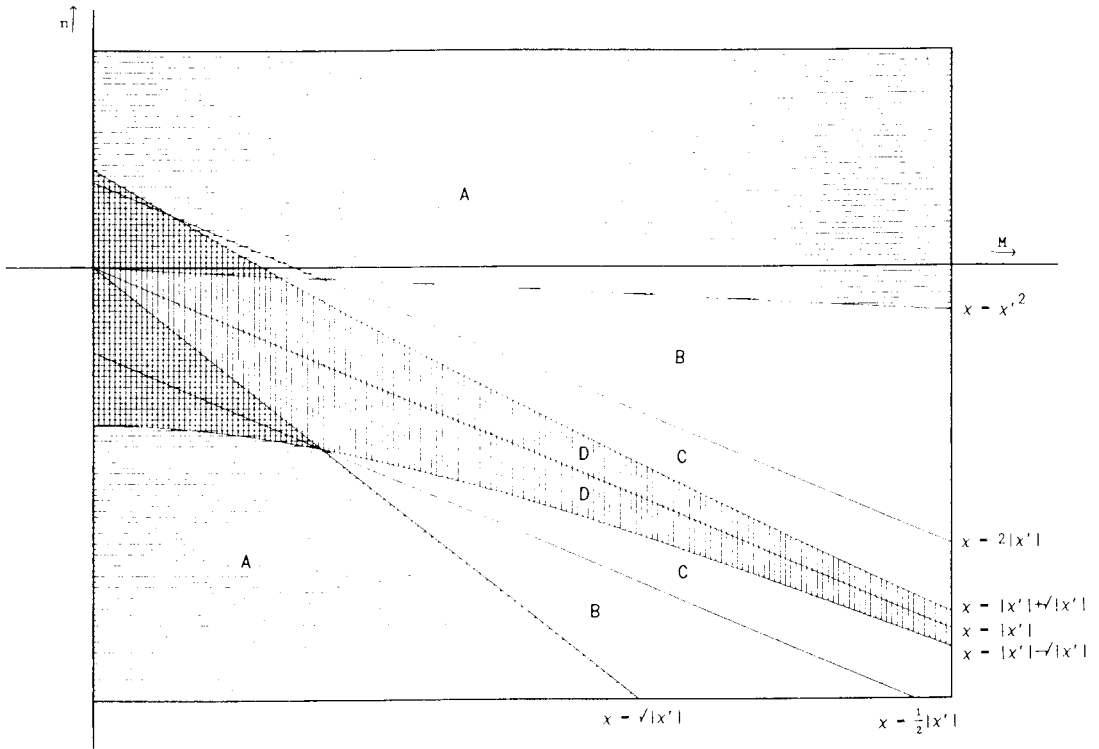
First, suppose that  $|\chi - \chi'| < |\chi'|^\delta$ . Then  $|n|$  cannot be very large, and we are essentially (i.e. apart from a finite domain) in case (a). Unfortunately, the region for  $|n|$  that we can cover in this way becomes smaller as  $M \rightarrow \infty$  (see the example below). Second, suppose that  $|\chi| > |\chi'|^{1/\delta}$ , or  $|\chi| < |\chi'|^\delta$ . Then we are essentially in case (b) or (c). But this area can be dealt with easier p-adically, since here we use the linear forms  $\Lambda_i$ , whereas the real linear forms in logarithms used in this case will generically have more terms. The areas sketched above, in which we can apply the real theory, will not cover the whole domain corresponding to case (a) (cf. the white regions in Fig. 4 below). Hence we cannot avoid working with the p-adic linear forms  $K_i, K'_i$ . But then it is more convenient to avoid the use of real linear forms.

Let us illustrate the above reasoning with an example. Let  $\alpha = \alpha' = 1$ ,  $\epsilon = 1 + \sqrt{2}$ ,  $\pi_1 = 1 + 2\sqrt{2}$ ,  $s = 1$ ,  $I = \{1\}$ ,  $p_1 = 7$ ,  $I' = \emptyset$ , and  $\delta = \frac{1}{2}$ . Then we have  $\chi = (1+\sqrt{2})^n \cdot (1+2\sqrt{2})^M$ . Fig. 4 below gives in the  $(n,M)$ -plane the curves  $\chi = \chi'^2$ ,  $2 \cdot |\chi'|$ ,  $|\chi'| + \sqrt{|\chi'|}$ ,  $|\chi'|$ ,  $|\chi'| - \sqrt{|\chi'|}$ ,  $\frac{1}{2} \cdot |\chi'|$ ,  $\sqrt{|\chi'|}$ , which are boundaries of the four regions A, B, C, D. We have the following possibilities.

region	case (ess.)	number of terms in linear form	
		p-adic method	real method
A	(b),(c)	2	3
B	(b),(c)	2	-
C	(a)	3	-
D	(a)	3	2



Figure 4.



The really hard part is C. It can be reduced to  $\frac{1}{c} \cdot |x'| < x < |x'| - |x'|^\delta$  and  $|x'| + |x'|^\delta < x < c \cdot |x'|$  for any  $c > 1$ ,  $\delta \in (0,1)$ , but will never disappear. So we cannot avoid the p-adic linear form in case (a), which then works in regions C and D together.

#### 7.6. Upper bounds for the solutions: details.

We now proceed with filling in the details of the procedure outlined in the previous section.

We apply Yu's lemma (Lemma 2.6) as follows. We have  $L = K = \mathbb{Q}(\sqrt{D})$ , so  $d = 2$ . For the  $\alpha_i$  we have  $\epsilon/\epsilon'$ ,  $\pi_j/\pi'_j$ , or  $\epsilon$ ,  $\epsilon'$ ,  $p_j$ ,  $\pi_j$ ,  $\pi'_j$ . We have to compute the heights of these numbers. We have at once

$$h(p_j) = \log(p_j) \quad \text{if } p_j \geq 3, \quad h(2) = 1,$$

$$h(\epsilon) = h(\epsilon') = \frac{1}{2} \cdot \log(\epsilon),$$

$$h(\pi_j) = h(\pi'_j) = \frac{1}{2} \cdot \log(\max(1, |\pi_j|) \cdot \max(1, |\pi'_j|)) .$$

Further, let  $\beta = \epsilon$  or  $\beta = \pi_j$ . Then the leading coefficient of  $\beta/\beta'$  is  $a_0 = |\beta \cdot \beta'|$ . Hence

$$\begin{aligned} h\left(\frac{\beta}{\beta'}\right) &= \frac{1}{2} \log(|\beta \cdot \beta'| \cdot \max(1, |\frac{\beta}{\beta'}|) \cdot \max(1, |\frac{\beta'}{\beta}|)) \\ &= \log(\max(|\beta|, |\beta'|)) . \end{aligned}$$

Hence

$$h\left(\frac{\epsilon}{\epsilon'}\right) = \log(\epsilon) , \quad h\left(\frac{\pi_j}{\pi'_j}\right) = \log(\max(|\pi_j|, |\pi'_j|)) .$$

The order of the  $\alpha_i$  is important in two respects: it is required that the  $V_i$  for  $i = 1, \dots, n-1$  are in increasing order, and that  $\text{ord}_p(b_n)$  is minimal among the  $\text{ord}_p(b_i)$ . Since the  $b_i$  are the unknowns, we should assume that  $V_n \leq V_1 \leq \dots \leq V_{n-1}$ . In the final bound however, only the product  $V_1 \dots V_n$  and  $V_{n-1}^+$  appear. So the ordering of the  $V_i$  only matters for defining  $V_{n-1}^+$ . It follows that we can take

$$V_i = \max \left( h(\alpha_i), f_p \cdot (\log p)/d \right) ,$$

with the  $\alpha_i$  in any order, if we define

$$V_{n-1}^+ = \max \left( 1, V_1, \dots, V_n \right) .$$

Further, we take

$$B = B_0 = B_n = B' = \max \left( |b_1|, \dots, |b_n|, 2, \frac{4}{3} \cdot n \cdot (p^{f_p/d} - 1) \right) .$$

Then  $\log(1 + \frac{3}{4n} \cdot B) \geq f_p \cdot (\log p)/d$ . By  $B \geq 2$  it follows that  $1 + \frac{3}{4n} \cdot B < B$ . Hence we can take

$$W = \log B .$$

There are two more conditions to be checked. The first one is that  $b_1 \dots b_n \neq 1$ . This is immediate, if we assume the obvious condition that not all  $b_i$  are zero. The second one is  $[K(\alpha_1^{1/q}, \dots, \alpha_n^{1/q}) : K] = q^n$ , which is less obvious. For our situation it follows from the following lemma.

LEMMA 7.7. *Let  $K = \mathbb{Q}(\sqrt{D})$ , with  $\epsilon$  as fundamental unit, and  $h$  as class number. Let  $p_1, \dots, p_s$  be distinct prime numbers, and let  $\mathfrak{p}_i$  be for*

$i = 1, \dots, s$  a prime ideal in  $K$  lying above  $\mathfrak{p}_i$ . Let  $h_i$  be a divisor of  $h$  such that  $\mathfrak{p}_i^{h_i}$  is principal, and denote a generator by  $\pi_i$ . Let either: (1) all  $\mathfrak{p}_i$  split, and then

$$\xi_0 = \frac{\epsilon}{\epsilon'}, \quad \xi_j = \frac{\pi_j}{\pi'_j} \quad \text{for } i = 1, \dots, s,$$

or: (2)

$$\xi_0 = \epsilon \text{ or } \epsilon', \quad \xi_j = \pi_j \text{ or } \pi'_j \quad \text{for } j = 1, \dots, s.$$

Let  $q$  be an odd prime, not dividing  $h$ . Then

$$[K(\xi_0^{1/q}, \dots, \xi_s^{1/q}) : K] = q^{s+1}.$$

Proof. Let  $K_0 = K(\xi_0^{1/q})$ , and  $K_i = K_{i-1}(\xi_i^{1/q})$  for  $i = 1, \dots, s$ . We use induction on  $i$  to prove that  $[K_s : K] = q^{s+1}$ . Note that  $[K_0 : K] = q$ . Suppose that  $[K_i : K] = q^{i+1}$ . It remains to prove that  $[K_{i+1} : K_i] = q$ , hence it suffices to prove that  $\xi_{i+1} \notin K_i$ , since  $q$  is prime. Suppose the contrary is true.  $K_i$  is a  $K$ -vector space of dimension  $q^{i+1}$ , with as basis all the elements

$$\tau_{k_0, \dots, k_i} = \prod_{j=0}^i \xi_j^{k_j/q}$$

for  $k_j \in \{0, 1, \dots, q-1\}$  for  $j = 0, \dots, i$ . It follows that there exist  $a_{k_0, \dots, k_i} \in K$  such that

$$\xi_{i+1}^{1/q} = \sum_{k_0, \dots, k_i} a_{k_0, \dots, k_i} \tau_{k_0, \dots, k_i}. \quad (7.19)$$

The group of  $K$ -embeddings of  $K_i$  into  $\mathbb{C}$  is generated by the  $\sigma_j$  for  $j = 0, \dots, i$  defined by

$$\sigma_j(\xi_\ell^{1/q}) = \xi_\ell^{1/q} \quad \text{for } \ell = 0, \dots, i, \quad \ell \neq j,$$

$$\sigma_j(\xi_j^{1/q}) = \rho \cdot \xi_j^{1/q},$$

where  $\rho$  is a primitive  $q$ th root of unity. Hence all the embeddings are given by

$$\varphi_{\ell_0, \dots, \ell_i} = \prod_{j=0}^i \sigma_j^{\ell_j}$$

for  $\ell_j \in \{0, 1, \dots, q-1\}$ . It follows that

$$\begin{aligned} \varphi_{\ell_0, \dots, \ell_i}(\tau_{k_0, \dots, k_i}) &= \prod_{j=0}^i \sigma_j^{\ell_j} \left( \prod_{m=0}^i \xi_m^{k_m/q} \right) = \prod_{j=0}^i \rho^{\ell_j k_j} \cdot \tau_{k_0, \dots, k_i} \\ &= \rho^{\sum_{j=0}^i \ell_j k_j} \cdot \tau_{k_0, \dots, k_i}. \end{aligned}$$

The minimal polynomial of  $\xi_{i+1}^{1/q}$  over  $K$  is  $X^q - \xi_{i+1}$ . Hence the conjugates of  $\xi_{i+1}^{1/q}$  are  $\rho^j \cdot \xi_{i+1}^{1/q}$  for  $j = 0, 1, \dots, q-1$ , all with equal multiplicity. There exist numbers  $m_j \in \{0, 1, \dots, q-1\}$  such that for  $j = 0, 1, \dots, q-1$  we have

$$\sigma_j(\xi_{i+1}^{1/q}) = \rho^{m_j} \cdot \xi_{i+1}^{1/q}.$$

Hence

$$\varphi_{\ell_0, \dots, \ell_i}(\xi_{i+1}^{1/q}) = \rho^{\sum_{j=0}^i \ell_j m_j} \cdot \xi_{i+1}^{1/q}.$$

Now apply  $\varphi_{\ell_0, \dots, \ell_i}$  to (7.19). Then for each tuple  $(\ell_0, \dots, \ell_i)$  we find

$$\rho^{\sum_{j=0}^i \ell_j m_j} \cdot \xi_{i+1}^{1/q} = \sum_{k_0, \dots, k_i} a_{k_0, \dots, k_i} \cdot \rho^{\sum_{j=0}^i \ell_j k_j} \cdot \tau_{k_0, \dots, k_i}.$$

Here we have a system of  $q^{i+1}$  linear equations in the  $q^{i+1}$  unknowns  $a_{k_0, \dots, k_i}$ . The determinant of this system is exactly the square root of the discriminant of  $K_i$  over  $K$ , hence nonzero. Consequently there is in  $\mathbb{C}^{q^{i+1}}$  just one solution of the system. But we know that solution:

$$\begin{aligned} a_{k_0, \dots, k_i} &= 0 \quad \text{if } (k_0, \dots, k_i) \neq (m_0, \dots, m_i), \\ a_{m_0, \dots, m_i} &= \xi_{i+1}^{1/q} \cdot \tau_{m_0, \dots, m_i}^{-1}. \end{aligned}$$

The latter equation now yields an equation over  $K$ :

$$\xi_{i+1} = a_{m_0, \dots, m_i}^q \cdot \prod_{j=0}^i \xi_j^{m_j}.$$

In case (1) this leads to the ideal equation

$$\left( \frac{p_{i+1}}{p'_{i+1}} \right)^{h_{i+1}} = a^q \cdot \prod_{j=1}^i \left( \frac{p_j}{p'_j} \right)^{m_j \cdot h_j} ,$$

and in case (2) to

$$p_{i+1}^{h_{i+1}} = a^q \cdot \prod_{j=1}^i p_j^{m_j \cdot h_j} ,$$

(where  $p^{(')}$  stands for  $p$  or  $p'$ ) for some fractional ideal  $a$  (note that  $(\xi_0) = (1)$ ). Because of unique factorization for ideals it follows in both cases that  $q$  divides all  $m_j \cdot h_j$  for  $j = 1, \dots, i$  and  $h_{i+1}$ . This contradicts the assumption  $q \nmid h$ .  $\square$

Remarks. 1. If  $\text{ord}_p(\alpha_1^{b_1} \dots \alpha_n^{b_n}) > 1/(p-1)$  then

$$\text{ord}_p(\alpha_1^{b_1} \dots \alpha_n^{b_n}) = \text{ord}_p(b_1 \cdot \log_p(\alpha_1) + \dots + b_n \cdot \log_p(\alpha_n)) .$$

We prefer to work with the logarithmic version, since that is the one we use in the computational method of reducing the upper bounds.

2. In order to apply Yu's lemma we can take for  $q$  the smallest odd prime that does not divide  $h \cdot p \cdot (p^{\frac{f}{p-1}})$ .

We now proceed to compute the constants  $C_1$  to  $C_{12}$ . To find  $C_1$  and  $C_2$  we apply Lemma 2.6 to  $\Lambda_i^*$ , for all  $i \in I_U$ . Then we find for each such  $i$  constants  $C_{1,i}, C_{2,i}$  such that, under the conditions

$$u_i + \lambda_i \geq \gamma_i , \quad B^* \geq \max \left( 2, \frac{4}{3} \cdot t_i \cdot (p_i^{\frac{f}{p_i} / 2} - 1) \right) ,$$

(where  $t_i$  denotes the number of terms in  $\Lambda_i^*$ ), we obtain

$$\text{ord}_{p_i}(\Lambda_i^*) < C_{1,i} + C_{2,i} \cdot \log B^* .$$

By Lemma 7.6(i) and the relation  $\text{ord}_p = e_p \cdot \text{ord}_{p_i}$  we see that, assuming the conditions

$$U \geq \max_{i \in I_U} (\gamma_i - \lambda_i) , \quad B^* \geq \max_{i \in I_U} \left( 2, \frac{4}{3} \cdot t_i \cdot (p_i^{\frac{f}{p_i} / 2} - 1) \right) \quad (7.20)$$

it suffices to take

$$C_1 = \max_{i \in I_U} [ -(\lambda_i + \text{ord}_{p_i}(h^*)) + C_{1,i}/e_{p_i} ] , \quad C_2 = \max_{i \in I_U} ( C_{2,i}/e_{p_i} ) .$$

Then (7.14) holds.

Next we apply Lemma 2.6 to  $K_i^*$  and  $K_i'^*$ , for all  $i \in I$  and  $I'$  respectively, to obtain  $C_3$  and  $C_4$ . By  $X^{(')}$  we denote  $X$  if  $i \in I$ , and  $X'$  if  $i \in I'$ . There exist by Lemma 2.6 constants  $C_{3,i}$  and  $C_{4,i}$  such that under the conditions

$$h_i \cdot c_i + \kappa_i^{(')} \geq \gamma_i , \quad B^* \geq \max \left( 2, \frac{4}{3} \cdot t_i \cdot (p_i^{f_{p_i}/2} - 1) \right)$$

(where again  $t_i$  denotes the number of terms of  $K_i^{(')*}$ ), it follows that

$$\text{ord}_{p_i}(K_i^{(')*}) < C_{3,i} + C_{4,i} \cdot \log B^* .$$

Again, by Lemma 7.6(ii),(ii') it follows that, under the conditions

$$M \geq \max_{i \in I \cup I'} \left( \frac{\gamma_i - \kappa_i^{(')}}{h_i} \right) , \quad B^* \geq \max_{i \in I \cup I'} \left( 2, \frac{4}{3} \cdot t_i \cdot (p_i^{f_{p_i}/2} - 1) \right) \quad (7.21)$$

it suffices to take

$$C_3 = \max_{i \in I \cup I'} \left[ \frac{\kappa_i^{(')} + \text{ord}_{p_i}(h^*)}{h_i} + \frac{C_{3,i}}{h_i \cdot e_{p_i}} \right] , \quad C_4 = \max_{i \in I \cup I'} \left( \frac{C_{4,i}}{h_i \cdot e_{p_i}} \right) .$$

Then (7.15) holds.

We take  $C_5$  to  $C_7$  as follows:

$$C_5 = \log(2 \cdot \left| \frac{\alpha'}{\alpha} \right|) / 2 \cdot \log \epsilon , \quad C_6 = \log(2 \cdot \left| \frac{\alpha}{\alpha'} \right|) / 2 \cdot \log \epsilon ,$$

$$C_7 = \left( \sum_{i \in I} \log \left| \frac{\pi_i}{\pi_i'} \right| + \sum_{i \in I'} \log \left| \frac{\pi_i'}{\pi_i} \right| \right) / 2 \cdot \log \epsilon .$$

Note that  $C_5$  or  $C_6$  may be negative, but that always  $-C_6 < C_5$ . Further,  $C_7$  is always strictly positive, unless  $I = I' = \emptyset$ . Next we show how to take  $C_8$  and  $C_9$ . Suppose first that

$$n > \max ( C_5, 0 ) .$$

Then, from  $\epsilon \cdot \epsilon' = \pm 1$  and the choice of  $\pi_i$  we find by (7.8) that

$$\left| \frac{X}{X'} \right| = \left| \frac{\alpha}{\alpha'} \right| \cdot \left| \frac{\epsilon}{\epsilon'} \right|^n \cdot \prod_{i \in I} \left| \frac{\pi_i}{\pi'_i} \right|^{c_i} \cdot \prod_{i \in I'} \left| \frac{\pi'_i}{\pi_i} \right|^{c_i} \geq \left| \frac{\alpha}{\alpha'} \right| \cdot \epsilon^{2 \cdot n} > 2 ,$$

which expresses that the first term of  $G_\alpha$  dominates. Put

$$P = \prod_{i \in I_U} p_i .$$

Then we infer

$$\begin{aligned} P^U &\geq \prod_{i \in I_U} p_i^{u_i} = |X - X'| / 2 \cdot \sqrt{D} > |X| / 4 \cdot \sqrt{D} \\ &= \frac{|\alpha|}{4\sqrt{D}} \cdot \epsilon^n \cdot \prod_{i \in I} |\pi_i|^{c_i} \cdot \prod_{i \in I'} |\pi'_i|^{c_i} > \frac{|\alpha|}{4\sqrt{D}} \cdot \epsilon^n , \end{aligned}$$

hence

$$n < \left( \log\left(\frac{4\sqrt{D}}{|\alpha|}\right) + U \cdot \log(P) \right) / \log \epsilon .$$

Next suppose that

$$n < \min ( -(C_6 + C_7 \cdot M), 0 ) .$$

Then we find that the second term of  $G_\alpha$  dominates, namely

$$\begin{aligned} \left| \frac{X'}{X} \right| &= \left| \frac{\alpha'}{\alpha} \right| \cdot \left| \frac{\epsilon'}{\epsilon} \right|^n \cdot \prod_{i \in I} \left| \frac{\pi'_i}{\pi_i} \right|^{c_i} \cdot \prod_{i \in I'} \left| \frac{\pi_i}{\pi'_i} \right|^{c_i} \\ &\geq \left| \frac{\alpha'}{\alpha} \right| \cdot \epsilon^{-2 \cdot n} \cdot \left( \prod_{i \in I} \left| \frac{\pi'_i}{\pi_i} \right| \cdot \prod_{i \in I'} \left| \frac{\pi_i}{\pi'_i} \right| \right)^M = \left| \frac{\alpha'}{\alpha} \right| \cdot \epsilon^{-2 \cdot (n + C_7 \cdot M)} \\ &> \left| \frac{\alpha'}{\alpha} \right| \cdot \epsilon^{2 \cdot C_6} = 2 . \end{aligned}$$

Put

$$\Gamma = \prod_{i \in I} \min ( 1, |\pi'_i| ) \cdot \prod_{i \in I'} \min ( 1, |\pi_i| ) .$$

Then we infer

$$P^U \geq |X - X'| / 2 \cdot \sqrt{D} > |X'| / 4 \cdot \sqrt{D} = \frac{|\alpha'|}{4\sqrt{D}} \cdot \epsilon^{|n|} \cdot \prod_{i \in I} |\pi'_i|^{c_i} \cdot \prod_{i \in I'} |\pi_i|^{c_i}$$

$$\begin{aligned} &\geq \frac{|\alpha'|}{4\sqrt{D}} \cdot \epsilon^{|n|} \cdot \prod_{i \in I} \min(1, |\pi'_i|)^{c_i} \cdot \prod_{i \in I'} \min(1, |\pi_i|)^{c_i} \\ &\geq \frac{|\alpha'|}{4\sqrt{D}} \cdot \epsilon^{|n|} \cdot \Gamma^M > \frac{|\alpha'|}{4\sqrt{D}} \cdot \epsilon^{|n|} \cdot \Gamma^{-(|n|-C_6)/C_7} . \end{aligned}$$

Hence

$$|n| < \left[ \log\left(\frac{4\sqrt{D}}{|\alpha'|} \cdot \Gamma^{-C_6/C_7}\right) + U \cdot \log(P) \right] / \log(\epsilon \cdot \Gamma^{1/C_7}) .$$

The remaining possibilities in cases (b) and (c) are  $C_5 < n \leq 0$  and  $0 \leq n < -(C_6+C_7 \cdot M) < -C_6$ . So we may take, noting that  $\Gamma \leq 1$ ,

$$\begin{aligned} C_8 &= \max \left[ \log\left(\frac{4\sqrt{D}}{|\alpha'|}\right) / \log \epsilon, \log\left(\frac{4\sqrt{D}}{|\alpha'|} \cdot \Gamma^{-C_6/C_7}\right) / \log(\epsilon \cdot \Gamma^{1/C_7}), -C_5, -C_6 \right] , \\ C_9 &= (\log P) / \log(\epsilon \cdot \Gamma^{1/C_7}) . \end{aligned}$$

Then (7.18) holds in the cases (b) and (c). Now take

$$C_{10} = \max \left[ C_1, C_3, |C_5|, |C_6|+C_3 \cdot C_7, C_8+C_1 \cdot C_9 \right] ,$$

$$C_{11} = \max \left[ C_2, C_4, C_4 \cdot C_7, C_2 \cdot C_9 \right] .$$

Then it follows that (7.16) is true, if conditions (7.20) and (7.21) hold. Hence, by Lemma 2.1, we infer the following result.

**LEMMA 7.8.** *In the above notation,*

$$B^* < C_{12}^* , \quad B < C_{12}$$

hold unconditionally, where

$$\begin{aligned} C_{12}^* &= \max \left[ 2 \cdot (N+h^* \cdot C_{10}+h^* \cdot C_{11} \cdot \log(h^* \cdot C_{11})), \max_{i \in I_U} (h^* \cdot (\gamma_i - \lambda_i) + N), \right. \\ &\quad \left. \max_{i \in I \cup I'} \left( h^* \cdot \frac{\gamma_i - \kappa_i^{(')}}{h_i} + N \right), 2, \max_{i \in I \cup I' \cup I_U} \left( \frac{4}{3} \cdot t_i \cdot (p_i^{f_i/2} - 1) \right) \right] , \\ C_{12} &= \frac{1}{h^*} \cdot (C_{12}^* + N) . \end{aligned}$$

**Proof.** Clear. □



Remarks. 1. Theorem 7.1 is an immediate corollary of Lemma 7.8.

2. In practice, almost always the first term in the max-definition of  $C_{12}^*$  dominates. Moreover, the term  $N$  will in practice disappear in the rounding off. Similarly, in the definitions of  $C_{10}$  and  $C_{11}$ , the dominating factors are in practice  $C_1$  to  $C_4$ .

### 7.7. The reduction technique.

We now want to reduce the upper bound  $C_{12}$  for  $B$  (or  $C_{12}^*$  for  $B^*$ , which is equivalent), to a much smaller upper bound. We do so using the  $p$ -adic computational diophantine approximation technique described in Section 3.11.

We perform this procedure for  $\Lambda = \Lambda_i^*, K_i^*, K_i'^*$ , for the relevant  $i$ . We work in the  $p$ -adic approximation lattices  $\Gamma_\mu$  themselves, and not in the sublattices described in Section 3.13. The computational bottlenecks are the computation of the  $p$ -adic logarithms to the desired precision, and the application of the  $L^3$ -Algorithm. We refer to Chapter 3 for details. Once we have found reduced bounds for  $\text{ord}_p(\Lambda)$  for the above mentioned  $\Lambda$ , we combine these bounds with Lemma 7.6 and with estimates (7.13), (7.17) and (7.18) to find reduced bounds for  $B$  and  $B^*$ .

When reduced upper bounds for  $B, B^*$  are found in this way, we may try the above procedure again, with  $C_{12}, C_{12}^*$  replaced by their reduced analogons. We may repeat the argument as long as improvement is still being made. But at a certain stage, usually near to the actual largest solution, the procedure will not yield any further improvement. Then we have to find all solutions by some other method. One technique that may be useful is the algorithm of Fincke and Pohst, described in Section 3.6. Another way is to search directly for solutions of the original diophantine equation below the reduced bounds. In our present equation this may well be done by employing congruence arguments for finding all solutions of the second equation of system (7.9) below the obtained bounds.

### 7.8. The standard example.

In this section we shall work out the procedure outlined above for our standard example  $(p_1, \dots, p_s) = (2, 3, 5, 7)$ , thus proving Theorem

7.2. In Tables II and III we give the necessary data on the fields  $K = \mathbb{Q}(\sqrt{D})$  for the 15 values of  $D$ , and on the factorization of 2, 3, 5, 7 in  $K$ .

Explanation of Tables II and III. For  $p_i = 2, 3, 5, 7$  we give in Table II a generator of the ideal  $\mathfrak{p}_i$  with  $\text{ord}_{\mathfrak{p}_i}(\mathfrak{p}_i) > 0$  if  $\mathfrak{p}_i$  is a principal ideal, and we give " $\mathfrak{p}_i$ " if it is not principal. In all the latter cases,  $h_i = 2$ , so  $\mathfrak{p}_i^2 = (\pi_i)$  is principal. An asterisk (\*) denotes a splitting prime. Note that for each  $D$  at most one of the primes 2, 3, 5, 7 splits, so  $t \leq 1$ . In the final column of Table II we give for the splitting prime  $\mathfrak{p}_i$  a generator  $\pi_i$  of the ideal  $\mathfrak{p}_i^{h_i}$ . In Table III, when  $\mathfrak{p}_i$  and  $\mathfrak{p}_j$  are not principal, but  $\mathfrak{p}_i \cdot \mathfrak{p}_j$  is, we give a generator of it.

From Tables II and III it is easy to find all possibilities for  $I, I'$  and  $\alpha$ . We may assume  $I' = \emptyset$ . In Table IV we give all possible  $I, I_U, \alpha$  (we give primes  $p_i$  instead of indices  $i$ ). An asterisk (\*) appears when  $(\alpha) \neq (\alpha')$ . The set  $I_U$  is found by checking  $G_\alpha \pmod{p_i}$  for all  $p_i$ .

There are 54 cases with  $I = \emptyset$  (the "symmetric" cases), and 54 cases with  $I \neq \emptyset$  (the "asymmetric" cases). We start with the symmetric cases. This incorporates all cases with  $D = 3, 5, 35, 42, 210$ , when none of the primes 2, 3, 5, 7 splits in  $\mathbb{Q}(\sqrt{D})$ . Now,  $t = 0$ , hence equation (7.10) becomes

$$G_\alpha(n) = \frac{\alpha}{2\sqrt{D}} \cdot \epsilon^n - \frac{\alpha'}{2\sqrt{D}} \cdot \epsilon'^n = \pm \prod_{i \in I_U} p_i^{u_i}. \quad (7.22)$$

With  $A = \epsilon + \epsilon' \in \mathbb{Z}$ ,  $B = N\epsilon = \epsilon \cdot \epsilon' = \pm 1$ , we have for all  $n \in \mathbb{Z}$

$$G_\alpha(n+2) = A \cdot G_\alpha(n+1) - B \cdot G_\alpha(n).$$

Since  $(\alpha) = (\alpha')$ , there is an  $n_0 \in \mathbb{Z}$  such that  $\alpha' = \pm \epsilon^{n_0} \cdot \alpha$ . Hence

$$|G_\alpha(n_0 - n)| = |G_\alpha(n)|$$

for all  $n \in \mathbb{Z}$ , which explains why we call these cases "symmetric". In this situation we can apply elementary congruence arguments, as explained in Section 4.5. We have the following result.

**LEMMA 7.9.** *Let  $\{p_1, \dots, p_4\} = \{2, 3, 5, 7\}$ . Equation (7.1) with conditions (7.2) and  $I = \emptyset$  has exactly 91 solutions, that appear in Table I marked with an asterisk (\*).*

Sketch of proof. In Table V we give the necessary data for these 54 cases. We explain this table, and leave many details to the reader to check. For each  $p = 2, 3, 5, 7$  we give  $\ell_1, n_1, a_1, h_2, \dots, h_7$ . If for a  $p$  only  $\ell_1$  is given, then  $p^{\ell_1+1} \nmid G_\alpha(n)$  for all  $n \in \mathbb{Z}$ , and  $p^{\ell_1} \mid G_\alpha(n)$  for at least one  $n \in \mathbb{Z}$ . If  $n_1, a_1$  are given, then

$$p^{\ell_1+1} \mid G_\alpha(n) \Leftrightarrow n \equiv n_1 \pmod{a_1}.$$

Define  $n_2 = a_1$  if  $n_1 = 0$ , and  $n_2 = n_1$  if  $n_1 \neq 0$ . Then  $n_2$  is the smallest positive index such that  $p^{\ell_1+1} \mid G_\alpha(n_2)$ . Now it is true that

$$G_\alpha(n_2) \mid G_\alpha(n) \text{ whenever } n \equiv n_1 \pmod{a_1},$$

This is related to symmetry properties of the recurrence sequence  $(G_\alpha(n))_{n=-\infty}^{\infty}$ . For  $q = 2, 3, 5, 7$  we have defined

$$h_q = \text{ord}_q(G_\alpha(n_2)).$$

Hence  $2^{h_2} \cdot 3^{h_3} \cdot 5^{h_5} \cdot 7^{h_7} \mid G_\alpha(n)$  whenever  $p^{\ell_1+1} \mid G_\alpha(n)$ . We have taken  $\ell_1$  so large that always

$$G_\alpha(n_2) > 2^{h_2} \cdot 3^{h_3} \cdot 5^{h_5} \cdot 7^{h_7}. \quad (7.23)$$

Consequently, there exists some prime  $r \geq 11$  that divides  $G_\alpha(n_2)$ , hence  $r$  divides all  $G_\alpha(n)$  with  $p^{\ell_1+1} \mid G_\alpha(n)$ . It follows that for a solution of equation (7.22) we must have

$$\text{ord}_p(G_\alpha(n)) \leq \ell_1.$$

In this way we find with ease all solutions of (7.22). □

Let us illustrate this with the example  $D = 3$ ,  $\alpha = \sqrt{3}$ . Then

$$G_\alpha(n) = \frac{1}{2} \cdot (2+\sqrt{3})^n + \frac{1}{2} \cdot (2-\sqrt{3})^n,$$

and  $G_\alpha(-n) = G_\alpha(n)$ . We have for  $G_\alpha(n)$ :

n	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
$G_\alpha(n)$	1	2	7	26	97	362	...									
$\text{mod } 4$	1	2	-1	2	1	2	-1	2	1	2	-1	2	1	2	-1	2
$\text{mod } 3$	1	-1	1	-1	1	-1	1	-1	1	-1	1	-1	1	-1	1	-1
$\text{mod } 5$	1	2	2	1	2	2	1	2	2	1	2	2	1	2	2	1
$\text{mod } 49$	1	2	7	-23	-1	19	-21	-5	1	9	-14	-16	-1	12	0	-12

We see that  $2^2, 3, 5 \nmid G_\alpha(n)$  for all  $n \in \mathbb{Z}$ , and  $2 \mid G_\alpha(n)$  if and only if  $n$  odd. So  $p = 7$  is the only interesting case. We have  $7 \mid G_\alpha(n)$  if and only if  $n \equiv 2 \pmod{4}$ ,  $7^2 \mid G_\alpha(n)$  if and only if  $n \equiv 14 \pmod{28}$ , (and in general

$$7^k \mid G_\alpha(n) \Leftrightarrow n \equiv 2 \cdot 7^{k-1} \pmod{4 \cdot 7^{k-1}}$$

for  $k \geq 1$ , and a similar relation holds for any symmetric recurrence and any prime  $p$  for which arbitrary high powers of  $p$  occur in  $G_\alpha(n)$ . Now,  $\ell_1 = 0$  does not lead to (7.23), since then  $n_2 = 2$ , and  $G_\alpha(2) = 7$ , so that no suitable  $r$  exists. But with  $\ell_1 = 1$  we have  $n_2 = 14$ , and  $h_2 = h_3 = h_5 = 0$ ,  $h_7 = 2$ , and (7.23) holds, since  $G_\alpha(14) > 7^2$ . Hence there exists a prime  $r \geq 11$  such that  $r \mid G_\alpha(14)$ , and thus  $r \mid G_\alpha(n)$  whenever  $7^2 \mid G_\alpha(n)$ . It follows that for solutions of (7.22) we have  $G_\alpha(n) \leq 2^1 \cdot 3^0 \cdot 5^0 \cdot 7^1 = 14$ , so that all solutions can be read from the above table. Note that it is not necessary that  $r$  is known explicitly, only that  $G_\alpha(n_2)$  is large enough. In our example,  $r = 337$  or  $r = 3079$  satisfy.

Finally we treat the remaining 54 cases, where  $I \neq \emptyset$ . Then we need the non-elementary reduction technique described in Sections 7.5 to 7.7.

In all our instances, the set  $I$  contains only one element, since there is only one splitting prime. We denote by  $\pi$  the  $\pi_i$  belonging to this prime, and we write  $m$  for  $c_i$ . Equation (7.10) now reads

$$\frac{\alpha}{2\sqrt{D}} \cdot \epsilon^n \cdot \pi^m - \frac{\alpha'}{2\sqrt{D}} \cdot \epsilon'^n \cdot \pi'^m = \pm \prod_{j \in I_U} p_j^{u_j}.$$

We computed the constants  $C_1$  to  $C_{12}$ ,  $C_{12}^*$ , according to Section 7.6, for each of the 54 cases. We omit the details of these computations, and simply give the data in Table VI. In this table we give for each  $D$  the  $p_i \in I_U$  together with the  $\nu_i$  and  $\lambda_i$  (it turns out that the  $\lambda_i$  do not depend on

the  $\alpha$ , only on the  $p_i$ ). The values " $n_\epsilon, n_\pi, n_2, n_3, n_5, n_7$ " are the integers such that

$$\alpha^2 = \pm \epsilon^n \cdot \pi^{n_\pi} \cdot 2^{n_2} \cdot \dots \cdot 7^{n_7}.$$

It follows that in all cases we have  $C_{12}^* < 3.23 \times 10^{30}$ .

The next step is to define the lattices, and find lower bounds for the shortest nonzero vectors in the lattices. We start with treating the  $\Lambda_i^*$ , of which there are 3 for each of the 10  $D$ 's. We have computed the 30 values of

$$\vartheta = -\frac{\log_{p_i}\left(\frac{\pi}{\pi'}\right)}{\log_{p_i}\left(\frac{\epsilon}{\epsilon'}\right)} \quad \text{or} \quad -\frac{\log_{p_i}\left(\frac{\epsilon}{\epsilon'}\right)}{\log_{p_i}\left(\frac{\pi}{\pi'}\right)},$$

such that it is a  $p_i$ -adic integer, to the desired precision of  $\mu$  digits. We took  $\mu$  as follows:

$p_i$	$\mu$	$p_i^\mu$
2	209	$8.22 \times 10^{62}$
3	133	$2.87 \times 10^{63}$
5	95	$2.52 \times 10^{66}$
7	76	$1.69 \times 10^{64}$

in order to have  $p_i^\mu$  somewhat larger than the maximal  $C_{12}^{*2}$ , being  $1.05 \times 10^{61}$ . We computed the 30 values of the  $\vartheta^{(\mu)}$ 's, but do not give them here. The lattices  $\Gamma_\mu$  are generated by the column vectors of the matrices

$$\begin{pmatrix} 1 & 0 \\ \vartheta^{(\mu)} & p_i^\mu \end{pmatrix}.$$

We performed the  $p$ -adic continued fraction algorithm of Section 3.10 for each of these 30 lattices. In the table below we give for each  $D$  the maximal  $C_{12}^*$  (there is one for each  $\alpha$ ), and the minimal bound for  $\ell(\Gamma_\mu)$  (there is one for each  $i \in I_U$ ) that we found. We omit further details. In all cases,  $\ell(\Gamma_\mu) > \sqrt{2} \cdot C_{12}^*$ . Hence Lemma 3.14 with  $n = 2$ ,  $c_1 = 0$ ,  $c_2 = 1$  yields

$$\text{ord}_{p_i}(\Lambda_i^*) < \mu + \mu_0, \quad i \in I_U,$$

where

$$\mu_0 = \min \left[ \text{ord}_{p_i} \left( \log_{p_i} \left( \frac{\epsilon}{\epsilon'} \right) \right), \text{ord}_{p_i} \left( \log_{p_i} \left( \frac{\pi}{\pi'} \right) \right) \right],$$

D	p	$\mu_0$	$C_{12}^* \leq$	$\ell(\Gamma_\mu) >$	$U \leq$
2	2, 3, 5	1.5, 1.0, 1.0	$3.19 \times 10^{28}$	$8.26 \times 10^{30}$	210
6	2, 3, 7	1.5, 1.5, 1.0	$2.72 \times 10^{26}$	$2.05 \times 10^{31}$	210
7	2, 5, 7	2.0, 1.0, 0.5	$1.07 \times 10^{30}$	$2.43 \times 10^{31}$	210
10	2, 5, 7	1.5, 0.5, 1.0	$3.22 \times 10^{29}$	$2.22 \times 10^{31}$	210
14	2, 3, 7	1.5, 1.0, 0.5	$4.80 \times 10^{26}$	$1.48 \times 10^{31}$	210
15	2, 3, 5	3.5, 1.5, 0.5	$2.15 \times 10^{28}$	$1.55 \times 10^{31}$	212
21	2, 3, 7	3.0, 0.5, 0.5	$1.90 \times 10^{26}$	$7.78 \times 10^{30}$	211
30	2, 3, 5	2.5, 0.5, 0.5	$4.15 \times 10^{28}$	$1.37 \times 10^{31}$	211
70	2, 5, 7	2.5, 0.5, 0.5	$3.23 \times 10^{30}$	$2.51 \times 10^{31}$	211
105	3, 5, 7	1.5, 0.5, 0.5	$4.54 \times 10^{29}$	$3.96 \times 10^{31}$	134

as given above. By  $\lambda_i + \text{ord}_{p_i}(h^*) \geq 0$  we obtain from Lemma 7.6(i) upper bounds for  $u_i$ ,  $i \in I_U$ , hence the upper bounds for  $U$ , as given in the table above.

Next, we treat the  $K_i^*$ , one for each  $D$ , having 5 terms, namely

$$K_i^* = n^* \cdot \log_{p_i}(\epsilon') + m^* \cdot \log_{p_i}(\pi') - \sum_{\substack{1 \leq j \leq 4 \\ j \neq i}} u_j^* \cdot \log_{p_i}(p_j),$$

where  $i \in I$ , so  $p_i$  is the splitting prime. We have the following data. From this table our choice for  $\sqrt{D} \pmod{p_i}$  becomes clear.

D	$p_i$	$\sqrt{D} \pmod{p_i}$	$\text{ord}_{p_i}(\log_{p_i}(\cdot))$					
			$\epsilon'$	$\pi'$	2	3	5	7
2	7	3	1	2	1	1	1	-
6	5	4	1	1	1	1	-	2
7	3	1	1	1	1	-	1	1
10	3	2	1	1	1	-	1	1
14	5	2	1	1	1	1	-	2
15	7	6	1	1	1	1	1	-
21	5	4	1	1	1	1	-	2
30	7	4	1	1	1	1	1	-
70	3	2	1	1	1	-	1	1
105	2	1 (mod 4)	2	4	-	2	2	3

It follows that  $\text{ord}_{p_i}(\log_{p_i}(\epsilon'))$  is always the least one of the five  $\text{ord}_{p_i}$ 's in the above table. So we define:

$$\vartheta_1 = -\frac{\log_{p_i}(\pi')}{\log_{p_i}(\epsilon')}, \quad \vartheta_{2,3,4} = -\frac{\log_{p_i}(p_j)}{\log_{p_i}(\epsilon')}, \quad (j \in \{1,2,3,4\}, j \neq i),$$

and we computed these numbers up to  $\mu$  digits, with  $\mu$  as follows.

$p_i$	$\mu$	$p_i^\mu$
2	539	$1.80 \times 10^{162}$
3	343	$4.49 \times 10^{163}$
5	245	$1.77 \times 10^{171}$
7	196	$4.36 \times 10^{165}$

so that  $p_i^\mu$  is somewhat larger than the maximal  $C_{12}^{*5}$ . We computed the 40 values of the  $\vartheta_{1,2,3,4}^{(\mu)}$ , but do not give them here. The lattices  $\Gamma_\mu$  are generated by the columns of the following matrices:

$$\begin{pmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ \vartheta_1^{(\mu)} & \vartheta_2^{(\mu)} & \vartheta_3^{(\mu)} & \vartheta_4^{(\mu)} & p^\mu \end{pmatrix}.$$

We computed the reduced bases of the 10 lattices by the  $L^3$ -algorithm. Again, we omit the computational details. We found data as follows.

D	p in I	$\mu$	$\mu_0$	$C_{12}^* \leq$	$\ell(\Gamma_\mu) >$	$M \leq$
2	7	196	1	$3.19 \times 10^{28}$	$2.25 \times 10^{32}$	196
6	5	245	1	$2.72 \times 10^{26}$	$2.16 \times 10^{33}$	245
7	3	343	1	$1.07 \times 10^{30}$	$1.14 \times 10^{32}$	343
10	3	343	1	$3.22 \times 10^{29}$	$1.07 \times 10^{32}$	343
14	5	245	1	$4.80 \times 10^{26}$	$4.92 \times 10^{33}$	245
15	7	196	1	$2.15 \times 10^{28}$	$2.78 \times 10^{32}$	196
21	5	245	1	$1.90 \times 10^{26}$	$4.37 \times 10^{33}$	245
30	7	196	1	$4.15 \times 10^{28}$	$2.69 \times 10^{32}$	196
70	3	343	1	$3.23 \times 10^{30}$	$1.03 \times 10^{32}$	343
105	2	539	2	$4.54 \times 10^{29}$	$6.68 \times 10^{31}$	540

In all instances,  $\ell(\Gamma_\mu) > \sqrt{5} \cdot C_{12}^*$ , so that by Lemmas 3.14 and 7.6(ii) and  $\kappa_i + \text{ord}_{p_i}(h^*) \geq 0$  and  $h_i \geq 1$  we have  $M \leq \text{ord}_{p_i}(K_i^*) < \mu + \mu_0$ , hence an upper bound for  $M$  as given in the table above.

Finally, we compute the new, reduced bounds for  $|n|$ , and thus for  $B$ . This we do by

$$|n| < \max \{ C_5, C_6 + C_7 \cdot M, C_8 + C_9 \cdot U \} .$$

Hence we find data as in the following table.

Here we used  $B^* \leq h^* \cdot B + N$  and  $h^* = 2$ . So in one step we have reduced the bound  $B^* < 3.23 \times 10^{31}$  to  $B^* \leq 1627$ . The total computation time was 1715 sec, on average 0.7 sec for each 2-dimensional lattice, and 170 sec for each 5-dimensional lattice.

D	$C_5 <$	$C_6 <$	$C_7 <$	$C_8 <$	$C_9 <$	$M \leq$	$U \leq$	$ n  \leq$	$B \leq$	$N \leq$	$B^* \leq$
2	0.394	0.394	0.420	1.967	3.859	196	210	812	812	3	1627
6	0.152	0.652	0.190	1.345	1.631	245	210	343	343	3	689
7	0.126	0.626	0.357	2.702	2.757	343	210	581	581	2	1164
10	0.601	0.191	0.181	1.396	2.337	343	210	492	492	3	987
14	0.102	0.602	0.325	1.861	1.508	245	210	318	318	3	639
15	0.540	0.668	0.257	1.394	1.649	196	212	350	350	2	702
21	0.222	0.722	0.142	1.564	2.386	245	211	505	505	1	1011
30	0.414	0.613	0.399	1.239	1.102	196	211	233	233	3	469
70	0.362	0.556	0.390	2.729	1.505	343	211	320	343	3	689
105	0.390	0.579	0.379	3.232	2.545	540	134	344	540	1	1081

We made a further reduction step, now using the reduced bound for  $B^*$  as given above in stead of  $C_{12}^*$ . We give the data for the  $\Lambda_i^*$  in the table below. For  $\mu$  we took  $\mu_1 \cdot \mu_2$ , with  $\mu_1$  as above, and  $\mu_2$  as below:

p	2	3	5	7
$\mu_2$	11	7	5	4

We found  $\ell(\Gamma_\mu)$  and bounds for  $U$  as given above. For the  $K_i^*$  we found, with  $\mu = \mu_1 \cdot \mu_2$  with  $\mu_2$  as above, and  $\mu_1$  as in the first table below, the results given in the second table below.



D	$B^* \leq$	$\sqrt{2} \cdot B^* <$	$\mu_1$	$\mu \leq$	$\ell(\Gamma_\mu) \geq$	$\mu_0 \leq$	$U \leq$
2	1627	2301	2	22	$1.82 \times 10^3$	1.5	23
6	689	975	3	33	$3.99 \times 10^4$	1.5	34
7	1164	1647	3	33	$4.50 \times 10^4$	2	34
10	987	1396	3	33	$5.91 \times 10^4$	1.5	34
14	639	904	3	33	$2.58 \times 10^4$	1.5	34
15	702	993	3	33	$7.36 \times 10^4$	3.5	36
21	1011	1430	3	33	$2.00 \times 10^4$	3	35
30	469	664	2	22	$9.98 \times 10^2$	2.5	24
70	689	975	3	33	$5.76 \times 10^4$	2.5	35
105	1081	1529	3	21	$3.89 \times 10^4$	1.5	22

D	$B^* \leq$	$\sqrt{5} \cdot B^* <$	$\mu_1$	$\mu \leq$	$\ell(\Gamma_\mu) \geq$	$\mu_0 \leq$	M ≤	n  ≤	B ≤	$B^* \leq$
2	1627	3639	7	28	$1.24 \times 10^4$	1	28	90	90	183
6	689	1541	6	30	$4.04 \times 10^3$	1	30	145	145	293
7	1164	2603	7	49	$1.07 \times 10^4$	1	49	96	96	194
10	987	2207	7	49	$1.16 \times 10^4$	1	49	80	80	163
14	639	1429	6	30	$3.07 \times 10^3$	1	30	53	53	109
15	702	1570	6	24	$2.70 \times 10^3$	1	24	60	60	122
21	1011	2261	6	30	$3.88 \times 10^3$	1	30	85	85	171
30	469	1049	6	24	$2.50 \times 10^3$	1	24	27	27	57
70	689	1541	6	42	$1.90 \times 10^3$	1	42	55	55	113
105	1081	2418	7	77	$1.00 \times 10^4$	2	78	59	78	157

The computation time was 15 sec. We made a third step, with for  $\Lambda_i^*, K_i^*$  :

D	$B^* \leq$	$\sqrt{2} \cdot B^* <$	$\mu_1$	$\mu \leq$	$\ell(\Gamma_\mu) \geq$	$\mu_0 \leq$	$U \leq$
2	183	258.9	2	22	1821	1.5	23
6	299	414.4	2	22	875	1.5	23
7	194	274.4	2	22	1285	2	23
10	163	230.6	2	22	634	1.5	23
14	109	154.2	2	22	268	1.5	23
15	122	172.6	2	22	873	3.5	25
21	171	241.9	2	22	818	3	25
30	57	80.7	2	22	998	2.5	24
70	113	159.9	2	22	585	2.5	24
105	157	222.1	2	14	281	1.5	15

D	$B^* \leq$	$\sqrt{5} \cdot B^* <$	$\mu_1$	$\mu \leq$	$\ell(\Gamma_\mu) \geq$	$\mu_0 \leq$	M
2	183	409.3	5	20	440	1	20
6	293	655.2	5	25	665	1	25
7	194	433.8	6	42	602	1	42
10	163	364.5	5	35	473	1	35
14	109	243.8	5	25	626	1	25
15	122	272.9	6	24	2700	1	24
21	171	382.4	5	25	645	1	25
30	57	127.5	4	16	129	1	16
70	113	252.7	5	35	366	1	35
105	157	351.1	5	55	354	2	56

and finally for  $|n|$ , and in more detail for  $\text{ord}_{p_i}(u)$  for  $i \in I_U$

D	M	$u_2 \leq$	$u_3 \leq$	$u_5 \leq$	$u_7 \leq$	$ n  \leq$
2	20	23	14	10	0	90
6	25	23	15	0	8	38
7	42	23	0	10	8	66
10	35	23	0	10	8	55
14	25	23	14	0	8	36
15	24	25	15	10	0	42
21	25	24	14	0	8	61
30	16	24	14	10	0	27
70	35	24	0	10	8	65
105	56	0	14	10	8	41

Now we will not find any further improvement if we proceed in the same way. But the upper bounds are now small enough to admit enumeration of the remaining possibilities, making use of mod  $p$  arithmetic for  $p = 2, 3, 5, 7$ . We did so, and found the remaining solutions, presented in Table I. We used only 3 sec computer time for this last step.

This completes the proof of Theorem 7.2. □

### 7.9. Tables.

Table I. (Theorem 7.2.)

Nr	X	Y	Z	D	Nr	X	Y	Z	D
1	4375	-4374	1	7	51	7	2	3	7
2	2401	-2400	1	1	52	6	3	3	6
3	225	-224	1	1	53	5	4	3	5
4	126	-125	1	14	54	70	-54	4	70
5	81	-80	1	1	55	30	-14	4	30
6	64	-63	1	1	56	25	-9	4	25
7	50	-49	1	2	57	21	-5	4	21
8	49	-48	1	1	58	18	-2	4	18
9	36	-35	1	1	59	15	1	4	15
10	28	-27	1	7	60	14	2	4	14
11	25	-24	1	1	61	10	6	4	10
12	21	-20	1	21	62	9	7	4	9
13	16	-15	1	1	63	5145	-5145	5	105
14	15	-14	1	15	64	270	-245	5	30
15	10	-9	1	10	65	160	-115	5	10
16	9	-8	1	1	66	105	-80	5	105
17	8	-7	1	2	67	81	-56	5	81
18	7	-6	1	7	68	70	-45	5	70
19	6	-5	1	6	69	60	-35	5	15
20	5	-4	1	5	70	49	-24	5	1
21	4	-3	1	1	71	45	-20	5	5
22	3	-2	1	3	72	40	-15	5	10
23	2	-1	1	2	73	35	-10	5	35
24	2	-1	2	10	74	32	-7	5	2
25	490	-486	2	6	75	30	-5	5	30
26	54	-50	2	1	76	28	-3	5	7
27	49	-45	2	1	77	27	-2	5	3
28	25	-21	2	1	78	24	1	5	6
29	18	-14	2	2	79	21	4	5	21
30	14	-10	2	14	80	20	5	5	5
31	10	-6	2	10					
32	9	-5	2	1	81	18	7	5	2
33	7	-3	2	7	82	16	9	5	1
34	6	-2	2	6	83	15	10	5	15
35	5	-1	2	5	84	50	-14	6	2
36	3	1	2	3	85	42	-6	6	42
37	2	2	2	2	86	35	1	6	35
38	384	-375	3	6	87	30	6	6	30
39	105	-96	3	105	88	21	15	6	21
40	84	-75	3	21	89	1750	-1701	7	70
41	49	-40	3	1	90	945	-896	7	105
42	30	-21	3	30					
43	25	-16	3	1	91	625	-576	7	1
44	24	-15	3	6	92	224	-175	7	14
45	21	-12	3	21	93	189	-140	7	21
46	16	-9	3	1	94	175	-126	7	7
47	15	-6	3	1	95	112	-63	7	105
48	14	-5	3	15	96	105	-56	7	7
49	12	-3	3	14	97	84	-35	7	21
50	10	-1	3	10	98	81	-32	7	7
	8	1	3	8	99	70	-21	7	70
			3	2	100	64	-15	7	1

Table I. (cont.)

NE	X	Y	Z	D	Nr	X	Y	Z	D
101	63	-14	7	7	151	72	49	11	2
102	56	-7	7	14	152	294	-150	12	6
103	54	-5	7	6	153	150	-6	12	6
104	50	-1	7	2	154	147	-3	12	3
105	48	1	7	3	155	729	-560	13	1
106	45	4	7	5	156	512	-343	13	2
107	42	7	7	42	157	294	-125	13	6
108	40	9	7	10	158	250	-81	13	10
109	35	14	7	35	159	225	-56	13	1
110	28	21	7	7	160	139	-27	13	1
111	25	24	7	1	161	189	-20	13	21
112	750	-686	8	30	162	175	-6	13	7
113	189	-125	8	21	163	168	1	13	42
114	162	-98	8	2	164	162	7	13	6
115	70	-6	8	70	165	160	9	13	2
116	63	1	8	7	166	144	25	13	10
117	54	10	8	6	167	120	49	13	1
118	50	14	8	2	168	105	64	13	30
119	49	15	8	1	169	250	-54	13	105
120	375	-294	9	15	170	210	-14	14	10
121	256	-175	9	1	171	189	7	14	210
122	105	-24	9	105	172	175	21	14	7
123	96	-15	9	6	173	126	70	14	7
124	84	-3	9	21	174	960	-735	14	14
125	80	1	9	5	175	245	-20	15	15
126	75	6	9	3	176	240	-15	15	5
127	60	21	9	15	177	224	-1	15	15
128	56	25	9	14	178	210	15	15	14
129	49	32	9	14	179	120	105	15	210
130	343	-243	10	7	180	270	-14	15	30
131	135	-35	10	15	181	250	6	16	10
132	105	-5	10	105	182	175	81	16	16
133	98	2	10	2	183	6561	-6272	17	7
134	90	10	10	10	184	1024	-735	17	1
135	70	30	10	70	185	625	-336	17	1
136	625	-504	11	1	186	343	-54	17	7
137	441	-320	11	1	187	324	-35	17	1
138	256	-135	11	1	188	294	-5	17	6
139	196	7	11	7	189	268	1	17	6
140	175	-54	11	4	190	280	9	17	2
141	135	-14	11	15	191	240	49	17	70
142	128	42	11	15	192	225	64	17	15
143	126	-5	11	14	193	189	100	17	1
144	125	-4	11	5	194	294	30	17	21
145	120	1	11	30	195	1225	-864	18	6
146	112	9	11	7	196	486	-125	18	6
147	105	16	11	105	197	441	-80	19	6
148	100	21	11	1	198	375	-14	19	1
149	96	25	11	6	199	360	1	19	15
150	81	40	11	1	200	343	18	19	10

Table I. (cont.)

Nr	X	Y	Z	D	Nr	X	Y	Z	D	Nr	X	Y	Z	D
201	336	25	19	21	261	945	280	35	105	251	1701	-20	41	20
202	280	81	19	70	262	1680	2	37	105	252	1680	1	41	680
203	256	105	19	1	263	1600	81	37	21	253	1600	81	41	600
204	490	-90	20	10	264	1750	14	37	14	254	1750	14	42	70
205	405	-5	20	5	265	1800	49	37	1	255	1800	49	43	2
206	525	-84	21	21	266	1120	729	38	14	256	1120	729	43	70
207	448	7	21	7	267	1250	686	39	6	257	1250	686	44	2
208	420	21	21	105	268	1920	105	39	21	258	1920	105	45	2
209	336	209	21	21	269	16384	-14175	39	15	259	16384	-14175	47	1
210	729	-245	22	1	270	2401	-192	41	1	260	2401	-192	47	1
211	490	-6	22	10	271	2205	4	41	20	261	1701	-20	41	20
212	486	-2	22	6	272	2160	49	41	680	262	1680	1	41	680
213	1215	-686	23	15	273	2625	-224	41	105	263	1600	81	41	105
214	1029	-500	23	21	274	2400	1	49	70	264	1750	14	42	70
215	729	-200	23	1	275	1701	700	49	1	265	1800	49	43	2
216	625	-96	23	1	276	2430	70	49	1	266	1120	729	43	70
217	525	4	23	21	277	2625	-24	50	30	267	1250	686	44	2
218	504	25	23	14	278	2401	200	51	105	268	1920	105	45	2
219	480	49	23	30	279	15309	-12500	51	200	269	16384	-14175	47	1
220	448	81	23	7	280	2800	9	53	20	270	2401	-192	47	1
221	625	-49	24	1	271	2205	4	53	20	271	2205	4	47	5
222	945	-320	25	105	272	2160	49	47	15	272	2160	49	47	15
223	640	-15	25	10	273	2625	-224	47	105	273	2625	-224	49	105
224	630	-5	25	70	274	2400	1	49	70	274	2400	1	49	70
225	576	49	25	1	275	1701	700	49	1	275	1701	700	49	21
226	490	135	25	10	276	2430	70	50	30	276	2430	70	50	30
227	686	-10	26	14	277	2625	-24	51	105	277	2625	-24	51	105
228	675	1	26	3	278	2401	200	51	200	278	2401	200	51	200
229	1029	-300	27	31	279	15309	-12500	53	20	279	15309	-12500	53	20
230	750	-21	27	30	280	2800	9	53	20	280	2800	9	53	7
231	735	-6	27	15	281	2025	784	53	1	281	2025	784	53	1
232	1134	-350	28	14	282	3430	-405	55	70	282	3430	-405	55	70
233	1825	-384	29	1	283	3024	1	55	21	283	3024	1	55	21
234	840	1	29	210	284	3150	-14	56	14	284	3150	-14	56	14
235	729	112	29	1	285	3200	49	57	2	285	3200	49	57	2
236	625	216	29	1	286	4050	-686	58	2	286	4050	-686	58	2
237	441	400	29	1	287	3456	25	59	6	287	3456	25	59	6
238	6561	-5600	31	1	288	2401	1080	59	1	288	2401	1080	59	1
239	2401	-1440	31	1	289	35721	-32000	61	1	289	35721	-32000	61	1
240	1024	-63	31	1	290	4096	-375	61	1	290	4096	-375	61	1
241	960	1	31	15	291	3969	-125	62	1	291	3969	-125	62	1
242	945	16	31	105	292	2825	1344	63	105	292	2825	1344	63	105
243	625	336	31	1	293	3969	256	65	1	293	3969	256	65	1
244	1029	-5	32	21	294	4480	9	67	70	294	4480	9	67	70
245	2625	-1536	33	105	295	4374	250	68	6	295	4374	250	68	6
246	1029	60	33	21	296	5145	-384	68	105	296	5145	-384	68	105
247	1792	-567	35	7	297	15625	-10584	71	1	297	15625	-10584	71	1
248	1260	-35	35	35	298	5040	1	71	35	298	5040	1	71	35
249	1215	10	35	15	299	4096	945	71	1	299	4096	945	71	1
250	1120	105	35	70	300	4704	625	73	6	300	4704	625	73	6

Table I. (cont.)

Nr	X	Y	Z	D	Nr	X	Y	Z	D
301	5145	480	75	105	351	59049	1960	247	1
302	3375	2401	76	15	352	63000	1	251	70
303	6804	-875	77	21	353	64000	9	253	10
304	6561	-320	79	1	354	48384	15625	253	21
305	6250	-9	79	10	355	59049	7000	257	1
306	3840	2401	79	15	356	69120	49	263	30
307	8505	-1280	85	105	357	85750	-486	292	70
308	7840	81	89	10	358	83349	2500	293	21
309	65625	-57344	91	105	359	140625	-43904	311	1
310	8505	-224	91	105	360	109375	-1134	329	7
311	10240	-1215	95	10	361	82944	30625	337	1
312	9408	1	97	3	362	128625	256	359	105
313	9800	1	99	2	363	137781	-140	371	62
314	10206	-5	101	14	364	76545	71680	385	105
315	1029	1029	102	15	365	196830	-33614	404	30
316	10584	25	103	6	366	117649	48000	407	1
317	11250	-14	106	2	367	168070	30	410	70
318	12544	225	113	1	368	179200	6561	431	7
319	10368	2401	113	2	369	137200	59049	443	7
320	13230	-5	115	30	370	201684	-1875	447	21
321	15625	-1701	118	1	371	201600	1	449	14
322	14336	-175	119	14	372	214375	-6	463	7
323	14175	-14	119	7	373	252105	-24576	477	105
324	14406	-6	120	6	374	243000	49	493	30
325	18225	-3884	121	1	375	245760	-735	495	15
326	16128	1	127	7	376	262144	5145	517	1
327	15625	504	127	1	377	390625	-112896	527	1
328	1536	1536	131	1	378	688905	-5	830	105
329	17500	189	133	7	379	1058841	-20480	1019	1
330	18144	625	137	14	380	1440000	2401	1201	1
331	18750	294	138	30	381	1640625	336	1281	105
332	117649	-97200	143	1	382	4214784	25	2053	21
333	21504	105	147	21	383	4782969	4375	2188	1
334	24010	15	155	10	384	5764801	-9600	2399	1
335	2625	1024	157	105	385	19140625	-17496	4373	14
336	26250	1	161	5	386	23049600	1	4801	6
337	26250	-6	162	42	387	76545000	1	8749	42
338	16807	13122	173	7	388	199290375	-686	14117	15
339	30618	7	175	42					
340	32768	-7	181	2					
341	33614	-125	183	14					
342	43740	-1715	205	15					
343	43750	-486	208	70					
344	46305	-80	215	105					
345	50625	-896	223	1					
346	49000	729	223	10					
347	126654	-78125	227	6					
348	55566	-3125	229	14					
349	60025	-1944	241	1					
350	59535	1	244	15					

Table II.

D	h	$\epsilon$	$N\epsilon$	$p_1$	$p_2$	$p_3$	$p_4$	$\pi_i$
2	1	$1+\sqrt{2}$	-1	$\sqrt{2}$	3	5	$1+2\sqrt{2}^*$	$1+2\sqrt{2}$
3	1	$2+\sqrt{3}$	1	$1+\sqrt{3}$	$\sqrt{3}$	5	7	-
5	1	$\frac{1}{2}(1+\sqrt{5})$	-1	2	3	$\sqrt{5}$	7	-
6	1	$5+2\sqrt{6}$	1	$2+\sqrt{6}$	$3+\sqrt{6}$	$1+\sqrt{6}^*$	7	$1+\sqrt{6}$
7	1	$8+3\sqrt{7}$	1	$3+\sqrt{7}$	$2+\sqrt{7}^*$	5	$\sqrt{7}$	$2+\sqrt{7}$
10	2	$3+\sqrt{10}$	-1	$p_1$	$p_2^*$	$p_3$	7	$1+\sqrt{10}$
14	1	$15+4\sqrt{14}$	1	$4+\sqrt{14}$	3	$3+\sqrt{14}^*$	$7+2\sqrt{14}$	$3+\sqrt{14}$
15	2	$4+\sqrt{15}$	1	$p_1$	$p_2$	$p_3$	$p_4^*$	$8+\sqrt{15}$
21	1	$\frac{1}{2}(5+\sqrt{21})$	1	2	$\frac{1}{2}(3+\sqrt{21})$	$\frac{1}{2}(1+\sqrt{21})^*$	$\frac{1}{2}(7+\sqrt{21})$	$\frac{1}{2}(1+\sqrt{21})$
30	2	$11+2\sqrt{30}$	1	$p_1$	$p_2$	$5+\sqrt{30}$	$p_4^*$	$13+2\sqrt{30}$
35	2	$6+\sqrt{35}$	1	$p_1$	3	$p_3$	$p_4$	-
42	2	$13+2\sqrt{42}$	1	$p_1$	$p_2^*$	5	$7+\sqrt{42}$	-
70	2	$25+30\sqrt{70}$	1	$p_1^*$	$p_2$	$25+3\sqrt{70}$	$p_4$	$17+2\sqrt{70}$
105	2	$41+4\sqrt{105}$	1	$p_1$	$p_2$	$10+\sqrt{105}$	$p_4$	$\frac{1}{2}(11+\sqrt{105})$
210	4	$29+2\sqrt{210}$	1	$p_1$	$p_2$	$p_3$	$p_4$	-

Table III.

D	$p_1 \cdot p_2$	$p_1 \cdot p_3$	$p_1 \cdot p_4$	$p_2 \cdot p_3$	$p_2 \cdot p_4$	$p_3 \cdot p_4$
10	$-2+\sqrt{10}$	$\sqrt{10}$	-	$5-\sqrt{10}$	-	-
15	$3+\sqrt{15}$	$5+\sqrt{15}$	$1+\sqrt{15}$	$\sqrt{15}$	$6-\sqrt{15}$	$-5+2\sqrt{15}$
30	$6+\sqrt{30}$	-	$-4+\sqrt{30}$	-	$3+\sqrt{30}$	-
35	-	$5+\sqrt{35}$	$7+\sqrt{35}$	-	-	$\sqrt{35}$
42	$6+\sqrt{42}$	-	-	-	-	-
70	$-8+\sqrt{70}$	-	$42+5\sqrt{70}$	-	$7+\sqrt{70}$	-
105	$\frac{1}{2}(-9+\sqrt{105})$	-	$\frac{1}{2}(7+\sqrt{105})$	-	$21+2\sqrt{105}$	-
210	-	-	$14+\sqrt{210}$	$15+\sqrt{210}$	-	-

Table IV.

D	$\alpha$	I	$I_U$	D	$\alpha$	I	$I_U$	D	$\alpha$	I	$I_U$
2	1	-	2357	14	$4+\sqrt{14}$	-	7	35	1	-	2357
	1	7	235		$4+\sqrt{14}$	5	7		$\sqrt{35}$	-	23
	$\sqrt{2}$	-	3 7		$7+2\sqrt{14}$	-	2		$5+\sqrt{35}$	-	7
	$\sqrt{2}$	7	35		$7+2\sqrt{14}$	5	2		$7+\sqrt{35}$	-	5
3	1	-	2357	15	1	-	2357	42	1	-	2357
	$\sqrt{3}$	-	2 7		1	7	235		$\sqrt{42}$	-	-
	$1+\sqrt{3}$	-	3		$\sqrt{15}$	-	2		$6+\sqrt{42}$	-	57
	$3+\sqrt{3}$	-	5		$\sqrt{15}$	7	2		$7+\sqrt{42}$	-	3
5	2	-	2357		$3+\sqrt{15}$	-	57	70	1	-	2357
	$2\sqrt{5}$	-	23 7		$3+\sqrt{15}$	7	5		1	3	2 57
6	1	-	2357		$5+\sqrt{15}$	-	3		$\sqrt{70}$	-	-
	1	5	23 7		$5+\sqrt{15}$	7	3		$\sqrt{70}$	3	-
	$\sqrt{6}$	-	57		$1+\sqrt{15}^*$	7	35		$25+3\sqrt{70}$	-	3 7
	$\sqrt{6}$	5	7		$15+\sqrt{15}^*$	7	-		$25+3\sqrt{70}$	3	7
	$2+\sqrt{6}$	-	3		$6-\sqrt{15}^*$	7	2 5		$42+5\sqrt{70}$	-	5
	$2+\sqrt{6}$	5	3		$-5+2\sqrt{15}^*$	7	23		$42+5\sqrt{70}$	3	5
	$3+\sqrt{6}$	-	-	21	2	-	2357		$7+\sqrt{70}^*$	3	5
	$3+\sqrt{6}$	5	2		2	5	23 7		$10+\sqrt{70}^*$	3	7
7	1	-	2357		$2\sqrt{21}$	-	2 5		$-8+\sqrt{70}^*$	3	57
	1	3	2 57		$2\sqrt{21}$	5	2		$35-4\sqrt{70}^*$	3	2
	$\sqrt{7}$	-	2		$3+\sqrt{21}$	-	2 7	105	2	-	2357
	$\sqrt{7}$	3	2 5		$3+\sqrt{21}$	5	2 7		2	2	357
	$3+\sqrt{7}$	-	7		$7+\sqrt{21}$	-	23		$2\sqrt{105}$	-	2
	$3+\sqrt{7}$	3	57		$7+\sqrt{21}$	5	23		$2\sqrt{105}$	2	-
	$7+3\sqrt{7}$	-	35	30	1	-	2357		$20+2\sqrt{105}$	-	23 7
	$7+3\sqrt{7}$	3	5		1	7	235		$20+2\sqrt{105}$	2	3 7
10	1	-	2357		$\sqrt{30}$	-	-		$42+4\sqrt{105}$	-	2 5
	1	3	2 57		$\sqrt{30}$	7	-		$42+4\sqrt{105}$	2	5
	$\sqrt{10}$	-	3 7		$5+\sqrt{30}$	-	3 7		$7+\sqrt{105}^*$	2	35
	$\sqrt{10}$	3	7		$5+\sqrt{30}$	7	3		$15+\sqrt{105}^*$	2	7
	$-2+\sqrt{10}^*$	3	57		$6+\sqrt{30}$	-	5		$-9+\sqrt{105}^*$	2	57
	$5-\sqrt{10}^*$	3	2 7		$6+\sqrt{30}$	7	5		$35-3\sqrt{105}^*$	2	3
14	1	-	2357		$3+\sqrt{30}^*$	7	5	210	1	-	2357
	1	5	23 7		$10+\sqrt{30}^*$	7	3		$\sqrt{210}$	-	-
	$\sqrt{14}$	-	35		$-4+\sqrt{30}^*$	7	35		$14+\sqrt{210}$	-	35
	$\sqrt{14}$	5	3		$15-2\sqrt{30}^*$	7	2		$15+\sqrt{210}$	-	7



Table V.

D	A	B	a	b	$n_0$	p = 2							p = 3							p = 5							p = 7									
						$t_1$	$n_1$	$a_1$	$b_2$	$h_3$	$h_5$	$h_7$	$t_1$	$n_1$	$a_1$	$b_2$	$h_3$	$h_5$	$h_7$	$t_1$	$n_1$	$a_1$	$b_2$	$h_3$	$h_5$	$h_7$	$t_1$	$n_1$	$a_1$	$b_2$	$h_3$	$h_5$	$h_7$			
2	2	-1	1	0	0	2	0	8	3	1	0	0	1	0	12	2	2	1	1	1	1	0	15	0	0	2	0	1	0	42	1	0	1	2		
2	2	-1	0	1	0	0	0	0	0	0	0	0	1	6	12	0	2	0	0	0	0	0	0	0	0	0	0	0	21	42	0	0	0	2		
3	4	1	1	0	0	3	0	8	4	0	0	1	1	0	9	0	2	1	0	0	0	1	0	15	0	1	2	0	1	0	28	3	0	0	2	
3	4	1	0	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2		
3	4	1	1	1	-1	0	1	4	9	0	2	0	0	1	4	9	0	2	0	0	0	0	1	7	15	0	0	2	0	0	14	28	0	0	2	
3	4	1	3	1	-1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	7	15	0	0	2	0	0	0	0	0	0	2		
5	1	-1	2	0	0	4	0	24	5	2	0	1	2	0	36	4	3	0	0	0	0	1	0	25	0	0	2	0	1	0	56	0	1	0	2	
5	1	-1	0	2	0	2	0	0	0	0	0	0	2	18	36	1	3	0	0	0	0	0	0	0	0	0	0	1	28	56	0	0	0	2		
6	10	1	1	0	0	3	0	8	4	0	1	2	0	0	3	1	2	0	0	0	0	1	0	10	2	0	2	0	2	0	28	3	0	1	3	
6	10	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	5	10	0	0	2	0	0	14	28	0	0	0	3	
6	10	1	2	1	-1	0	0	0	0	0	0	0	2	4	9	0	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3	
6	10	1	3	1	-1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
7	16	1	1	0	0	4	0	4	5	1	0	0	1	0	3	0	2	1	0	0	0	0	0	3	0	2	1	0	0	0	7	0	1	0	1	
7	16	1	0	1	0	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	
7	16	1	3	1	-1	0	0	0	0	0	0	0	2	4	9	0	3	1	0	0	0	1	7	15	0	2	2	0	0	3	7	0	0	0	1	
7	16	1	7	3	-1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	
10	6	-1	1	0	0	1	0	4	2	1	0	0	1	0	6	1	2	0	0	0	0	0	0	5	0	0	1	0	0	8	3	1	0	1	1	
10	6	-1	0	1	0	0	0	0	0	0	0	0	1	3	6	0	2	0	0	0	0	0	0	0	0	0	0	0	0	4	8	0	0	1		
14	30	1	1	0	0	3	0	4	4	1	1	0	1	0	6	3	2	1	0	1	0	1	0	10	3	1	2	0	0	0	7	2	0	0	1	
14	30	1	0	1	0	0	0	0	0	0	0	0	1	3	6	0	2	1	0	0	0	0	1	5	10	0	1	2	0	0	0	0	0	0	1	
14	30	1	4	1	-1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	
14	30	1	7	2	-1	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	
15	8	1	1	0	0	3	0	4	4	0	0	0	2	0	9	0	3	0	1	0	0	0	0	5	0	0	1	0	1	0	21	0	2	0	2	
15	8	1	0	1	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	
15	8	1	3	1	-1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	
15	8	1	5	1	-1	0	0	0	0	0	0	0	2	4	9	0	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	
21	5	1	2	0	0	3	0	6	4	1	1	0	1	0	9	3	2	0	0	1	0	1	0	10	0	0	2	0	0	0	7	0	0	0	1	
21	5	1	0	2	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	5	10	0	0	2	0	0	0	0	0	0	1	
21	5	1	3	1	-1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	
21	5	1	7	1	-1	1	0	0	0	0	0	0	1	4	9	1	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	
30	22	1	1	0	0	1	0	2	2	0	0	0	0	0	3	1	1	0	1	0	0	0	0	5	1	0	1	0	0	0	3	1	1	0	1	
30	22	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	
30	22	1	5	1	-1	0	0	0	0	0	0	0	1	4	9	0	2	0	1	0	0	0	0	2	5	0	0	1	0	1	10	21	0	1	0	2
30	22	1	6	1	-1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	
35	12	1	1	0	0	2	0	4	3	1	0	0	1	0	6	2	2	0	0	0	0	0	0	5	0	0	1	0	0	0	7	0	0	0	1	
35	12	1	0	1	0	1	0	0	0	0	0	0	1	3	6	1	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	
35	12	1	5	1	-1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	
35	12	1	7	1	-1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	

Table V. (cont.)

D	A	B	a	b	$n_0$	p = 2							p = 3							p = 5							p = 7						
						$\ell_1$	$n_1$	$a_1$	$h_2$	$h_3$	$h_5$	$h_7$	$\ell_1$	$n_1$	$a_1$	$h_2$	$h_3$	$h_5$	$h_7$	$\ell_1$	$n_1$	$a_1$	$h_2$	$h_3$	$h_5$	$h_7$	$\ell_1$	$n_1$	$a_1$	$h_2$	$h_3$	$h_5$	$h_7$
42	26	1	1	0	0	1	0	2	2	0	0	0	3	0	9	1	4	2	0	2	0	15	1	3	3	0	0	0	7	1	0	0	1
42	26	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7	15	0	0	3	0	0	3	7	0	0	1
42	26	1	6	1	-1	0	0	0	0	0	0	3	4	9	0	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	
42	26	1	7	1	-1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1		
70	502	1	1	0	0	1	0	2	2	1	1	0	1	0	3	1	2	1	0	1	0	5	1	1	2	0	0	0	7	1	1	1	
70	502	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1		
70	502	1	25	3	-1	0	0	0	0	0	0	1	1	3	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1		
70	502	1	42	5	-1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	5	0	0	2	0	0	0	0	1		
105	82	1	2	0	0	0	0	2	4	0	0	0	0	0	3	3	4	0	0	0	0	0	5	3	0	1	0	0	7	3	0	0	1
105	82	1	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1		
105	82	1	20	2	-1	0	0	0	0	0	0	4	4	9	1	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1		
105	82	1	42	4	-1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	5	2	0	1	0	0	0	0	1		
210	58	1	1	0	0	1	0	2	2	0	0	0	0	0	3	1	1	0	0	0	0	0	5	1	0	1	0	0	7	1	0	0	1
210	58	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1		
210	58	1	14	1	-1	0	0	0	0	0	0	0	0	1	3	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	1		
210	58	1	15	1	-1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	5	0	0	1	0	0	0	1		

( $\alpha = a + b/D$ )

Table VI.

D	$p_i$	$\nu_i$	$\lambda_i$	$(i \in I_U^*)$		
2	2 3 5	3 0 0	1.5 0 0			
6	2 3 7	3 1 0	1.5 0.5 0			
7	2 5 7	2 0 1	1 0 0.5			
10	2 5 7	3 1 0	1.5 0.5 0			
14	2 3 7	3 0 1	1.5 0 0.5			
15	2 3 5	2 1 1	1 0.5 0.5			
21	2 3 7	2 1 1	0 0.5 0.5			
30	2 3 5	3 1 1	1.5 0.5 0.5			
70	2 5 7	3 1 1	1.5 0.5 0.5			
105	3 5 7	1 1 1	0.5 0.5 0.5			

D	$\alpha$	$n_\epsilon$	$n_\pi$	$n_2$	$n_3$	$n_5$	$n_7$	$I_U$	$I_U^*$	N	$\kappa$	$C_{12}^*$
2	1	0	0	0	0	0	0	2 3 5	2 3 5	3	0	$3.190 \times 10^{28}$
	$\sqrt{2}$	0	0	1	0	0	0	3 5	2 3 5	2	0	$3.190 \times 10^{28}$
6	1	0	0	0	0	0	0	2 3 7	2 3 7	3	0	$2.712 \times 10^{26}$
	$\sqrt{6}$	0	0	1	1	0	0	7	2 7	2	0	$4.604 \times 10^{22}$
	$2+\sqrt{6}$	1	0	1	0	0	0	3	2 3	2	0	$2.090 \times 10^{22}$
	$3+\sqrt{6}$	1	0	0	1	0	0	2	2 3	3	0	$2.090 \times 10^{22}$
7	1	0	0	0	0	0	0	2 5 7	2 5 7	2	0	$1.065 \times 10^{30}$
	$\sqrt{7}$	0	0	0	0	0	1	2 5	2 5	2	0	$2.146 \times 10^{28}$
	$3+\sqrt{7}$	1	0	1	0	0	0	5 7	2 5 7	1	0	$1.065 \times 10^{30}$
	$7+3\sqrt{7}$	1	0	1	0	0	1	5	2 5	1	0	$2.146 \times 10^{25}$
10	1	0	0	0	0	0	0	2 5 7	2 5 7	3	0	$3.214 \times 10^{29}$
	$\sqrt{10}$	0	0	1	0	1	0	7	2 7	2	0	$8.414 \times 10^{24}$
	$-2+\sqrt{10}$	-1	1	1	0	0	0	5 7	2 5 7	2	1	$3.214 \times 10^{29}$
	$5-\sqrt{10}$	-1	1	0	0	1	0	2 7	2 7	3	1	$8.414 \times 10^{24}$
14	1	0	0	0	0	0	0	2 3 7	2 3 7	3	0	$4.791 \times 10^{26}$
	$\sqrt{14}$	0	0	1	0	0	1	3	2 3	2	0	$4.347 \times 10^{22}$
	$4+\sqrt{14}$	1	0	1	0	0	0	7	2 7	2	0	$8.143 \times 10^{22}$
	$7+2\sqrt{14}$	1	0	0	0	0	1	2	2	3	0	$8.371 \times 10^{18}$

Table VI. (cont.)

D	$\alpha$	$n_\epsilon$	$n_\pi$	$n_2$	$n_3$	$n_5$	$n_7$	$I_U$	$I_U^*$	N	$\kappa$	$C_{12}^*$
15	1	0	0	0	0	0	0	2 3 5	2 3 5	2	0	$2.144 \times 10^{28}$
	$\sqrt{15}$	0	0	0	1	1	0	2	2	2	0	$9.427 \times 10^{19}$
	$3+\sqrt{15}$	1	0	1	1	0	0	5	2 5	1	0	$1.694 \times 10^{24}$
	$5+\sqrt{15}$	1	0	1	0	1	0	3	2 3	1	0	$1.035 \times 10^{24}$
	$1+\sqrt{15}$	0	1	1	0	0	0	3 5	2 3 5	1	1	$2.144 \times 10^{28}$
	$15+\sqrt{15}$	0	1	1	1	1	0		2	1	1	$9.427 \times 10^{19}$
	$6-\sqrt{15}$	-1	1	0	1	0	0	2 5	2 5	2	1	$1.694 \times 10^{24}$
	$-5+2\sqrt{15}$	-1	1	0	0	1	0	2 3	2 3	2	1	$1.035 \times 10^{24}$
21	2	0	0	2	0	0	0	2 3 7	2 3 7	1	0	$1.898 \times 10^{26}$
	$2\sqrt{21}$	0	0	2	1	0	1	2	2	0	0	$2.640 \times 10^{18}$
	$3+\sqrt{21}$	1	0	2	1	0	0	2 7	2 7	1	0	$3.220 \times 10^{22}$
	$7+\sqrt{21}$	1	0	2	0	0	1	2 3	2 3	1	0	$1.435 \times 10^{22}$
30	1	0	0	0	0	0	0	2 3 5	2 3 5	3	0	$4.141 \times 10^{28}$
	$\sqrt{30}$	0	0	1	1	1	0		2	2	0	$2.022 \times 10^{20}$
	$5+\sqrt{30}$	1	0	0	0	1	0	3	2 3	3	0	$2.217 \times 10^{24}$
	$6+\sqrt{30}$	1	0	1	1	0	0	5	2 5	2	0	$3.276 \times 10^{24}$
	$3+\sqrt{30}$	0	1	0	1	0	0	5	2 5	3	1	$3.276 \times 10^{24}$
	$10+\sqrt{30}$	0	1	1	0	1	0	3	2 3	2	1	$2.217 \times 10^{24}$
	$-4+\sqrt{30}$	-1	1	1	0	0	0	3 5	2 3 5	2	1	$4.141 \times 10^{28}$
	$15-2\sqrt{30}$	-1	1	0	1	1	0	2	2	3	1	$2.022 \times 10^{20}$
70	1	0	0	0	0	0	0	2 5 7	2 5 7	3	0	$3.229 \times 10^{30}$
	$\sqrt{70}$	0	0	1	0	1	1		2	2	0	$2.115 \times 10^{21}$
	$25+3\sqrt{70}$	1	0	0	0	1	0	7	2 7	3	0	$8.482 \times 10^{25}$
	$42+5\sqrt{70}$	1	0	1	0	0	1	5	2 5	2	0	$7.003 \times 10^{25}$
	$7+\sqrt{70}$	0	1	0	0	0	1	5	2 5	3	1	$7.003 \times 10^{25}$
	$10+\sqrt{70}$	0	1	1	0	1	0	7	2 7	2	1	$8.482 \times 10^{25}$
	$-8+\sqrt{70}$	-1	1	1	0	0	0	5 7	2 5 7	2	1	$3.229 \times 10^{30}$
	$35-4\sqrt{70}$	-1	1	0	0	1	1	2	2	3	1	$2.115 \times 10^{21}$
105	2	0	0	2	0	0	0	3 5 7	3 5 7	1	0	$4.533 \times 10^{29}$
	$2\sqrt{105}$	0	0	2	1	1	1			0	0	$4.295 \times 10^{16}$
	$20+2\sqrt{105}$	1	0	2	0	1	0	3 7	3 7	1	0	$1.690 \times 10^{25}$
	$42+4\sqrt{105}$	1	0	2	1	0	1	5	5	1	0	$8.655 \times 10^{20}$
	$7+\sqrt{105}$	0	1	2	0	0	1	3 5	3 5	1	1	$1.396 \times 10^{25}$
	$15+\sqrt{105}$	0	1	2	1	1	0	7	7	1	1	$1.049 \times 10^{21}$
	$-9+\sqrt{105}$	-1	1	2	1	0	0	5 7	5 7	1	1	$2.485 \times 10^{25}$
	$35-3\sqrt{105}$	-1	1	2	0	1	1	3	3	1	1	$5.880 \times 10^{20}$

## CHAPTER 8. THE THUE EQUATION.

**Acknowledgements.** The research for this chapter has been done in cooperation with N. Tzanakis from Iraklion. The results have been published in Tzanakis and de Weger [1987]. See also Tzanakis [1987] and de Weger [1987<sup>b</sup>].

### 8.1. Introduction.

Let  $F(X,Y) \in \mathbb{Z}[X,Y]$  be a binary form with integral coefficients, of degree at least three, and irreducible. Let  $m$  be a nonzero integer. The diophantine equation

$$F(X,Y) = m$$

in  $X, Y \in \mathbb{Z}$  is called a Thue equation. It plays a central role in the theory of diophantine equations. In 1909 Thue proved that it has only finitely many solutions (cf. Thue [1909]). His proof was ineffective. An effective proof was given by Baker [1968]. See Chapter 5 of Shorey and Tijdeman [1986] for a survey of results on Thue equations. By using Lemma 2.4 in Baker's argument, we derive a fully explicit upper bound for the solutions of the Thue equation. Then we show how the methods developed in Chapter 3 can be used to actually find all the solutions of a Thue equation. Our method works in principle for any Thue equation, and in practice for any Thue equation of not too large degree, provided that some algebraic data on the form  $F$  are available.

Variants of the method we use here have been used in practice to solve Thue equations by Ellison, Ellison, Pesek, Stahl and Stall [1975], Steiner [1986], Pethö and Schulenberg [1987], and Blass, Glass, Meronk and Steiner [1987<sup>a</sup>], [1987<sup>b</sup>]. When determining all cubes in the Fibonacci sequence, Pethö [1983] solved a Thue equation by the Gelfond-Baker method, but with a completely different way to find all the solutions below the upper bound.

## 8.2. From the Thue equation to a linear form in logarithms.

In this section we show how the solution of the general Thue equation implies an inequality involving a linear form in the logarithms of algebraic numbers with rational integral coefficients (unknowns). Let

$$F(X,Y) = \sum_{i=0}^n f_i \cdot X^{n-i} \cdot Y^i \in \mathbb{Z}[X,Y]$$

be a binary form of degree  $n \geq 3$  and let  $m$  be a nonzero integer. Consider the Thue equation

$$F(X,Y) = m, \tag{8.1}$$

in the unknowns  $X, Y \in \mathbb{Z}$ . If  $F$  is reducible over  $\mathbb{Q}$ , then (8.1) can be reduced to a system of finitely many equations of type (8.1) with irreducible binary forms. For such equations of degree 1 or 2 it is well known how to determine the solutions. Therefore we may assume from now on that  $F$  is irreducible over  $\mathbb{Q}$  and of degree  $\geq 3$ . Then we may assume from now on that  $F$  is irreducible over  $\mathbb{Q}$ . Let  $g(x) = F(x,1)$ . If  $g(x) = 0$  has no real roots then one can trivially find small upper bounds for  $\max(|X|, |Y|)$  for the solutions  $(X,Y)$  of (8.1). Therefore, throughout this chapter we suppose that the algebraic equation  $g(x) = 0$  has at least one real root. We number its roots as follows:  $\xi^{(1)}, \dots, \xi^{(s)}$  ( $s \geq 1$ ) are the real roots and  $\xi^{(s+1)} = \overline{\xi^{(s+t+1)}}$ ,  $\dots$ ,  $\xi^{(s+t)} = \overline{\xi^{(s+2t)}}$  are the non-real roots, so that we have  $t$  ( $\geq 0$ ) pairs of complex-conjugate roots, and  $s + 2 \cdot t = n$ .

Consider the field  $K = \mathbb{Q}(\xi)$ , where  $g(\xi) = 0$ . We will define three positive real numbers  $Y_1 < Y_2 < Y_3$ , that will divide the set of possible solutions  $(X,Y)$  of (8.1) into four classes:

- I) the 'very small' solutions, with  $|Y| \leq Y_1$ . They will be found by enumeration of all possibilities,
- II) the 'small' solutions, with  $Y_1 < |Y| \leq Y_2$ . They will be found by evaluating the continued fraction expansions of the real  $\xi^{(i)}$ 's,
- III) the 'large' solutions, with  $Y_2 < |Y| \leq Y_3$ . They will be proved not to exist by a computational diophantine approximation technique,
- IV) the 'very large' solutions, with  $|Y| > Y_3$ . They will be proved not to exist by the theory of linear forms in logarithms.

The value of  $Y_3$  follows from the Gelfond-Baker theory of linear forms in logarithms. The value of  $Y_2$  follows from the restrictions that we use as we

try to prove that no 'large' solutions exist. The value of  $Y_1$  follows from Lemma 8.1 below. This lemma shows that if  $|Y|$  is large enough then  $X/Y$  is 'extremely close' to one of the real roots  $\xi^{(i)}$ . In a typical example  $Y_3$  may be as large as  $10^{50}$ ,  $Y_2$  as large as  $10^{10}$ , and  $Y_1$  as small as 10.

LEMMA 8.1. Let  $X, Y \in \mathbb{Z}$  satisfy (8.1). Put  $\beta = X - \xi \cdot Y$ ,

$$Y_0 = \begin{cases} \left[ \left[ \frac{2^{n-1} \cdot |m|}{\min_{1 \leq i \leq t} |g'(\xi^{(s+i)})| \cdot \min_{1 \leq i \leq t} |\operatorname{Im} \xi^{(s+i)}|} \right]^{1/n} \right] & \text{if } t \geq 1 \\ 1 & \text{if } t = 0 \end{cases},$$

$$C_1 = \frac{2^{n-1} \cdot |m|}{\min_{1 \leq i \leq s} |g'(\xi^{(i)})|}, \quad C_2 = \frac{1}{2} \cdot \min_{1 \leq i < j \leq n} |\xi^{(i)} - \xi^{(j)}|,$$

$$Y_1 = \max \left[ Y_0, \left[ (4 \cdot C_1)^{1/(n-2)} \right] \right].$$

(i). If  $|Y| > Y_0$  then there exists an  $i_0 \in \{1, \dots, s\}$  such that

$$|\beta^{(i_0)}| \leq C_1 \cdot |Y|^{-(n-1)},$$

$$|\beta^{(i)}| \geq C_2 \cdot |Y| \quad \text{for } i \in \{1, \dots, n\}, i \neq i_0.$$

(ii). If  $|Y| > Y_1$  then  $X/Y$  is a convergent from the continued fraction expansion of  $\xi^{(i_0)}$ .

Proof. Let  $i_0 \in \{1, \dots, n\}$  be such that  $|\beta^{(i_0)}| = \min_{1 \leq i \leq n} |\beta^{(i)}|$ . We have from (8.1)

$$|f_0| \cdot \prod_{i=1}^n |\beta^{(i)}| = |m|.$$

By the minimality of  $|\beta^{(i_0)}|$  we have for all  $i$

$$|Y| \cdot |\xi^{(i)} - \xi^{(i_0)}| = |\beta^{(i)} - \beta^{(i_0)}| \leq |\beta^{(i)}| + |\beta^{(i_0)}| \leq 2 \cdot |\beta^{(i)}|.$$

Hence  $|\beta^{(i)}| \geq C_2 \cdot |Y|$ . Further,

$$|\beta^{(i_0)}| = \frac{|m|}{|f_0|} \cdot \prod_{i \neq i_0} |\beta^{(i)}|^{-1} \leq \frac{|m|}{|f_0|} \cdot \prod_{i \neq i_0} \left[ \frac{1}{2} \cdot |Y| \cdot |\xi^{(i)} - \xi^{(i_0)}| \right]^{-1}$$

$$= \frac{2^{n-1} \cdot |m|}{\left| f_0 \cdot \prod_{i \neq i_0} (\xi^{(i)} - \xi^{(i_0)}) \right| \cdot |Y|^{n-1}} = \frac{2^{n-1} \cdot |m|}{\left| g'(\xi^{(i_0)}) \right| \cdot |Y|^{n-1}}$$

Now, if  $i_0 > s$  (and hence  $t \geq 1$ ) then, by the definition of  $Y_0$ ,

$$\begin{aligned} \left| \frac{X}{Y} - \xi^{(i_0)} \right| &= \frac{|\beta^{(i_0)}|}{|Y|} \leq \frac{2^{n-1} \cdot |m|}{\left| g'(\xi^{(i_0)}) \right|} \cdot |Y|^{-n} \\ &\leq \left( \frac{Y_0}{|Y|} \right)^n \cdot \min_{s+1 \leq i \leq s+t} |\operatorname{Im} \xi^{(i)}|, \end{aligned}$$

which is impossible if  $|Y| > Y_0$ . Hence  $i_0 \leq s$ , and now (i) follows at once. Moreover, if  $|Y| > Y_1$ , then

$$\left| \frac{X}{Y} - \xi^{(i_0)} \right| = |\beta^{(i_0)}| \cdot |Y|^{-1} \leq C_1 \cdot |Y|^{-n} \leq \frac{1}{4} \cdot Y_1^{n-2} \cdot |Y|^{-n} \leq \frac{1}{2} \cdot |Y|^{-2},$$

and thus  $\left| \frac{X}{Y} - \xi^{(i_0)} \right| < \frac{1}{2} \cdot |Y|^{-2}$ , since  $\xi^{(i_0)}$  is irrational. Now (ii) follows from a well known result on continued fractions, cf. (3.6).  $\square$

Now let  $|Y| > Y_1$  and  $i_0 \in \{1, \dots, s\}$  as in Lemma 8.1. Choose  $j, k \in \{1, \dots, n\}$  such that  $i_0, j, k$  are pairwise distinct and either  $j, k \in \{1, \dots, s\}$  or  $j + t = k$  (so that  $\xi^{(k)} = \overline{\xi^{(j)}}$ ), but further the choice of  $j, k$  is free. By  $\beta^{(i)} = X - Y \cdot \xi^{(i)}$  for  $i = i_0, j, k$  we get, on eliminating the  $X$  and  $Y$ ,

$$\beta^{(i_0)} \cdot (\xi^{(j)} - \xi^{(k)}) + \beta^{(j)} \cdot (\xi^{(k)} - \xi^{(i_0)}) + \beta^{(k)} \cdot (\xi^{(i_0)} - \xi^{(j)}) = 0,$$

or, equivalently,

$$\frac{\xi^{(i_0)} - \xi^{(j)}}{\xi^{(i_0)} - \xi^{(k)}} \cdot \frac{\beta^{(k)}}{\beta^{(j)}} - 1 = - \frac{\xi^{(k)} - \xi^{(j)}}{\xi^{(k)} - \xi^{(i_0)}} \cdot \frac{\beta^{(i_0)}}{\beta^{(j)}}. \quad (8.2)$$

By Lemma 8.1, the right hand side of (8.2) is 'extremely small'. Put, if  $j, k \in \{1, \dots, s\}$  (let us call it 'the real case')

$$\Lambda = \log \left| \frac{\xi^{(i_0)} - \xi^{(j)}}{\xi^{(i_0)} - \xi^{(k)}} \cdot \frac{\beta^{(k)}}{\beta^{(j)}} \right|$$

and if  $j, k \in \{s+1, \dots, s+2 \cdot t\}$  (let us call it 'the complex case')



$$\Lambda = \frac{1}{i} \cdot \text{Log} \left[ \frac{\xi^{(i_0)} - \xi^{(j)}}{\xi^{(i_0)} - \xi^{(k)}} \cdot \frac{\beta^{(k)}}{\beta^{(j)}} \right],$$

where, in general, for  $z \in \mathbb{C}$ ,  $\text{Log}(z)$  denotes the principal value of the logarithm of  $z$  (hence  $-\pi < \text{Im Log}(z) \leq \pi$ ). By  $\xi^{(k)} = \overline{\xi^{(j)}}$  we have  $\Lambda \in \mathbb{R}$  and  $|\Lambda| \leq \pi$ .

The following lemma shows how small  $|\Lambda|$  is.

LEMMA 8.2. Put

$$C_3 = \max_{i_1 \neq i_2 \neq i_3 \neq i_1} \left| \frac{\xi^{(i_1)} - \xi^{(i_2)}}{\xi^{(i_1)} - \xi^{(i_3)}} \right|,$$

$$Y_2^* = \max \left[ Y_1, \left[ (2 \cdot C_1 \cdot C_3 / C_2)^{1/n} \right] \right].$$

If  $|Y| > Y_2^*$  then

$$|\Lambda| < \frac{1.39 \cdot C_1 \cdot C_3}{C_2} \cdot |Y|^{-n}.$$

Proof. Consider first the real case. From  $|Y| > Y_2^*$  and Lemma 8.1 it follows that the right hand side of (8.2) is absolutely less than  $\frac{1}{2}$  and, consequently,

$$\frac{\xi^{(i_0)} - \xi^{(j)}}{\xi^{(i_0)} - \xi^{(k)}} \cdot \frac{\beta^{(k)}}{\beta^{(j)}} > 0.$$

It follows that the left hand side of (8.2) is equal to  $e^{\Lambda-1}$ , and now (8.2) implies, in view of Lemma 8.1 and the definition of  $C_3$ ,

$$|e^{\Lambda-1}| < C_3 \cdot \frac{C_1 \cdot |Y|^{-(n-1)}}{C_2 \cdot |Y|} = \frac{C_1 \cdot C_3}{C_2} \cdot |Y|^{-n}.$$

On the other hand,  $|e^{\Lambda-1}| < \frac{1}{2}$  implies (cf. Lemma 2.2)

$$|\Lambda| \leq 2 \cdot \log 2 \cdot |e^{\Lambda-1}| \leq 1.39 \cdot |e^{\Lambda-1}|,$$

which proves our claim in the real case.

In the complex case the left hand side of (8.2) is equal to  $e^{i\Lambda-1}$ , and, as in the real case, we derive

$$|e^{i\Lambda} - 1| < \frac{C_1 \cdot C_3}{C_2} \cdot |Y|^{-n} < \frac{1}{2} .$$

Since  $|e^{i\Lambda} - 1| = 2 \cdot |\sin \Lambda/2|$  , it follows that  $|\sin \Lambda/2| < \frac{1}{4}$  , and therefore by Lemma 2.3

$$|\Lambda| \leq 2 \cdot \frac{1/4}{\sin 1/4} \cdot |\sin \Lambda/2| = \frac{1/4}{\sin 1/4} \cdot |e^{i\Lambda} - 1| \leq 1.02 \cdot |e^{i\Lambda} - 1| ,$$

which proves the lemma in the complex case. □

In the ring of integers of the field  $K$  (as well as in any other order  $R$  of  $K$  ) there exists a system of fundamental units  $\epsilon_1, \dots, \epsilon_r$  , where  $r = s + t - 1$  (Dirichlet's Unit Theorem). Note that since  $F$  is irreducible and we have supposed  $s > 0$  , the only roots of unity belonging to  $K$  are  $\pm 1$  . We shall not discuss here the problem of finding such a system (for efficient methods see e.g. Berwick [1932], Billevič [1956], [1964], Pohst and Zassenhaus [1982], Buchmann [1986], [1987]). We simply assume that a system of fundamental units is known. On the other hand, there exist only finitely many non-associates  $\mu_1, \dots, \mu_\nu$  in  $K$  such that  $f_0 \cdot N(\mu_i) = m$  for  $i = 1, \dots, \nu$  . (We use  $N(\cdot)$  to denote the norm of the extension  $K/\mathbb{Q}$  .) We also assume that a complete set of such  $\mu_i$ 's is known. Let  $M$  be the set of all  $\zeta \cdot \mu_i$  , where  $\zeta$  is a root of unity in  $K$  . (In the important case  $|f_0| = |m| = 1$  , it is clear that  $M = \{-1, 1\}$  ). Then, for any integral solution  $(X, Y)$  of (8.1) there exist some  $\mu \in M$  and  $a_1, \dots, a_r \in \mathbb{Z}$  , such that

$$\beta = \mu \cdot \epsilon_1^{a_1} \cdot \dots \cdot \epsilon_r^{a_r} .$$

Thus, the initial problem of solving (8.1) is reduced to that of finding all integral  $r$ -tuples  $(a_1, \dots, a_r)$  such that  $\mu \cdot \epsilon_1^{a_1} \cdot \dots \cdot \epsilon_r^{a_r}$  for some  $\mu \in M$  be of the special shape  $X - Y \cdot \xi$  , with  $X, Y \in \mathbb{Z}$  . As we have seen,  $X$  and  $Y$  can be eliminated, so that we obtain (8.2). Thus the problem reduces to solving finitely many equations of the type

$$\frac{\xi^{(i_0)} - \xi^{(j)}}{\xi^{(i_0)} - \xi^{(k)}} \cdot \frac{\mu^{(k)}}{\mu^{(j)}} \cdot \prod_{i=1}^r \left( \frac{\epsilon_i^{(k)}}{\epsilon_i^{(j)}} \right)^{a_i} - 1 = - \frac{\xi^{(k)} - \xi^{(j)}}{\xi^{(k)} - \xi^{(i_0)}} \cdot \frac{\mu^{(i_0)}}{\mu^{(j)}} \cdot \prod_{i=1}^r \left( \frac{\epsilon_i^{(i_0)}}{\epsilon_i^{(j)}} \right)^{a_i}$$

(the so-called 'unit equation'). In the real case we have

$$\Lambda = \log \left| \frac{\xi^{(i_0)} - \xi^{(j)}}{\xi^{(i_0)} - \xi^{(k)}} \cdot \frac{\mu^{(k)}}{\mu^{(j)}} \right| + \sum_{i=1}^r a_i \cdot \log \left| \frac{\epsilon_i^{(k)}}{\epsilon_i^{(j)}} \right|, \quad (8.3)$$

and in the complex case

$$\Lambda = \text{Arg} \left[ \frac{\xi^{(i_0)} - \xi^{(j)}}{\xi^{(i_0)} - \xi^{(k)}} \cdot \frac{\mu^{(k)}}{\mu^{(j)}} \right] + \sum_{i=1}^r a_i \cdot \text{Arg} \left[ \frac{\epsilon_i^{(k)}}{\epsilon_i^{(j)}} \right] + a_0 \cdot 2\pi, \quad (8.4)$$

with  $a_0 \in \mathbb{Z}$ , and  $-\pi < \text{Arg}(z) \leq \pi$  for every  $z \in \mathbb{C}$ . Note that  $\Lambda$  in the real case, and  $i \cdot \Lambda$  in the complex case, is a linear form in (principal) logarithms of algebraic numbers, where the coefficients  $a_i$  are integers. The Gelfond-Baker theory provides an explicit lower bound for  $|\Lambda|$  in terms of  $\max |a_i|$ . Using this in combination with Lemma 8.2 we can find an explicit upper bound for  $\max |a_i|$ . This is what we do in the next section.

### 8.3. Upper bounds.

Let  $A = \max_{1 \leq i \leq r} |a_i|$ . First we find an upper bound for  $A$  in terms of  $|Y|$ .

LEMMA 8.3. Let  $I = \{h_1, \dots, h_r\} \subset \{1, \dots, n\}$ . Put

$$U_I = \left( \log |\epsilon_{i\ell}^{(h_i)}| \right)_{1 \leq i \leq r, 1 \leq \ell \leq r},$$

(where  $i$  indicates a row and  $\ell$  a column of the matrix),

$$U_I^{-1} = (u_{i\ell}^{-1}), \quad N[U_I^{-1}] = \max_{1 \leq i \leq r} \sum_{\ell=1}^r |u_{i\ell}^{-1}|.$$

Put also

$$\mu_- = \min_{\mu \in M} |\mu^{(i)}|, \quad \mu_+ = \max_{\mu \in M} |\mu^{(i)}|,$$

$$C_4 = \frac{\frac{1}{2} + \max_{1 \leq i_1 < i_2 \leq n} |\xi^{(i_1)} - \xi^{(i_2)}|}{\mu_-},$$

$$C_5 = \min \left[ (n-1) \cdot \min_I N[U_I^{-1}], \max_I N[U_I^{-1}] \right].$$

Then, for

$$|Y| > \max \{ Y_1, 2 \cdot |m|^{1/n}, \mu_+ / C_2 \} ,$$

we have

$$A < C_5 \cdot \log(C_4 \cdot |Y|) .$$

Proof. By  $\beta = \mu \cdot \epsilon_1^{a_1} \cdot \dots \cdot \epsilon_r^{a_r}$  we have

$$\begin{pmatrix} \log |\beta^{(h_1)} / \mu^{(h_1)}| \\ \vdots \\ \log |\beta^{(h_r)} / \mu^{(h_r)}| \end{pmatrix} = U_I \cdot \begin{pmatrix} a_1 \\ \vdots \\ a_r \end{pmatrix} . \quad (8.5)$$

On the other hand, for every  $h \in \{ 1, \dots, n \}$ , using the end of the proof of Lemma 8.1,

$$\begin{aligned} |\beta^{(h)}| &= |X \cdot Y \cdot \xi^{(h)}| \leq |X \cdot Y \cdot \xi^{(i_0)}| + |Y| \cdot |\xi^{(i_0)} - \xi^{(h)}| \\ &\leq \frac{1}{2 \cdot |Y|} + |Y| \cdot |\xi^{(i_0)} - \xi^{(h)}| \\ &< \left( \frac{1}{2} + \max_{1 \leq i_1 < i_2 \leq n} |\xi^{(i_1)} - \xi^{(i_2)}| \right) \cdot |Y| , \end{aligned}$$

and therefore

$$\left| \frac{\beta^{(h)}}{\mu^{(h)}} \right| < C_4 \cdot |Y| \quad \text{for } h = 1, \dots, n .$$

Note that  $C_4 \cdot |Y| > 1$ . Indeed, by

$$\prod_{i=1}^n |\mu^{(i)}| = \frac{|m|}{|f_0|} \leq |m|$$

it follows that  $\min_{1 \leq i \leq n} |\mu^{(i)}| \leq |m|^{1/n}$ , hence  $\mu_- \leq |m|^{1/n}$ . Therefore

$$C_4 \cdot |Y| \geq \left( \frac{1}{2} + \max_{1 \leq i_1 < i_2 \leq n} |\xi^{(i_1)} - \xi^{(i_2)}| \right) \cdot |Y| \cdot |m|^{-1/n} > \frac{|Y|}{2 |m|^{1/n}} > 1 .$$

Then,

$$\log \left| \frac{\beta^{(h)}}{\mu^{(h)}} \right| < \log(C_4 \cdot |Y|) \quad \text{for } h = 1, \dots, n , \quad \log(C_4 \cdot |Y|) > 0 . \quad (8.6)$$

Next we show that

$$\left| \log \left| \frac{\beta^{(i)}}{\mu^{(i)}} \right| \right| < (n-1) \cdot \log(C_4 \cdot |Y|) \quad \text{for } i = 1, \dots, n. \quad (8.7)$$

Indeed, in view of (8.6), a stronger inequality is true if  $|\beta^{(i)}/\mu^{(i)}| \geq 1$ . Suppose now that  $|\beta^{(i)}/\mu^{(i)}| < 1$ . By

$$\prod_{h=1}^n \left| \frac{\beta^{(h)}}{\mu^{(h)}} \right| = 1$$

it follows that

$$\left| \log \left| \frac{\beta^{(i)}}{\mu^{(i)}} \right| \right| = -\log \left| \frac{\beta^{(i)}}{\mu^{(i)}} \right| = \sum_{\substack{h=1 \\ h \neq i}}^n \log \left| \frac{\beta^{(h)}}{\mu^{(h)}} \right| < (n-1) \cdot \log(C_4 \cdot |Y|),$$

in view of (8.6). Now the inequality

$$A < (n-1) \cdot \min_I N[U_I^{-1}] \cdot \log(C_4 \cdot |Y|)$$

follows from (8.5), (8.7), the definition of  $N[U_I^{-1}]$  and the fact that, as we have not put so far any restriction on  $I$ , this could be chosen so that  $N[U_I^{-1}]$  be minimal. It remains to show that

$$A < \max_I N[U_I^{-1}] \cdot \log(C_4 \cdot |Y|).$$

Choose  $I$  such that  $i_0 \notin I$ . Then, by Lemma 8.1, for every  $h \in I$ ,  $|\beta^{(h)}/\mu^{(h)}| > C_2 \cdot |Y|/\mu_+ > 1$  and now, in view of (8.6),

$$\left| \log \left| \frac{\beta^{(h)}}{\mu^{(h)}} \right| \right| < \log(C_4 \cdot |Y|),$$

which implies our assertion. □

Lemmas 8.2 and 8.3 immediately yield

LEMMA 8.4. Put

$$C_6 = \frac{1.39 \cdot C_1 \cdot C_3 \cdot C_4^n}{C_2}, \quad Y_2' = \max \left( Y_2^*, 2 \cdot |m|^{1/n}, \mu_+/C_2 \right).$$

If  $|Y| > Y_2'$  then

$$|A| < C_6 \cdot \exp\left(\frac{n}{C_5} \cdot A\right).$$

Next we apply Lemma 2.4 (Waldschmidt). It yields in the real case (assuming that  $\Lambda \neq 0$ )

$$|\Lambda| > \exp\{-C_7 \cdot (\log A + C_8)\}, \quad (8.8)$$

and in the complex case this holds when  $A$  is replaced by  $A' = \max_{0 \leq i \leq r} |a_i|$ .

The precise values for  $C_7$  and  $C_8$  are given in Section 2.3. It should be noted that in the complex case  $a_0$  makes now its appearance, while it was not present in Lemmas 8.3 and 8.4. In order to obtain an upper bound for  $A$ , we must find an upper bound for  $A'$  in terms of  $A$ . Indeed, using the relation

$$\text{Arg}(z_1 \cdot z_2) = \text{Arg}(z_1) + \text{Arg}(z_2) + k \cdot 2\pi, \quad k \in \{-1, 0, 1\},$$

we find from (8.4) and the proof of lemma 8.2 that  $|a_0| < \frac{1}{2} + \frac{1}{2} \cdot r \cdot A + |\Lambda|/2\pi < 1 + r \cdot A \leq r \cdot A$  if  $A \geq 2$ . Thus we may apply (8.8) in both cases with  $A$  if we replace  $C_8$  by  $C'_8$ , where

$$\begin{aligned} C'_8 &= C_8 && \text{in the real case,} \\ C'_8 &= C_8 + \log r && \text{in the complex case.} \end{aligned}$$

We can now give an upper bound for  $A$ .

LEMMA 8.5. Put

$$C_9 = \frac{2 \cdot C_5}{n} \cdot \left( \log C_6 + C_7 \cdot C'_8 + C_7 \cdot \log \frac{C_5 \cdot C_7}{n} \right).$$

If  $|Y| > Y'_2$ , then  $A < C_9$ .

Proof. As we have seen in the proof of Lemma 8.2,  $|e^\Lambda - 1| < \frac{1}{2}$  in the real case, and  $|e^{i\Lambda} - 1| < \frac{1}{2}$  in the complex case. Note that  $\beta^{(i_0)} \neq 0$ . Hence (8.2) implies  $\Lambda \neq 0$ . Therefore Lemma 8.4 and (8.8) yield

$$A < \frac{C_5}{n} \cdot \left( \log C_6 + C_7 \cdot C'_8 + C_7 \cdot \log A \right).$$

The result now follows from Lemma 2.1. □

Remark. From this upper bound for  $A$  an upper bound for  $|Y|$  can be derived, thus a value for  $Y_3$  (cf. Section 8.2). We shall not do this. Note that  $Y'_2$  (cf. Lemma 8.4) is not necessarily equal to  $Y_2$  (cf. Section 8.2).

#### 8.4. Reducing the upper bound.

We are now left with a problem of the following type. Let be given real numbers  $\delta, \mu_1, \dots, \mu_q$  ( $q \geq 2$ , the case  $q = 1$  is trivial). Write

$$\Lambda = \delta + a_1 \cdot \mu_1 + \dots + a_q \cdot \mu_q,$$

where the  $a_i$ 's belong to  $\mathbb{Z}$ , and put  $A = \max_{1 \leq i \leq q} |a_i|$ . If  $K_1, K_2, K_3$  be given positive numbers, then find all  $q$ -tuples  $(a_1, \dots, a_q) \in \mathbb{Z}^q$  satisfying

$$|\Lambda| < K_1 \cdot \exp[-K_2 \cdot A], \quad A < K_3. \quad (8.9)$$

In our case, it follows from (8.3) or (8.4) how to define  $q, \delta$  and the  $\mu_i$ 's, and from Lemmas 8.4 and 8.5 how to define  $K_1, K_2, K_3$ . In general,  $K_1$  and  $K_2$  are 'small' constants, whereas  $K_3$  is 'very large'. Put

$$\Lambda_0 = a_1 \cdot \mu_1 + \dots + a_q \cdot \mu_q,$$

so that  $\Lambda = \delta + \Lambda_0$ . We apply the methods of Chapter 3 to problem (8.9).

Below we distinguish three cases. In the first two we suppose that the  $\mu_i$ 's are  $\mathbb{Q}$ -independent.

(i). Let  $\delta = 0$ , so that  $\Lambda = \Lambda_0$ . Then the linear form is homogeneous, and we apply the method of Section 3.7.

(ii) Let  $\delta \neq 0$ . Then the linear form is inhomogeneous, and we apply the method of Section 3.8.

(iii). Suppose now that the  $\mu_i$ 's are  $\mathbb{Q}$ -dependent. Let  $\Gamma$  be the approximation lattice for the linear form  $\Lambda$ , as defined in Section 3.7. Then we expect the lower bound for  $|\underline{x}|$  ( $\underline{x} \in \Gamma$ ,  $\underline{x} \neq \underline{0}$ ) in general to be 'very small', since the vector having as coordinates the coefficients of the dependence relation will give rise to a very short vector in the lattice. So the reduction process, as applied in the two previous cases, will not work. In such a case we work as follows. Let  $M$  be a maximal subset of  $\{\mu_1, \dots, \mu_q\}$  consisting of  $\mathbb{Q}$ -independent numbers. With an appropriate choice of subscripts we may assume that  $M = \{\mu_1, \dots, \mu_p\}$ ,  $p < q$ . Then we can find integers  $d > 0$  and  $d_{ij}$  for  $1 \leq i \leq p$ ,  $p+1 \leq j \leq q$  such that

$$d \cdot \mu_j = \sum_{i=1}^p d_{ij} \cdot \mu_i \quad \text{for } j = p+1, \dots, q.$$

(These numbers  $d, d_{ij}$  can be found as coordinates of extremely short vectors in reduced bases). On the other hand, (8.9) is equivalent to

$$|\Lambda'| < K'_1 \cdot \exp(-K_2 \cdot A) , \quad A < K_3 , \quad (8.10)$$

where  $\Lambda' = d \cdot \Lambda$  and  $K'_1 = d \cdot K_1$ . Now, with  $\delta' = d \cdot \delta$  and

$$a'_i = d \cdot a_i + \sum_{j=p+1}^q d_{ij} \cdot a_j$$

we obtain

$$\Lambda' = \delta' + \sum_{i=1}^p a'_i \cdot \mu_i .$$

Put  $D = \max ( |d|, |d_{ij}| : 1 \leq i \leq p, p+1 \leq j \leq q )$ . Then

$$|a'_i| \leq (q-p+1) \cdot D \cdot A \quad \text{for } i = 1, \dots, p .$$

Therefore, if we put  $A' = \max_{1 \leq i \leq p} |a'_i|$ , then  $A' \leq (q-p+1) \cdot D \cdot A$ , and (8.10)

implies

$$|\Lambda'| < K'_1 \cdot \exp(-K'_2 \cdot A') , \quad A' < K'_3 , \quad (8.11)$$

where

$$\Lambda' = \delta' + a'_1 \cdot \mu'_1 + \dots + a'_p \cdot \mu'_p , \quad K'_1 = d \cdot K_1 ,$$

$$K'_2 = K_2 / (q-1+p) \cdot D , \quad K'_3 = (q-p+1) \cdot K_3 .$$

Now, to solve (8.11) we apply the reduction process described in (i) or (ii), depending on whether  $\delta' = 0$  or  $\delta' \neq 0$ , and maybe more than once, if necessary, until we find a very small upper bound for  $A'$ . After having found all solutions  $(a'_1, \dots, a'_p)$  of (8.11), we have a lower bound  $L > 0$  for  $|\Lambda'|$ . It is reasonable to expect that  $L$  is not 'extremely small', because the integers  $a'_1, \dots, a'_p$  being 'small' in absolute value cannot make  $|\Lambda'|$  'extremely small'. Now combine  $|\Lambda'| \geq L$  with the first inequality of (8.10) to get

$$A < \frac{1}{K_2} \cdot \log\left(\frac{K_1}{L}\right) .$$

Since  $L$  is not 'very small', as argued heuristically, the above upper bound for  $A$  is 'small'.

Returning now to the general case, we point out that if the reduced upper bound for  $A$  (found after some reduction steps as described above) is not



small enough to admit enumeration of the remaining possibilities in a reasonable time, then it might be necessary, or at least advisable, to use the technique of Fincke and Pohst, cf. Section 3.6. However, when solving a Thue equation, and not only an inequality for a linear form in logarithms, it may be better to avoid this method, and to use continued fractions of the roots  $\xi^{(i)}$ . In practice we can search for the solutions  $(X, Y)$  of (8.1) satisfying  $Y_1 < |Y| \leq C$  as follows, referring to Lemma 8.1. Here e.g.  $C = Y_2$ , and we can imagine  $C$  here as being a 'large' constant compared to  $Y_1$ , but not 'very large' (cf. the introduction of  $Y_1, Y_2$  in Section 8.2).

Let  $\tilde{\xi}$  be a rational approximation of  $\xi^{(i_0)}$ , such that

$$|\tilde{\xi} - \xi^{(i_0)}| < \frac{1}{6 \cdot C^2}. \quad (8.12)$$

Since  $|Y| > Y_1$ ,  $X/Y$  must be a convergent,  $p_k/q_k$  say, from the continued fraction expansion of  $\xi^{(i_0)}$ . Denote by  $a_0, a_1, a_2, \dots$  the partial quotients in this expansion. First we claim that  $a_{k+1} \geq 3$ . Indeed, we have by (3.5)

$$\frac{1}{(a_{k+1}+2) \cdot |Y|^2} \leq \frac{1}{(a_{k+1}+2) \cdot q_k^2} < |\xi^{(i_0)} - \frac{p_k}{q_k}| = |\xi^{(i_0)} - \frac{X}{Y}| \leq \frac{C_1}{|Y|^n}.$$

If  $a_{k+1} = 1$  or  $2$ , then we would have  $|Y|^{n-2} < 4 \cdot C_1$ , which is absurd, since  $|Y| > Y_1 > (4 \cdot C_1)^{1/(n-2)}$ . Thus,  $a_{k+1} \geq 3$ , and by (3.5) we have

$$|\xi^{(i_0)} - \frac{p_k}{q_k}| < \frac{1}{a_{k+1} \cdot q_k^2} \leq \frac{1}{3 \cdot q_k^2}.$$

Therefore,

$$|\tilde{\xi} - \frac{p_k}{q_k}| \leq |\tilde{\xi} - \xi^{(i_0)}| + |\xi^{(i_0)} - \frac{p_k}{q_k}| < \frac{1}{6 \cdot C^2} + \frac{1}{3 \cdot q_k^2} \leq \frac{1}{2 \cdot q_k^2}$$

and this means that  $p_k/q_k$  is in fact a convergent from the continued fraction expansion of  $\tilde{\xi}$  too. Moreover, in view of the inequalities

$$\frac{1}{(a_{k+1}+2) \cdot q_k^2} < |\xi^{(i_0)} - \frac{p_k}{q_k}| \leq \frac{C_1}{|Y|^n} \leq \frac{C_1}{|q_k|^n},$$

$a_{k+1}$  must be sufficiently large compared to  $q_k$ , namely

$$a_{k+1} > \frac{|q_k|^{n-2}}{C_1} - 2 . \quad (8.13)$$

This inequality can be checked easily for all  $k$  such that  $q_k \leq C$ .

To sum up, we propose the following process for every real root  $\xi^{(i_0)}$  for  $i_0 = 1, \dots, s$  (note that  $i_0$  is a priori not known). (1) Compute a rational approximation  $\bar{\xi}$  of  $\xi^{(i_0)}$  satisfying (8.12) (a truncation of its decimal expansion will do). (2) Expand  $\bar{\xi}$  into its continued fraction with partial quotients  $a_0, a_1, a_2, \dots, a_{k+1}$  and convergents  $p_i/q_i$  for all  $i = 1, \dots, k$  with  $q_k \leq C < q_{k+1}$ . (3) Test all these convergents for the conditions (8.13) and  $F(p_i, q_i) = m$ . Concerning this last test, note that if  $X/Y = p_i/q_i$ , then  $X = Z \cdot p_i$ ,  $Y = Z \cdot q_i$  for some  $Z \in \mathbb{Z}$  with  $Z^n \mid m$ . This simple observation excludes in general most of the reducible quotients  $X/Y$ , and all of them if  $m$  is an  $n$ -th-powerfree integer.

Having tested for all solutions in the range  $|Y| \leq C$  we may suppose that  $|Y| > C$ . For such solutions  $(X, Y)$  we can obtain a lower bound for the corresponding  $A$  as follows (the idea is due to A. Pethő, cf. also Section 1 of Blass, Glass, Meronk and Steiner [1987<sup>b</sup>]). For every  $(i, j) \in \{1, \dots, r\} \times \{1, \dots, n\}$  let  $\varphi_{ij}$  be the number  $+1$  or  $-1$  for which  $|\epsilon_i^{(j)}|^{\varphi_{ij}} \geq 1$ , and put  $E_j = \prod_{i=1}^r |\epsilon_i^{(j)}|^{\varphi_{ij}}$ . Then

$$|\beta^{(j)}| = |\mu^{(j)}| \cdot \prod_{i=1}^r |\epsilon_i^{(j)}|^{a_i} \leq \mu_+ \cdot E_j^A$$

and hence for any pair  $j_1, j_2$  with  $j_1 \neq j_2$  we have

$$|Y| = \frac{|\beta^{(j_1)} - \beta^{(j_2)}|}{|\xi^{(j_1)} - \xi^{(j_2)}|} \leq \mu_+ \cdot \frac{E_{j_1}^A + E_{j_2}^A}{|\xi^{(j_1)} - \xi^{(j_2)}|},$$

and from this we can find a lower bound for  $A$ , if we know that  $|Y| > C$ . Of course, for an other pair  $j_1, j_2$  we may find a different lower bound, and therefore we can take the larger one.

8.5. An application: integral points on the elliptic curve

$$y^2 = x^3 - 4 \cdot x + 1 .$$

In this section we prove, as an application of the general theory described in the previous sections, the following result.

THEOREM 8.6. *The elliptic curve*

$$y^2 = x^3 - 4 \cdot x + 1 \tag{8.14}$$

has only the following 22 integral points:

$$(x, \pm y) = (-2, 1), (-1, 2), (0, 1), (2, 1), (3, 4), (4, 7), (10, 31), \\ (12, 41), (20, 89), (114, 1217), (1274, 45473) .$$

We prove this theorem in two main steps. First, we reduce the problem to the solution of two quartic Thue equations. Then we solve these equations using the general theory developed in the previous sections.

Let  $L$  be the totally real field  $\mathbb{Q}(\psi)$  , where

$$\psi^3 - 4 \cdot \psi + 1 = 0 .$$

Let the conjugates of  $\psi$  be  $\psi^{(1)} = 0.254\dots$ ,  $\psi^{(2)} = -2.114\dots$ ,  $\psi^{(3)} = 1.860\dots$  . From a table of Delone and Faddeev ([1964], p. 141) we see that the class number of  $L$  is 1, its ring of integers is  $\mathbb{Z}[\psi]$  , its discriminant is 229, and a pair of independent units is  $\psi, 2 - \psi$  . From Table I of Buchmann [1986] we see that  $-7 + 2 \cdot \psi^2, 2 \cdot \psi + \psi^2$  is a pair of fundamental units in  $\mathbb{Z}[\psi]$  . Since  $-7 + 2 \cdot \psi^2 = -\psi^{-1} \cdot (2 - \psi)$  and  $2 \cdot \psi + \psi^2 = (2 - \psi)^{-1}$  we see that  $\psi, 2 - \psi$  is also a pair of fundamental units in  $\mathbb{Z}[\psi]$  .

The equation (8.14) of the elliptic curve can be written as

$$y^2 = (x - \psi) \cdot (x^2 + x \cdot \psi + (\psi^2 - 4)) \tag{8.15}$$

and the factors on the right hand side are relatively prime. Indeed, if  $\pi$  were a common prime divisor of them, then  $\pi$  would divide

$$(x^2 + x \cdot \psi + (\psi^2 - 4)) - (x + 2 \cdot \psi) \cdot (x - \psi) = 3 \cdot \psi^2 - 4 ,$$

which is prime, since its norm is  $-229$ . Therefore we would have that  $\pi$  is a unit times this prime, and then by (8.15),  $x - \psi = \text{unit} \times (3 \cdot \psi^2 - 4) \times \text{square}$ . Take norms, then we get  $y^2 = \pm 229 \times \text{square}$ , which is clearly impossible.

Now (8.15) implies

$$x - \psi = \pm \psi^i \cdot (2 - \psi)^j \cdot \alpha^2, \quad \alpha \in \mathbb{Z}[\psi], \quad i, j \in \{0, 1\}. \quad (8.16)$$

Since (8.14) is trivial to solve for  $x \leq 0$  (the only solutions with  $x \leq 0$  are the first three pairs stated in the theorem), we may assume that  $x \geq 1$ . Since  $\psi^{(1)} = 0.254\dots$ , we see that the minus sign in (8.16) is impossible. Then, by  $\psi^{(2)} = -2.114\dots$ ,  $i \neq 1$ . We conclude therefore that

$$x - \psi = (2 - \psi)^j \cdot (u + v \cdot \psi + w \cdot \psi^2)^2, \quad u, v, w \in \mathbb{Z}, \quad j \in \{0, 1\}. \quad (8.17)$$

First case:  $j = 0$ . Then (8.17) implies, on equating corresponding coefficients in both sides,

$$x = u^2 - 2 \cdot v \cdot w, \quad w^2 - 2 \cdot u \cdot v - 8 \cdot v \cdot w = 1, \quad v^2 + 4 \cdot w^2 + 2 \cdot u \cdot w = 0. \quad (8.18)$$

Note that  $w$  is odd and  $v$  is even, hence  $4 \mid 2 \cdot u \cdot w$ , so  $u$  is even. Put  $u = 2 \cdot u_1$ ,  $v = 2 \cdot v_1$ . The last equation of (8.18) now reads

$$w^2 + u_1 \cdot w + v_1^2 = 0.$$

Consider this as a quadratic equation in  $w$ . Its discriminant must be a square,  $z^2$  say. Then

$$u_1^2 - 4 \cdot v_1^2 = z^2, \quad w = \frac{1}{2} (-u_1 \pm z).$$

Note that  $u_1$  and  $z$  have the same parity. We may assume  $u \geq 0$ .

First suppose that  $u_1$  and  $z$  are even. Since  $w^2 + u_1 \cdot w + v_1^2 = 0$  and  $w$  is odd, we find  $u_1 \equiv 2 \pmod{4}$ , and  $v_1$  is odd. Put  $u_1 = 2 \cdot u_2$ ,  $z = 2 \cdot z_1$ . Then  $u_2^2 - v_1^2 = z_1^2$ , where  $u_2$  and  $v_1$  are odd. By  $u_2 \geq 0$  there exist  $m, n \in \mathbb{Z}$  such that

$$u_2 = m^2 + n^2, \quad v_1 = m^2 - n^2, \quad z_1 = 2 \cdot m \cdot n.$$

It follows that

$$u = 4 \cdot (m^2 + n^2) , \quad v = 2 \cdot (m^2 - n^2) , \quad w = -(m \pm n)^2 .$$

Since the sign of  $z$  , and thus that of  $n$  , is of no importance, we may assume  $w = -(m+n)^2$  . After substitution in the second equation of (8.18) we obtain the Thue equation

$$m^4 + 36 \cdot m^3 \cdot n + 6 \cdot m^2 \cdot n^2 - 28 \cdot m \cdot n^3 + n^4 = 1 .$$

The left hand side can be factored as

$$(m + n) \cdot (m^3 + 35 \cdot m^2 \cdot n - 29 \cdot m \cdot n^2 + n^3) ,$$

and therefore it can be solved very easily. Its only solutions are  $\pm(m,n) = (1,0), (0,1)$  . They lead to  $\pm(u,v,w) = (4,2,-1), (4,-2,-1)$  , and then by (8.18) we find  $x = 20, 12$  respectively, which furnish the solutions  $(x, \pm y) = (20, 89), (12, 41)$  for (8.14).

Secondly, we suppose that  $u_1$  and  $z$  are odd. Then  $v_1$  is even, so by  $u_1 \geq 0$  there exist  $m, n \in \mathbb{Z}$  with

$$u_1 = m^2 + n^2 , \quad 2 \cdot v_1 = 2 \cdot m \cdot n , \quad z = m^2 - n^2 .$$

It follows that

$$u = 2 \cdot (m^2 + n^2) , \quad v = 2 \cdot m \cdot n , \quad w = -m^2 \quad \text{or} \quad w = -n^2 .$$

We may assume that  $w = -m^2$  . Substituting this in the second equation of (8.18) we find the Thue equation

$$m^4 + 8 \cdot m^3 \cdot n - 8 \cdot m \cdot n^3 = 1 .$$

The left hand side is again reducible. The only solutions, as is easily seen, are  $\pm(m,n) = (1,0), (1,1), (1,-1)$  . Since  $m$  and  $n$  cannot have the same parity, only the first pair is accepted. It leads to  $(u,v,w) = (2,0,-1)$  , and hence to  $(x, \pm y) = (4, 7)$  for (8.14).

Second case:  $j = 1$  . Then, equating the coefficients in (8.17) we get

$$x = 2 \cdot u^2 + v^2 + 4 \cdot w^2 + 2 \cdot u \cdot w - 4 \cdot v \cdot w , \tag{8.19}$$

$$\begin{cases} u^2 + 4 \cdot v^2 + 18 \cdot w^2 - 4 \cdot u \cdot v + 8 \cdot u \cdot w - 18 \cdot v \cdot w = 1 , \\ 2 \cdot v^2 + 9 \cdot w^2 - 2 \cdot u \cdot v + 4 \cdot u \cdot w - 8 \cdot v \cdot w = 0 . \end{cases} \tag{8.20}$$

The first relation of (8.20) can be replaced by

$$u^2 - 2 \cdot v \cdot w = 1 . \quad (8.21)$$

Note that  $u$  is odd. Put  $z = v - 2 \cdot w$ . Then the second equation of (8.20) yields

$$w^2 = 2 \cdot z \cdot (u-z) .$$

First we suppose that  $z$  is odd. Then there exist  $m, n \in \mathbb{Z}$  such that

$$z = m^2 , \quad u - z = 2 \cdot n^2 ,$$

where we use that  $u \geq 0$  and  $(u,w) = 1$ . Thus, choosing signs properly,

$$u = m^2 + 2 \cdot n^2 , \quad v = m^2 + 4 \cdot m \cdot n , \quad w = 2 \cdot m \cdot n .$$

Substituting this in (8.21) we obtain the Thue equation

$$m^4 - 4 \cdot m^3 \cdot n - 12 \cdot m^2 \cdot n^2 + 4 \cdot n^4 = 1 . \quad (8.22)$$

In Theorem 8.7 below we prove that this equation has only the solutions  $\pm(m,n) = (1,0)$ , leading to  $(u,v,w) = (1,1,0)$ , and finally for (8.14) to  $(x,\pm y) = (3,4)$ .

Secondly we suppose that  $z$  is even. Then there exist  $m, n \in \mathbb{Z}$  with

$$z = 2 \cdot m^2 , \quad u - z = n^2 .$$

Thus, choosing signs properly, we find

$$u = 2 \cdot m^2 + n^2 , \quad v = 2 \cdot m^2 + 4 \cdot m \cdot n , \quad w = 2 \cdot m \cdot n .$$

Now, substituting into (8.21), we obtain the Thue equation

$$n^4 - 12 \cdot n^2 \cdot m^2 - 8 \cdot n \cdot m^3 + 4 \cdot m^4 = 1 . \quad (8.23)$$

In Theorem 8.7 below we prove that this equation has only the solutions  $\pm(m,n) = (0,1), (1,-1), (3,1), (-1,3)$ . They lead respectively to  $(u,v,w) = (1,0,0), (3,-2,-2), (19,30,6), (11,-10,-6)$ , which lead for (8.14) to the solutions  $(x,\pm y) = (2,1), (10,31), (1274,45473), (114,1217)$ . Thus this result completes the proof of theorem 8.6, provided the Thue equations (8.22), (8.23) have as their only solutions the pairs  $(m,n)$  mentioned above. We now proceed to prove this.

THEOREM 8.7. (i). *The Thue equation*

$$X^4 - 4 \cdot X^3 \cdot Y - 12 \cdot X^2 \cdot Y^2 + 4 \cdot Y^4 = 1 \quad (8.24)$$

has only the solutions  $\pm(X, Y) = (1, 0)$  .

(ii). *The Thue equation*

$$X^4 - 12 \cdot X^2 \cdot Y^2 - 8 \cdot X \cdot Y^3 + 4 \cdot Y^4 = 1 \quad (8.25)$$

has only the solutions  $\pm(X, Y) = (1, 0), (1, -1), (1, 3), (3, -1)$  .

Proof. We use the notation and results of Sections 8.2 and 8.3.

Let the algebraic numbers  $\vartheta$  and  $\varphi$  be defined by

$$\vartheta^4 - 12 \cdot \vartheta^2 - 8 \cdot \vartheta + 4 = 0, \quad \varphi^4 - 4 \cdot \varphi^3 - 12 \cdot \varphi^2 + 4 = 0 .$$

Since  $\varphi = 2/\vartheta$  , it follows that  $\vartheta$  and  $\varphi$  generate the same field  $K$  over  $\mathbb{Q}$  . In the notation of Section 8.2 we have  $n = 4$ ,  $s = 4$ ,  $t = 0$ , and  $\xi = \vartheta$  or  $\xi = \varphi$  . Simple computations show that for  $\xi = \vartheta$ ,  $\varphi$  we can take

$$Y_0 = 1, \quad C_1 = 0.843, \quad C_2 = 0.589, \quad Y_1 = 2, \quad C_3 = 6.645, \\ Y_2^* = 3, \quad \mu_- = \mu_+ = 1, \quad C_4 = 8.3374 .$$

In these computations we estimate  $C_1, C_3, C_4$  from above and  $C_2$  from below, making use of the following approximations for the conjugates of  $\vartheta$  and  $\varphi$  :

$$\begin{aligned} \vartheta^{(1)} &\cong -1.080\ 286\ 352, & \varphi^{(1)} &\cong -1.851\ 360\ 980, \\ \vartheta^{(2)} &\cong 3.722\ 935\ 260, & \varphi^{(2)} &\cong 0.537\ 210\ 524, \\ \vartheta^{(3)} &\cong 0.334\ 111\ 716, & \varphi^{(3)} &\cong 5.986\ 021\ 747, \\ \vartheta^{(4)} &\cong -2.976\ 760\ 624, & \varphi^{(4)} &\cong -0.671\ 871\ 290. \end{aligned}$$

Now we work in the order  $R$  of  $K$  with  $\mathbb{Z}$ -basis  $\{ 1, \vartheta, \frac{1}{2} \cdot \vartheta^2, \frac{1}{2} \cdot \vartheta^3 \}$  (note that  $\frac{1}{2} \cdot \vartheta^2$  is an algebraic integer). Note that

$$\varphi = \frac{2}{\vartheta} = 4 + 6 \cdot \vartheta - \frac{1}{2} \cdot \vartheta^3 \in R .$$

On the other hand, (8.24) and (8.25) are respectively equivalent to  $\text{Norm}_{K/\mathbb{Q}}(X - Y \cdot \vartheta) = 1$  and  $\text{Norm}_{K/\mathbb{Q}}(X - Y \cdot \varphi) = 1$  , which means that if  $(X, Y)$  is a solution of (8.24) or (8.25), then  $X - Y \cdot \vartheta$  or  $X - Y \cdot \varphi$  , respectively, is a unit of the order  $R$  . A system of fundamental units of  $R$  is given by

$$\epsilon_1 = 1 + \vartheta, \quad \epsilon_2 = 3 + \vartheta, \quad \epsilon_3 = \frac{1}{2} \cdot \vartheta^2.$$

We do not prove this fact here. For a proof, see Tzanakis and de Weger [1987] Section III.2 and Appendix I.

Thus the solution of (8.24) and (8.25) is reduced to finding all  $(a_1, a_2, a_3) \in \mathbb{Z}^3$  such that the unit  $\pm \epsilon_1^{a_1} \cdot \epsilon_2^{a_2} \cdot \epsilon_3^{a_3}$  has the special shape  $X - Y \cdot \vartheta$  or  $X - Y \cdot \varphi$ , respectively. In the notation of Lemma 8.3 we have, after some numerical computations, that we leave to the reader to check, that

$$\min_I N[U_I^{-1}] = 0.634950\dots, \quad \max_I N[U_I^{-1}] = 1.210070\dots,$$

(here, of course,  $I = (1, 2, 3, 4)$ ). Therefore we can take in Lemma 8.4

$$C_5 = 1.211.$$

Also,

$$C_6 = 6.38771 \times 10^4, \quad Y'_2 = 3.$$

(The values of  $C_5$  and  $C_6$  are estimated from above.)

Now, relation (8.3) becomes in our case

$$\Lambda = \log \left| \frac{\xi^{(i_0)} - \xi^{(j)}}{\xi^{(i_0)} - \xi^{(k)}} \right| + \sum_{i=1}^3 a_i \cdot \log \left| \frac{\epsilon_i^{(k)}}{\epsilon_i^{(j)}} \right|, \quad (8.26)$$

where  $\xi = \vartheta$  or  $\varphi$ . As mentioned in Section 2, once  $i_0$  is fixed, we can choose  $j, k$  arbitrarily. Thus we can choose

$$\begin{cases} j = 3, k = 4 & \text{if } i_0 = 1 \text{ or } 2, \\ j = 1, k = 2 & \text{if } i_0 = 3 \text{ or } 4. \end{cases} \quad (8.27)$$

Therefore, for each  $\xi \in \{\vartheta, \varphi\}$  we have four possibilities for  $\Lambda$ . For each of these eight cases we have, as will be shown below,

$$C_7 = 5.71 \times 10^{38}, \quad C_8 = 6.17,$$

and therefore, by Lemma 8.5, if  $|Y| > 3$ , then for  $A = \max_{1 \leq i \leq 3} |a_i|$  we have the upper bound  $C_9 = 3.26 \times 10^{40}$ . As is easily checked, the only solutions of either (8.24) or (8.25) with  $|Y| \leq 3$  are those listed in the statement of



the theorem. Therefore we may assume that  $|Y| > 3$ , so that

$$A < 3.26 \times 10^{40}.$$

Before we apply the reduction method of Section 3.8 we show that the application of Lemma 2.4 yields the above constants  $C_7, C_8$ . We apply this result in the case of  $\Lambda$  given by (8.26). In this case, we compute the  $V_i$ 's for the various  $\alpha_i$ 's appearing in  $\Lambda$ , as follows. If  $\alpha_i = |\epsilon_i^{(k)}/\epsilon_i^{(j)}|$  for  $i = 1, 2, 3$ , then  $\alpha_i$  is a unit and hence  $a_0$  (appearing in the computation of  $h(\alpha_i)$ ) is equal to 1. Clearly, every conjugate of  $\alpha_i$  is in absolute value less than

$$H_i = \frac{\max_{1 \leq h \leq 4} |\epsilon_i^{(h)}|}{\min_{1 \leq h \leq 4} |\epsilon_i^{(h)}|},$$

and  $H_i \geq 1$ . Therefore,  $h(\alpha_i) \leq H_i$ , and we can take

$$V_i = \max \left[ \log H_i, \left| \log |\epsilon_i^{(k)}/\epsilon_i^{(j)}| \right| \right].$$

Since the latter term equals the logarithm of either  $|\epsilon_i^{(k)}/\epsilon_i^{(j)}|$  or its inverse, it follows that

$$V_i = \log H_i.$$

If  $\alpha_i = |\xi_{-\xi^{(j)}}^{(i_0)}|/|\xi_{-\xi^{(k)}}^{(i_0)}|$ , then all conjugates of  $\alpha_i$  are in absolute value less than  $C_3$ . Therefore,  $h(\alpha_i) \leq (\log a_0)/d + \log C_3$ , where  $a_0$  and  $d$  are as in the definition of  $h(\alpha)$  for  $\alpha = \alpha_i$ . An upper bound for  $a_0$  can be computed as follows. Consider the algebraic numbers  $x_{ih} = \frac{1}{2} \cdot (\xi_{-\xi^{(i)}}^{(i_0)} - \xi_{-\xi^{(h)}}^{(i_0)})$  for  $i, h \in \{1, \dots, 4\}$  with  $i \neq h$ . It can be checked that the numbers  $x_{ih}$  are algebraic integers for  $\xi = \vartheta$  or  $\varphi$ . Now, for each permutation  $\sigma = (\sigma_1 \sigma_2 \sigma_3 \sigma_4) \in S_4$  we consider the number  $\chi(\sigma) = x_{\sigma_1 \sigma_2} / x_{\sigma_1 \sigma_3}$  (independent of  $\sigma_4$ ), and the polynomial

$$P(X) = \prod_{\sigma \in S_4} [X - \chi(\sigma)].$$

Consider also the number

$$\Delta = \prod_{1 \leq i < h \leq 4} x_{ih}.$$

Note that

$$\Delta^2 = \frac{1}{2^{12}} \cdot \prod_{1 \leq i < h \leq 4} (\xi_i - \xi_h)^2 = \frac{1}{2^{12}} \cdot D ,$$

where  $D$  is the discriminant of the defining polynomial of  $\xi$ , and therefore  $\Delta^2 = 229$ . On the other hand, the coefficients of  $P(X)$  are up to the sign the elementary symmetric functions of  $\chi(\sigma)$  for  $\sigma \in S_4$ , and so they are symmetrical expressions of the  $\xi^{(i)}$ 's with rational coefficients. This means that  $P(X) \in \mathbb{Q}[X]$ . On the other hand, by the definition of  $\Delta$ , any coefficient of  $P(X)$  multiplied by  $\Delta^4$  is a polynomial of the  $\chi_{ih}$ 's with coefficients in  $\mathbb{Z}$  and therefore it is an algebraic integer. Combine this with the fact that  $P(X) \in \mathbb{Q}[X]$  to see that  $229^2 \cdot P(X) \in \mathbb{Z}[X]$ . Hence, since  $\alpha_i$  is a root of  $P(X)$ , its leading coefficient  $a_0$  is at most  $229^2$ . To conclude, we have  $h(\alpha_i) \leq 2 \cdot (\log 229)/d + \log C_3$  and it is clear that  $|\log \alpha_i|/d \leq \log C_3$ . Since  $\alpha_i \notin \mathbb{Q}$  we have  $d \geq 2$ , so we can take

$$V_i = \log 229 + \log C_3 .$$

Simple computations now show that

$$\log H_1 = 4.074586\dots , \quad \log H_2 = 5.667432\dots ,$$

$$\log H_3 = 4.821584\dots ,$$

$$\log C_3 = 1.262065\dots \quad \text{if } \xi = \theta ,$$

$$\log C_3 = 1.893823\dots \quad \text{if } \xi = \varphi ,$$

$$\log 229 + \log C_3 \leq 7.327545\dots .$$

Therefore we apply Lemma 2.4 (Waldschmidt) with  $n = 4$ ,  $D \leq 24$ ,  $e(n) = 73$ ,

$$\alpha_1 = \left| \frac{\epsilon_1^{(k)}}{\epsilon_1^{(j)}} \right| , \quad \alpha_2 = \left| \frac{\epsilon_3^{(k)}}{\epsilon_3^{(j)}} \right| , \quad \alpha_3 = \left| \frac{\epsilon_2^{(k)}}{\epsilon_2^{(j)}} \right| , \quad \alpha_4 = \left| \frac{\xi^{(i_0)} - \xi^{(j)}}{\xi^{(i_0)} - \xi^{(k)}} \right| ,$$

for  $\xi = \theta$  or  $\varphi$ , and  $b_1 = a_1$ ,  $b_2 = a_3$ ,  $b_3 = a_2$ ,  $b_4 = 1$ ,  $B = A$ ,  $V_1 = \log H_1$ ,  $V_2 = \log H_3$ ,  $V_3 = V_3^+ = \log H_2$ ,  $V_4 = V_4^+ = \log 229 + \log C_3$ . Thus we find that

$$|\Lambda| > \exp[-C_7 \cdot (\log A + C_8)] ,$$

with  $C_7 = 5.71 \times 10^{38}$  and  $C_8 = 6.17$ .

We have now to apply the reduction process described in Section 3.7. In our

situation we have to solve (8.9) with

$$K_1 = C_6 = 6.38771 \times 10^4, \quad K_2 = \frac{n}{C_5} = \frac{4}{1.211} > 3.303, \quad K_3 = 3.26 \times 10^{40}$$

( $K_2$  is estimated from below), and

$$\Lambda = \delta + a_1 \cdot \mu_1 + a_2 \cdot \mu_2 + a_3 \cdot \mu_3,$$

where for  $\delta$  and the  $\mu_i$ 's we have the following possibilities, in view of (8.26) and (8.27):

$$\left\{ \begin{array}{l} \delta = \delta_1 := \log \left| \frac{\xi^{(1)} - \xi^{(3)}}{\xi^{(1)} - \xi^{(4)}} \right| \quad \text{or} \quad \delta = \delta_2 := \log \left| \frac{\xi^{(2)} - \xi^{(3)}}{\xi^{(2)} - \xi^{(4)}} \right|, \\ \mu_i = \log \left| \frac{\epsilon_i^{(4)}}{\epsilon_i^{(3)}} \right|, \quad \text{for } i = 1, 2, 3, \end{array} \right. \quad \text{where } \xi = \vartheta \text{ or } \varphi, \quad (8.28)$$

or

$$\left\{ \begin{array}{l} \delta = \delta_3 := \log \left| \frac{\xi^{(3)} - \xi^{(1)}}{\xi^{(3)} - \xi^{(2)}} \right| \quad \text{or} \quad \delta = \delta_4 := \log \left| \frac{\xi^{(4)} - \xi^{(1)}}{\xi^{(4)} - \xi^{(2)}} \right|, \\ \mu_i = \log \left| \frac{\epsilon_i^{(2)}}{\epsilon_i^{(1)}} \right|, \quad \text{for } i = 1, 2, 3. \end{array} \right. \quad \text{where } \xi = \vartheta \text{ or } \varphi, \quad (8.29)$$

Numerical details are given in Tzanakis and de Weger [1987]. We take  $c_0 = 10^{140}$ , and we work with the lattice with associated matrix

$$\mathcal{A} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ [c_0 \cdot \mu_1] & [c_0 \cdot \mu_2] & [c_0 \cdot \mu_3] \end{pmatrix}.$$

Note that in each of the four cases of (8.28) (resp. (8.29)) we have the same lattice,  $\Gamma_1$  (resp.  $\Gamma_2$ ), say. In each case  $\delta \neq 0$ , and we had no numerical evidence that the  $\mu_i$ 's are  $\mathbb{Q}$ -dependent. Therefore we worked as in case (ii) of Section 8.4.

For each  $\Gamma_i$  we have applied the integral version of the  $L^3$ -algorithm, and each time we have computed the integral  $3 \times 3$ -matrices  $\mathcal{B}$ ,  $\mathcal{U}$ ,  $\mathcal{U}^{-1}$ , as defined in Section 3.7. In our cases, the coordinates of the vectors of the reduced bases (i.e. the elements of  $\mathcal{B}$ ) turned out to have 46 to 48 digits, i.e. the lengths of the reduced basis vectors are of the size of  $c_0^{1/3}$ , as expected. In each of the eight cases we computed the coordinates  $s_1, s_2, s_3$  of

$$\underline{x} = \begin{pmatrix} 0 \\ 0 \\ -[c_0 \cdot \delta] \end{pmatrix}$$

with respect to the reduced basis  $\underline{b}_1, \underline{b}_2, \underline{b}_3$  of the lattice. From our computations we found

$$|\underline{b}_1| > 3.247 \times 10^{46} \quad \text{in the case of lattice } \Gamma_1 ,$$

$$|\underline{b}_1| > 4.846 \times 10^{46} \quad \text{in the case of lattice } \Gamma_2 ,$$

$$\|s_3\| > 0.029 \quad \text{in all 8 cases.}$$

This means that in view of Lemma 3.5, in all cases  $i_0 = 3$ , and

$$t(\Gamma_i, \underline{x}) > 0.029 \cdot \frac{1}{2} \cdot 3.247 \times 10^{46} > 4.708 \times 10^{44} .$$

Then the assumptions of Lemma 3.10 are fulfilled with  $n = 3$ ,  $\gamma = 1$ ,  $C = c_0$ ,  $c = K_1$ ,  $\delta = K_2$ ,  $X_0 = X_1 = K_3$ , since  $\sqrt{27} \cdot K_3 < 1.112 \times 10^{40}$ , which implies

$$A < \frac{1}{3.303} \cdot \log[10^{140} \cdot 6.38771 \times 10^4 / 3.26 \times 10^{40}] < 72.8 .$$

It follows that  $A \leq 72$ . We repeat the procedure with  $K_3 = 72$  and  $c_0 = 10^{12}$ . We found from our computations

$$|\underline{b}_1| > 1.293 \times 10^4 \quad \text{in the case of lattice } \Gamma_1 ,$$

$$|\underline{b}_1| > 1.092 \times 10^4 \quad \text{in the case of lattice } \Gamma_2 ,$$

$$\|s_3\| > 0.143 \quad \text{in all 8 cases.}$$

This means that in view of Lemma 3.5, in all cases  $i_0 = 3$ , and

$$t(\Gamma_i, \underline{x}) > 0.143 \cdot \frac{1}{2} \cdot 1.092 \times 10^4 > 7.807 \times 10^2 .$$

Then the assumptions of Lemma 3.10 are fulfilled, since  $\sqrt{27} \cdot K_3 < 3.742 \times 10^2$ , which implies

$$A < \frac{1}{3.303} \cdot \log[10^{12} \cdot 6.38771 \times 10^4 / 72] < 10.5 .$$

It follows that  $A \leq 10$ . We enumerated all remaining possibilities, and found no other solutions of (8.24) and (8.25) than mentioned in the theorem. This completes the proof of Theorem 8.7, hence also that of Theorem 8.6.  $\square$

The computations for the proof of Theorem 8.7 took 35 sec.

### 8.6. The Thue-Mahler equation, an outline.

Let  $F(X,Y)$  be as in Section 8.1. Let  $p_1, \dots, p_s$  be fixed distinct prime numbers. The diophantine equation

$$F(X,Y) = \pm \prod_{i=1}^s p_i^{n_i}$$

in the variables  $X, Y \in \mathbb{Z}$ ,  $n_1, \dots, n_s \in \mathbb{N}_0$ , with  $(X,Y) = 1$ , is known as a Thue-Mahler equation. It was proved by Mahler [1933] that this equation has only finitely many solutions, and by Coates [1970] that they can, at least in principle, be determined effectively, since an effectively computable upper bound for the variables can be derived from the p-adic theory of linear forms in logarithms. For the history of this equation we refer to Shorey and Tijdeman [1986], Chapter 7.

We believe that it is possible to solve Thue-Mahler equations, not only in principle, but in practice. This can be done by reducing the above mentioned upper bounds, using a combination of real and p-adic computational diophantine approximation techniques, based on the  $L^3$ -algorithm for reducing bases of lattices (cf. Sections 3.7, 3.8, 3.11 and 3.12). The method can be considered as a p-adic analogue of the method for solving Thue equations, on which we report in the preceding sections.

Such an idea (but without using the  $L^3$ -algorithm) was used by Agrawal, Coates, Hunt and van der Poorten [1980], who determined all solutions of the equation

$$X^3 - X^2 \cdot Y + X \cdot Y^2 + Y^3 = \pm 11^n.$$

This is one of the only two examples in the literature where a Thue-Mahler equation has been solved completely, the other one being

$$X^3 + 3 \cdot Y^3 = 2^n,$$

which was solved by Tzanakis [1984] by a different method. Both examples are of the simplest kind, in view of the fact that the cubic field  $\mathbb{Q}(\vartheta)$ , where  $\vartheta$  is a root of  $F(x,1) = 0$ , has only one fundamental unit, and there occurs only one prime. Therefore it is sufficient to use two-dimensional real continued fractions and one-dimensional p-adic continued fractions, instead of the more complicated  $L^3$ -algorithm (which was not yet available in 1980). With the use of the  $L^3$ -algorithm the method can in principle be extended to

the general situation, where there are more than one fundamental units, and more than one primes. In a forthcoming publication, Tzanakis and the present author plan to give details and worked-out examples.

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## ALGORITHMEN VOOR DIOPHANTISCHE VERGELIJKINGEN.

### SAMENVATTING.

Deze dissertatie gaat over het oplossen van *diophantische vergelijkingen*. Dat zijn vergelijkingen waarbij de oplossingen beperkt zijn tot gehele getallen. In dit boek worden alleen diophantische vergelijkingen bestudeerd die zijn op te lossen met behulp van de methode van Gelfond-Baker. De gebruikte methode kent ruwweg drie stappen.

In de eerste stap vormt men de vergelijking om tot een exponentiële vergelijking of ongelijkheid, d.i. één waarin de onbekenden alleen in de exponenten voorkomen. Als zo'n exponentiële vergelijking drie, en zo'n exponentiële ongelijkheid twee termen bezit, is ze gemakkelijk om te vormen tot een ongelijkheid voor een  $p$ -adische respectievelijk reëel/complex lineaire vorm in logaritmen van algebraïsche getallen

$$\Lambda = \log \alpha_0 + \sum_{i=1}^n x_i \cdot \log \alpha_i .$$

Hierin zijn de  $x_i$  de onbekenden, die in  $\mathbb{Z}$  liggen. Voor deze lineaire vorm  $\Lambda$  geldt nu dat ze extreem dicht bij 0 ligt voor grote waarden van de onbekenden, nl. er zijn constanten  $c, \delta$  zodat met  $X = \max |x_i|$  geldt dat

$$|\Lambda| < c \cdot \exp(-\delta \cdot X) .$$

De tweede stap bestaat in het toepassen van één van de diepe resultaten van de Gelfond-Baker theorie, die voor dergelijke lineaire vormen in logaritmen van algebraïsche getallen ondergrenzen geeft van de vorm

$$|\Lambda| > \exp[-(C_1 + C_2 \cdot \log X)]$$

voor constanten  $C_1, C_2$ . Het vergelijken van ondergrens en bovengrens voor  $|\Lambda|$  levert een absolute bovengrens voor  $X$  op. Zo is het probleem eindig geworden, maar nog niet triviaal. De gevonden bovengrens voor  $X$  is namelijk vrijwel altijd bijzonder groot, in een typisch geval in de orde van grootte van  $10^{40}$ .

De derde stap van de methode beoogt nu alle oplossingen van de diophantische vergelijking onder deze bovengrens te vinden met behulp van een computer. De grootte van de bovengrens maakt het echter noodzakelijk dit op een slimme manier te doen. Vrijwel altijd blijkt dat de werkelijk grootste oplossing ver onder de bovengrens ligt. Daarom is het de moeite waard om naar methoden te zoeken om dergelijke bovengrenzen te reduceren. In dit proefschrift, met name in hoofdstuk 3, worden zulke methoden gegeven voor verschillende typen van lineaire vormen  $\Lambda$ . Algemeen kenmerk van deze methoden is dat de redelijke verwachting bestaat dat ze een bovengrens van grootte-orde  $X_0$  kunnen reduceren tot de grootte-orde  $\log X_0$  (bv. van  $10^{40}$  tot 1000).

Deze methoden liggen op het terrein van de diophantische approximatie van lineaire vormen. Ze zijn soms gebaseerd op klassieke ideeën, zoals het kettingbreukalgorithme, soms ook op het recente 'L<sup>3</sup>-algorithme' voor het reduceren van bases van roosters. Het bestaan van een extreem grote oplossing van de diophantische vergelijking (in de orde van grootte van  $\log X_0$  tot  $X_0$ ) kan vertaald worden in het bestaan in een bepaald rooster van een roosterpunt met een extreem korte lengte, of extreem dicht bij een gegeven punt buiten het rooster. Het L<sup>3</sup>-algorithme is in staat aan te tonen dat zulke extreme roosterpunten niet bestaan, ofwel welke dat zijn.

Als eenmaal een voldoende gereduceerde bovengrens gevonden is, kunnen alle oplossingen eronder gevonden worden met bv. een recht-toe-recht-aan methode. Zo kan de diophantische vergelijking volledig worden opgelost in enkele minuten rekentijd op een mainframe computer.

Deze methode wordt in dit proefschrift op verschillende diophantische problemen losgelaten. We geven een kort overzicht. Laten  $p_1, \dots, p_s$  vaste priemgetallen zijn. Zij  $S$  de verzameling van positieve gehele getallen die slechts deze priemgetallen als priemfactoren hebben.

Hoofdstuk 4 geeft een algorithme voor het bepalen van alle elementen van een gegeven binaire recurrente rij (zoals de rij van Fibonacci) die in  $S$  liggen. Een toepassing is de gegeneraliseerde Ramanujan-Nagell vergelijking  $x^2 + k \in S$  voor een gegeven geheel getal  $k$ .

Hoofdstuk 5 bestudeert het probleem van elementen in  $S$  die dicht bij elkaar liggen, bv.  $0 < x - y < \sqrt{y}$  met  $x, y \in S$ . In hoofdstuk 6 komt de

vergelijking  $x + y = z$  met  $x, y, z \in S$  aan de orde. Beide problemen leiden direct tot een ongelijkheid voor een lineaire vorm in logaritmen, zodat ze de simpelste voorbeelden zijn van de toepassing van de diophantische approximatiemethoden gebaseerd op het  $L^3$ -algorithme. Ze worden volledig opgelost voor  $p_1, \dots, p_6 = 2, 3, 5, 7, 11, 13$ . Als bijproduct wordt de relatie

$$3^2 \cdot 5^6 \cdot 7^3 + 11^2 = 2^{21} \cdot 23$$

gevonden, die interessant is in verband met het de laatste tijd in het middelpunt van de belangstelling van de getaltheoretici staande 'abc-vermoeden'.

In hoofdstuk 7 wordt een methode gegeven om alle elementen  $x, y$  met  $x \in S, y \in S$ , zodat  $x + y$  een kwadraat is, te bepalen. Dat wordt uitgevoerd voor  $p_1, \dots, p_4 = 2, 3, 5, 7$ . Hoofdstuk 8 behandelt een methode om de algemene Thue vergelijking  $F(X, Y) = m$  voor een irreducibele binaire vorm  $F$  van graad  $\geq 3$  met variabelen  $X, Y \in \mathbb{Z}$ , en met  $m \in \mathbb{Z}$  vast, volledig op te lossen. Deze methode wordt toegepast op de diophantische vergelijking  $y^2 = x^3 - 4x + 1$ . Tenslotte wordt een eerste aanzet gegeven voor het behandelen van de Thue-Mahler vergelijking  $F(X, Y) \in S$ , met  $F$  als bij de Thue vergelijking.



## CURRICULUM VITAE.

De schrijver van dit proefschrift werd op 7 juni 1958 geboren in Delft. In juni 1976 haalde hij aan de Gereformeerde Scholengemeenschap Rotterdam het gymnasium- $\beta$  diploma. In september 1976 begon hij met zijn studie aan de Rijksuniversiteit te Leiden. In oktober 1978 behaalde hij het kandidaats-examen in de vakken wiskunde en natuurkunde. Hierna zette hij zijn studie voort in de wiskunde, waarbij hij colleges en seminaria volgde bij de hoogleraren dr. A. Menalda, dr. J.P. Murre, dr. ir. L.A. Peletier en dr. R. Tijdeman, en bij de medewerkers dr. C.B. Huijsmans en dr. J. Simonis voor het hoofdvak wiskunde, bij de medewerker dr. H.J.M. Bos voor het bijvak Geschiedenis en maatschappelijke functie van de wiskunde, bij de hoogleraar dr. C.A. van Peursen van de Centrale Interfaculteit voor het bijvak Capita selecta uit de filosofie, en bij de medewerkers G. Bulthuis en H. Bosscher voor het behalen van de onderwijsbevoegdheid. In februari 1983 behaalde hij het doctoraalexamen wiskunde. In maart 1983 trad de auteur in dienst van de Nederlandse Organisatie voor Zuiver Wetenschappelijk Onderzoek (ZWO) als wetenschappelijk medewerker bij de Nederlandse Stichting voor de Wiskunde (SMC), om aan het Mathematisch Instituut van de Rijksuniversiteit te Leiden onderzoek te doen in het project Diophantische Approximaties, onder leiding van prof. dr. R. Tijdeman en dr. F. Beukers. Dit proefschrift is een resultaat van dit onderzoek. In november 1986 trad de auteur in dienst van de Universiteit Twente als medewerker onderwijs bij de vakgroep Toegepaste Analyse van de Faculteit der Toegepaste Wiskunde. Daarnaast is hij sinds april 1987 bezig met het ontwikkelen van schriftelijk cursus-materiaal voor een cursus Discrete Wiskunde voor de Open universiteit te Heerlen.

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STELLINGEN

behorende bij het proefschrift

**Algorithms for diophantine equations**

van

**B.M.M. de Weger**

6 januari 1988

1.

Als Grosswald meent dat Tijdeman het vermoeden van Catalan heeft bewezen, en daarbij opmerkt, nota bene in een voetnoot, dat nog een eindige hoeveelheid rekenwerk gedaan schijnt te moeten worden om het bewijs te completeren, miskent hij de niet-triviale aard van dergelijk rekenwerk. Met de nu bekende methoden uit de numerieke getaltheorie lijkt het praktisch onmogelijk om het vermoeden van Catalan binnen redelijke rekentijd te bewijzen.

Referentie: E. Grosswald, *Topics from the theory of numbers*, 2nd. ed., Birkhäuser, Boston, 1984, p. 259.

2.

Laat de priemgetallen  $p_1, \dots, p_t$  gegeven zijn. Er bestaat een effectief berekenbare positieve constante  $C$ , die alleen van de  $p_i$ 's afhangt, zodat voor alle  $n, k_1, \dots, k_t \in \mathbb{N}_0$  met  $n! \neq p_1^{k_1} \dots p_t^{k_t}$  geldt dat

$$| n! - p_1^{k_1} \dots p_t^{k_t} | > \exp(C \cdot n / \log n) .$$

Er bestaat enige experimentele steun voor het vermoeden dat zelfs

$$| n! - p_1^{k_1} \dots p_t^{k_t} | > \exp(C' \cdot n \cdot \log n)$$

geldt voor een positieve constante  $C'$ . Met de methoden van dit proefschrift is het mogelijk om voor vaste  $m \in \mathbb{Z}$  alle oplossingen van de diophantische vergelijking

$$n! - p_1^{k_1} \dots p_t^{k_t} = m$$

expliciet te vinden.

Referentie: R.K. Guy, *Unsolved problems in number theory*, Springer, Berlin, 1981, Problem F23.

3.

Het vermoeden van Antoniadis, dat de diophantische vergelijking  $3lx^2 = y^3 - 1$  alleen de oplossingen  $(x,y) = (0,1), (\pm 2,5)$  heeft, is juist.

Referentie: J.A. Antoniadis, Über die Kennzeichnung zweiklassiger imaginär-quadratischen Zahlkörper durch Lösungen diophantischer Gleichungen, *J. reine angew. Math.* 339 (1983), 27-81.

4.

De enige driehoeksgetallen die het produkt zijn van drie opeenvolgende gehele getallen zijn de volgende zes: 6, 120, 210, 990, 185136, 258474216.

5.

Computereperimenten geven steun aan het vermoeden (van Erdős en Stewart) dat het aantal oplossingen van de gegeneraliseerde Ramanujan-Nagell vergelijking  $x^2 + k = p_1^{n_1} \cdots p_s^{n_s}$  de grootteorde  $\exp(\sqrt{s})$  heeft als  $s \rightarrow \infty$ .

6.

Het probleem van het vinden van de nulpunten van een ternaire recurrente rij is equivalent met het oplossen van een derde-graads Thue-Mahler vergelijking  $F(X,Y) = r \cdot p^n$  met  $p, r \in \mathbb{Z}$  vast. Het is niet duidelijk of dit resultaat eenvoudig gegeneraliseerd kan worden voor hogere orde recurrenties en hogere graads Thue-Mahler vergelijkingen.

7.

Een p-adisch analogon van de stelling van Lagrange over de periodiciteit van reële kettingbreuken laat zich eenvoudiger formuleren en bewijzen in termen van rijen p-adische benaderingsroosters dan in termen van p-adische kettingbreuken.

Referentie: B.M.M. de Weger, Approximation lattices of p-adic numbers, *J. Number Th.* 24 (1986), 70-89.

8.

De p-adische kettingbreuk volgens Schneider van  $\sqrt{c}$  met  $c \in \mathbb{Z}$  is niet periodiek als  $c < 0$ , en is wel periodiek als  $c = e^2 + d \cdot p^k$ , met  $d, e, k \in \mathbb{N}$ ,  $1 \leq e \leq \frac{1}{2}(p-1)$ ,  $d \mid 2e$ ,  $p \nmid d$ .

Referenties: Th. Schneider, Über p-adische Kettenbrüche, *Symposia Math.* IV, (1970), 181-189,

P. Bundschuh, p-adische Kettenbrüche und Irrationalität p-adischer Zahlen, *Elem. Math.* 32 (1977), 36-40.

9.

Een didactisch verantwoorde presentatie behoort een wezenlijk onderdeel van iedere wetenschappelijke publicatie te zijn, en niet alleen van leerboeken.

10.

Fabrikanten dienen al bij ontwerp en produktie van artikelen er rekening mee te houden dat hun produkten vroeger of later moeten kunnen worden verwijderd zonder al te veel sporen na te laten. Dit geldt, behalve voor fabrikanten van voor het milieu schadelijke stoffen, ook voor bijvoorbeeld fabrikanten van zelfklevende etiketten.

11.

Het verdient aanbeveling om op stadsplattegronden het aantal verdiepingen van hoge flatgebouwen te vermelden.

12.

De eerste wereldoorlog wordt wel de oorlog van de scheikundigen genoemd, de tweede die van de natuurkundigen. Gezien de huidige ontwikkelingen in de wapentechnologie ziet het er naar uit dat een eventuele derde wereldoorlog de oorlog van de wiskundigen genoemd zal kunnen worden. De gangbare indeling van de exacte wetenschappen doet dan ook al vermoeden dat dat wel eens de laatste wereldoorlog zou kunnen zijn.

13.

Een auteur die het inleidende hoofdstuk van zijn boek of artikel het volgnummer 0 meegeeft, wekt daarmee de indruk dat dat hoofdstuk niet tot het eigenlijke werk behoort en dus weggelaten had kunnen worden, ofwel dat hij niet kan tellen.

14.

Primum vivere, deinde promovere.